

Joining urban land parcels to reduce disaster risk: Facilitating earthquake-resistant housing in the Philippines



Image Credit: J. Futrell

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Concept of Land Assembly (LA)

Definition:

Process of joining contiguous/adjacent land parcels to form a larger parcel

Image Credit: World Bank Group

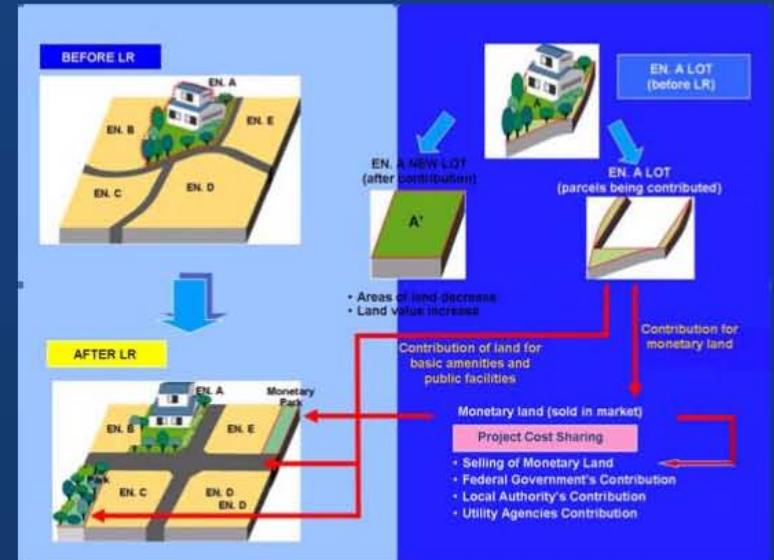
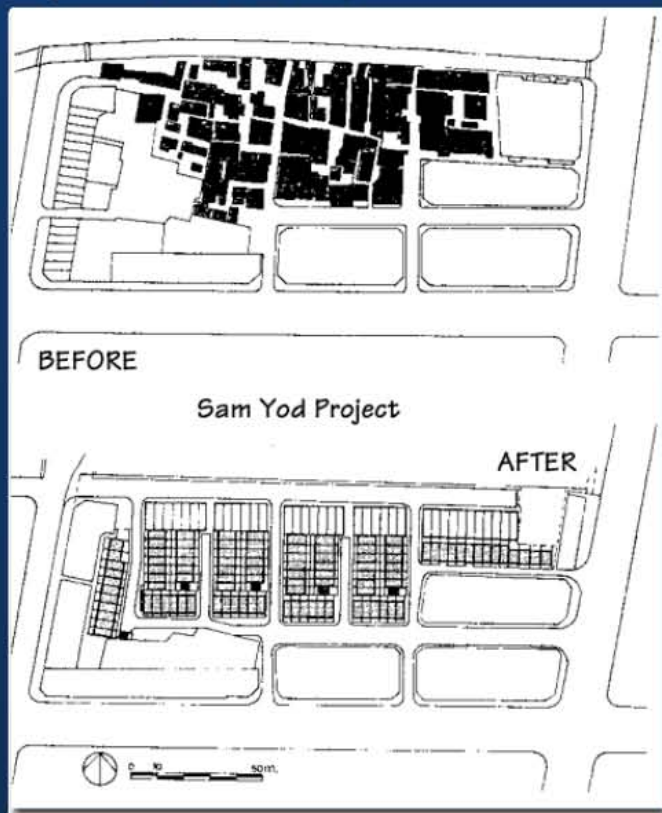


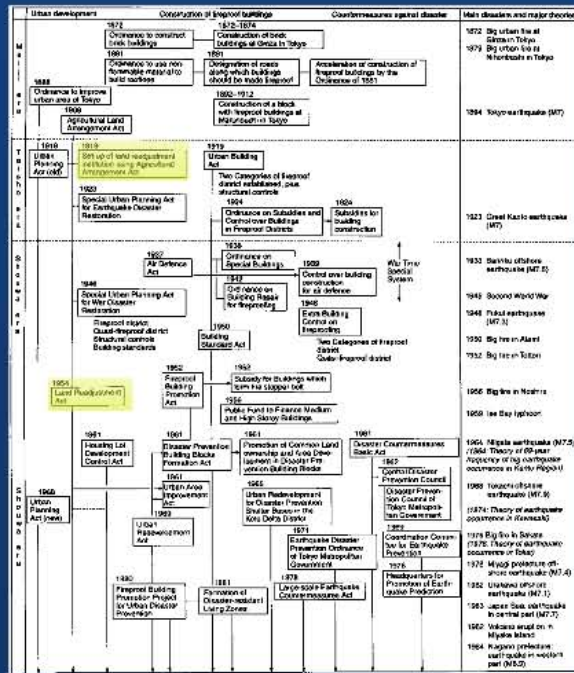
Image Credit: Town and Country Planning Department, Peninsular Malaysia

