Assessment of the Impact and Influence of the 1996 Joint Evaluation of Emergency Assistance to Rwanda

Presentation by John Borton and John Eriksson at the ALNAP Biannual Meeting, Copenhagen 14th June 2004

Our Assessment

Purpose

- Review developments in the different fields/sectors covered by the recommendations of the Joint Evaluation recommendations
- Ascertain the degree to which developments are consistent or inconsistent with the recommendations
- Where possible identify changes in policies or practices of the international community in response to the JEEAR.

Approach

Advisory Group, Literature Review, Key Informants, Reviewers

Timetable

Final Report by end June

Timeline of Key Reports

4000	RWANDA-FOCUSSED	<u>GENERAL</u>
1996 1997	■ Joint Evaluation	
1998	■ Belgian Senate Inquiry	Carnegie Commission
1999	■ French Nat. Assembly Inquiry	DAC Task Force Report
2000	UN Rwanda Inquiry	UN Srebrenica Report
2001	OAU Inquiry	'Brahimi' Report
2002		'Responsibility to Protect'
2003		
2004		

Preliminary Results: Knowledge of the Joint Evaluation

Assessed through:

- citations in the literature
- comments by key informants
- Joint Evaluation had fairly wide reach but with some significant gaps eg. 'Brahimi' Report
- Non-commercial publication reduced subsequent accessibility
- Multiple products and authors confused citation and diluted 'branding'
- Publication in only some of the relevant widely read journals resulted in limited awareness of Joint Evaluation in several important sectors

Preliminary Results: Genocide Prevention and Suppression

- Early Warning, Intelligence and Information Flows
 - Increased capacities in: DPKO and other parts of UN;
 NGO sector. But no integrated capacity in Secretary General's office

Prevention Measures

- Diplomacy; Sanctions; Development Assistance;
 Human Rights; Media; Arms Embargoes/Arms Trade control; Peace Agreements
- Some improvements but toolkit not systematically used

Preliminary Results: Genocide Prevention and Suppression

Suppression Actions

- Different types of intervention: Under UN command; UN Authorised; Not UN authorised
- Peacekeeping deployments generally now more robust;
- Greater willingness by some states to undertake forceful interventions
- But, little consistency in approach and response to conflict and mass killings in Africa is invariably tardy
- Positive developments in African conflict resolution and peacekeeping but progress fragile

Preliminary Results: Humanitarian Response

- Preparedness and Contingency Measures
 - International Humanitarian Partnership/UNDAC
 - UNHCR (following Kosovo)
- The Search for 'Coherence'
 - Integrationist interpretation by some donors.
 - Brahimi Report strongly integrationist
 - Voice of humanitarian sector remains weak in determining political and military objectives – Humanitarian Sub-Committee recommendation
 - ODI and HDC research studies conclude negatively on the search for coherence

Preliminary Results: Humanitarian Response

Coordination

- Strong Report 1998
- Since then improvements in UN structures and processes
- But 'too many NGO's' problem remains and coordination frequently cited as problematic by evaluations

Quality and Accountability

- Sphere, HAP, ALNAP, increased use of evaluation, etc.
- Evidence of trends in performance limited but many key informants believed that improvements had been significant

Funding Mechanisms

 Disproportionate assistance undermines credibility and effectiveness of sector

Preliminary Results: Rehabilitation and Recovery

Security

 Greater recognition of importance - Security Sector Reform component in most peace agreements and post-conflict programmes

Justice and Law Enforcement

- Staff and recurrent funding represent roadblocks
- ICTY and ICTR expensive but symbolic value and establishing case law
- 2002 ICC statute entry into force significant step forward

Economic Assistance

- Fast disbursing and flexible funding recognised to be vital but donor track record is mixed
- Greater recognition and use of political conditionality

The DRC Case

- Failures by the international community in 1994 and 1995 led directly to AFDL/RPA invasion of 1996 and 1998 RPA support to *Banyamulenge* uprising
- Most destructive and widespread conflict in modern
 Africa over 3 million excess deaths since 1998
- Military and political response by international community extremely weak
- Ratio of violent to non-violent deaths (0.45m:2.5m) indicates massive failure by humanitarian sector
- DRC case deserves Joint Evaluation-style system-wide assessment

The Darfur Case

- Conflict and GoS/Janjaweed suppression began in February 2003 yet Security Council did not discuss until March 2004
- Early warnings were there but attention of international community focussed on achieving peace in south
- Access to outside observers/actors severely restricted
- Several of criteria for Genocide Convention have been met and term has been used in used in US 'Op Eds.' since March but international intervention remains a long way off

Preliminary Conclusions: The Impact and Influence of the Joint Evaluation

- Positive points
- Early appearance pushed others to undertake serious self-examination
- Credibility resulting from
 - Its commissioning by inclusive grouping of key stakeholders within international community
 - Breadth of coverage and quality of analysis
- Gave impetus to development of analytical and evaluation approaches in humanitarian sector including formation of ALNAP
- Had a direct influence on some key reports and indirect influence on others
- Limitations

To what extent have policy prescriptions attributed to Joint Evaluation been translated into practice?

- Achievements in humanitarian sector
- But progress on the central issue of the prevention and suppression of genocide and massive human rights abuses has not been strong and prospects are pessimistic
 - Diversion of US attention to the War on Terror reduces chances of effective international response in Africa
 - But some hope derives from African initiatives in a few recent instances in Africa and developing AU capacity for peacekeeping

A Final Thought

- General Dallaire the international community valued the lives of human beings in central Africa differently from other parts of world.
- This does not appear to have changed over last ten years and judging by Darfur and other cases can be extended to include other areas of Africa

Article 2 of the Convention on the Prevention and Punishment for the Crime of Genocide

"Genocide means any of the following acts committed with intent to destroy, in whole or in part, a national, ethnical, racial or religious group, as such:

- Killing members of the group;
- Causing serious bodily or mental harm to members of the group;
- Deliberately inflicting on the group conditions of life calculated to bring about its physical destruction in whole or in part;
- Imposing measures intended to prevent births within the group;
- Forcibly transferring children of the group to another group"

Some Observations on the Rwanda Genocide

- A profound crisis of political origin that aimed to eliminate an ethnic group
- The ramifications continue to have a deep impact upon the whole Great Lakes region
- The failure to intervene stained the credibility and moral authority of the international community
- The spectre of the genocide continues to haunt the international community as for example in Darfur

The Joint Evaluation: Key Points

- Timing
- Governance
- Output
- Follow-up