IntroductionJohn Mitchell

Institutional Capacity BuildingIan Christoplos

Lessons Learned: Darfur Larry Minear

Meta-evaluationPeter Wiles

ALNAP REVIEW OF HUMANITARIAN ACTION IN 2004 Capacity Building

Editor Nina Behrman

Authors

Chapter 1 John Mitchell Chapter 2 Ian Christoplos Chapter 3 Larry Minear Chapter 4 Peter Wiles

Thanks are also due to those who provided support during the production of this work; those who acted as members of peer review groups for the respective chapters of this publication; and those who provided case study material for the capacity building chapter:

Jock Baker, Tony Beck, Martin Bévalot,
John Borton, Margie Buchanan-Smith, Ian
Christoplos, Chris Bugnion, Bronagh Carr,
Sigurd Endresen, Vendela Fortune, Peter
Giesen, Paul Harvey, Lucy Jones, John
Lakeman, Simon Lawry-White, John
Mitchell, Franziska Orphal, Jonathan Potter,
Valéry Ridde, John Telford, Tony Vaux,
Vivien Walden.

ISBN 0-85003-771-9

© Overseas Development Institute, London 2005

Photocopies of all or part of this publication may be made providing the source is acknowledged. ALNAP would appreciate receiving details of any use made of this material in training, research or programme design, implementation or evaluation. Requests for commercial reproduction of ALNAP material should be directed to ALNAP.

For further copies of this publication, please contact:

ALNAP

c/o Overseas Development Institute 111 Westminster Bridge Road London SE1 7JD United Kingdom

Tel: +44 (0)20 7922 0300 Fax: +44 (0)20 7922 0399

Email: alnap@odi.org.uk Website: www.alnap.org

Price per copy \$45 (excluding postage and package)

Contents

	Prefa	ICE	7	
Chapter 1	Intro	duction: overview of key findings	9	
1.1	Purpose and rationale for ALNAP's Review of Humanitarian			
1.2	Reflections on ALNAP's agenda			
	1.2.1	Are evaluations ingrained in the system?	12	
	1.2.2	Is there more openness to learning?	13	
	1.2.3	Evaluation quality	14	
	1.2.4	Filling gaps in evaluation guidance	16	
1.3	Key fi	indings and trends from the evaluation synthesis	17	
	1.3.1	Funding and proportionality		
	1.3.2	Politicisation of aid		
	1.3.3	Protection in humanitarian action	20	
	1.3.4	Coordination	21	
	1.3.5	Linking relief, rehabilitation and development	22	
	1.3.6	Capacity building	23	
	1.3.7	Local custom, context and participation	23	
1.4	Sumn	nary	25	
Chapter 2	Instit	utional capacity building amid humanitarian action	29	
2.1	Intro	duction: the gap between theory and practice	30	
2.2		e do we stand on institutional capacity building?		
	2.2.1	New pressures – new relationships?	34	
	2.2.2	The impasse on transparency	35	
	2.2.3	Traditional humanitarian engagement in capacity building	37	
	2.2.4	Scaling up local capacity	40	
	2.2.5	Nationally recruited staff		
2.3	Decor	nstructing the capacity-building agenda		
	2.3.1	Community capacities		
	2.3.2	Partnership		
	2.3.3	Institution building or contributing to the human resource pool?		
	234	Transcending the training fix		

2.4	Building whose capac	ities, and for what?	53
	2.4.1 'Sustainability' i	n capacity building for humanitarian action	54
	2.4.2 Building civil so	ciety or contracting cheap service provision	56
	2.4.3 Is governance a	relevant issue?	57
	2.4.4 The private sect	or	58
2.5	Conclusions: from rhe	etoric to realism in	
	institutional capacity	building	61
	2.5.1 Key messages		64
Chapter 3	Lessons learned: the	Darfur experience	73
3.1	Introduction		74
	3.4.4 The evaluations	and their message	74
3.2	Mobilising humanitar	ian action	77
	3.2.1 Reasons for del	ayed mobilisation	81
	3.2.2 Inadequacy of r	esponse	83
3.3	Addressing the crisis	of protection	86
	3.3.4 Perceptions of h	uman-rights abuses	86
	3.3.2 Protection for w	omen and children	88
	3.3.3 Relief or rights?		90
3.4	Supporting IDPs and	refugees	91
	3.4.1 Focusing on nee	eds, not categories	93
	3.4.2 Responsibility for	or displaced people	93
3.5	Saving livelihoods		94
	3.5.4 Increasing emp	hasis on livelihoods	95
	3.5.2 Difficulties in in	nplementation	96
3.6	Managing tensions w	th the political	97
	3.6.1 Advocacy		100
3.7	Situating humanitari	an action in relation to the conflict	102
		onflict on aid work	
	3.7.2 Resonance with	other conflicts	106
3.8	Improving coordination	on	107
3.9	0	te and accountable international presence	
	ů,		
	3.9.2 Beneficiary part	icipation	114
3.10	Reflections		115

Chapter 4	Meta-evaluation	123
4.1	Introduction	124
4.2	The meta-evaluation process	125
4.3	Overview of the evaluation reports	126
4.4	Joint evaluations	128
4.5	Overall results of the meta-evaluation	131
4.6	Detailed results of the meta-evaluation,	
	using the quality proforma	133
	4.6.1 Terms of reference	133
	4.6.2 Assessing evaluation methods, practice and constraints	137
	4.6.3 Contextual analysis	147
	4.6.4 Assessing the intervention	149
	4.6.5 Assessing the report	167
4.7	Conclusions	170
4.8	Issues for future ALNAP meta-evaluations	171
Annexes		
1	Meta-evaluation: full listing for RHA 2004 results	178
2	The ALNAP Quality Proforma 2005	181
3	Meta-evaluation source reports	194
4	Checklist of questions to agencies and evaluators	200
5	Acronyms	204
6	Glossary	205

List of Boxes

1.1	Key challenges identified by ALNAP's annual evaluation synthesis	17
2.1	The World Conference on Disaster Reduction:	
	a new form of commitment?	38
2.2	Reworking a partnership to scale up: Oxfam and the KSCS in Darfur	40
2.3	Community participation and institutional capacity building	45
2.4	Benefits from joint working on transparency standards in the DRC	46
2.5	Poaching versus professional mobility	48
2.6	Food-security monitoring in North Darfur – new organisations, same staff	49
2.7	Training cannot replace educated staff: the effects of HIV/AIDS	53
2.8	Between naivety and cynicism	61
3.1	The dataset of six evaluation reports	75
4.1	Inter-agency health evaluations in humanitarian crises – lessons learned	129
4.2	Inter-agency evaluations of support to IDPs – approaches	
	and lessons learned	130
4.3	Good practice in drawing up terms of reference	135
4.4	Good practice in describing evaluation methodology	139
4.5	Experience of using a control group – the American Refugee Committee's	
	evaluation of income generation projects for Liberian refugees in Guinea	140
4.6	Good practice in beneficiary consultation	143
4.7	Using international standards	144
4.8	Practical constraints in evaluation	145
4.9	Good practice in contextual analysis	147
4.10	Problems with needs assessments	151
4.11	Needs assessment in contexts of food insecurity	152
4.12	Programme objectives – analysing logical frameworks	153
4.13	Covering coordination	158
4.14	The issue of coverage	160
4.15	Covering protection from gender-based exploitation and violence	161
4.16	Looking at advocacy work in the West Bank and Gaza	164
4.17	Good practice in formulating recommendations	166
4.18	Getting the most out of the report	168
4.40	Follow up to evaluation recommendations	460

Preface

Last year ALNAP remembered the tenth anniversary of the Rwanda genocide by focusing on how the lessons of the Joint Evaluation of Emergency Assistance to Rwanda (JEEAR) had been applied in recent emergencies. The Joint Evaluation made recommendations on both the timely mobilisation of humanitarian action and the ongoing struggle to address medium- and longer-term needs. It is appropriate therefore that this year's *Review of Humanitarian Action* takes stock of lessons learned and applied in these areas.

This year's evaluation synthesis (Chapter 3) focuses on Darfur. The findings make sober reading, and the fundamental message is that the international community failed to mobilise humanitarian action commensurate with humanitarian need. This failure was brought about by a combination of political and logistical difficulties, many of which were chillingly reminiscent of the famines in Ethiopia and Sudan in 1984–85 and the Rwanda genocide in 1994.

Despite the failure in Darfur, the synthesis more positively reveals that some lessons had been learned from the Rwandan experience, and acted upon. Within UN agencies and NGOs alike, there was a better understanding of international responsibility, human rights and civilian protection, and increased attention to issues of advocacy, impact and accountability. In addition, the use of real-time evaluations (RTEs) allowed greater potential for making programme changes on the ground. This year's evaluation synthesis brings out these points, and continues to provide the sector with a useful barometer for humanitarian performance.

Longer-term aspects of humanitarian action are considered in Chapter 2, on the theme of capacity building. Previous ALNAP Reviews of Humanitarian Action have highlighted how the system is usually not successful in delivering longer-term benefits to affected communities. Chapter 2 this year helps to explain why this is the case by revealing several inconsistencies in how the subject has been conceptualised in the past. Importantly, it provides some much-needed realism and constructive suggestions that should be helpful to all.

Chapter 4 is the report of this year's ALNAP annual meta-evaluation. It provides constructive reflection on good evaluation, with a comparative analysis of the quality of reports from previous years. These insights identify areas for improvement and ultimately play an important role in improving learning and performance in the sector.

Finally, I would like to thank all ALNAP members who provided evaluation reports for this edition, and those who gave their time on various review and advisory groups. A willingness to work together in this fashion demonstrates the continued desire of the humanitarian sector to work together and make humanitarian action more effective in the future.

Anita L. Menghetti

Chair of ALNAP (Humanitarian Advisor, USAID/PPC/DCO)