Common Purposes:

- Settlement/infrastructure upgrading
- Sprawl prevention
- Rural to urban conversion

Related Terms:

- Land Pooling (legal consolidation)
- Land Readjustment (notional consolidation)



Disaster Legislation - Japan
Image Credit: Tokyo Metropolitan Government

International Scope

- Germany, France, Sweden
- Australia, South Korea, Turkey
- India, Taiwan, Indonesia, Nepal

Japan

Primary purposes:

- Urban renewal following WWII & natural disasters
- Sprawl prevention

Nagoya:

- War Disaster Recovery Project (1946)
- 2/3 of urban areas developed through LA
- 35% of each land parcel for infrastructure

Assimilation Matrix of Land Readjustment Experiences			
Challenges-Response in LR of the Three East Asian Cities and its Potential Association to Metro Manila			
	Challenges	Responses	Assimilation (Potential to improve LR of Metro Manila)
Equity Consideration	<ul style="list-style-type: none"> • Inclusion of Higher Income Groups and Speculators (S) • Exclusion of Tenants and Renters • Access Exacerbated by Accrued Benefits (N) • Urban Infrastructure Provision Focus (N) • Community Concern Neglect (N) • Excessive Land Contribution (S) 	<ul style="list-style-type: none"> • Shifting to Land Austerity Technique (S) • Inclusion of Report on Unregistered Rights (other than that of Ownership) in LR Process (N) • Stronger Representation in LR Council (N) • Development of a Subsidy and Support Funding System (S) 	<ul style="list-style-type: none"> • Identification of Existing Registered Low-income Communities in the Middle of High-value Intra-City Areas • Inclusion of Tenants and Renters as Community Stakeholders • Focus on Community Priority Allocation and Urban Neighborhood Renewal • Development of a Community Income-Generating and Funding Support Schemes
Efficiency Consideration	<ul style="list-style-type: none"> • Excessive Dependence for Financing on Cost-Enhanced Land Sale (S) • Exclusive Utilization of LR as Land Acquisition and Development Technique (S) • Absence of Land Use Order after Transfer of Property Rights (N) • Inefficient Use of Small Plots (N) • Inefficient Effect of Large-Scale Long Duration Projects (S) 	<ul style="list-style-type: none"> • Development of a Subsidy and Support Funding System (S) • Combination of LR with Other Land Development Techniques (S, N) • Development of a District Planning System (N) • Development of a Collective Ownership Scheme and Rights Corwin (N) • I.R.P. Projects of National Significance undertaken or directed by National Government & Agencies 	<ul style="list-style-type: none"> • Development of a Community Income-Generating and Funding Support Scheme • Combination of LR with Rights Conversion Technique (as proposed by JICA) • Development of a Community Physical Structure and Development Plan • Establishment of an Urban Housing and Livelihood Cooperative under RA 8537 • Application of LR on a Neighborhood Scale in Incremental Mode
Democratic Participation	<ul style="list-style-type: none"> • Non-recognition of Tenants and Renters as Stakeholders (N) • Minimal Participation of Community Stakeholders in Crucial Decisions (N) • Managed Land Readjustment Council (N) • Incomprehensibility of Plans to the Community (N) • Limited Time-Frames between Notification and Submission of Comments (N) 	<ul style="list-style-type: none"> • Inclusion of Report on Unregistered Rights other than that of Ownership in LR Process (N) • Stronger Representation in LR Council (N) • Improvement of Safeguards in the Implementation Process (N) • Technical Briefing for Community Stakeholders • Extension of Time-Frames to Agree upon Length 	<ul style="list-style-type: none"> • Inclusion of Renters and Tenants in the Urban Housing and Livelihood Cooperative Partnership with the Community Stakeholders in the Planning, Design and Implementation Process • Formation of a Fully Democratic and Fair Implementation Process • Technical Briefing for Community Stakeholders of the Basics of Planning, Design & Improvement • Direct Partnership of the Community in Planning, Design and Implementation in the Urban Renewal of their Neighborhood

Assimilation Matrix - Metro Manila Image Credit: J. Oaño

International Application of Land Assembly (LA)

Variety of International Characteristics

1. Project leadership (i.e. individuals, associations, local governments, developers)

2. Compulsory vs. voluntary participation

3. Cost burden (i.e. local government, low-interest loan, subsidy)

4. Cost recovery (i.e. selling plots for finance)

5. Land valuation methods

6. Project area size

7. Legal framework

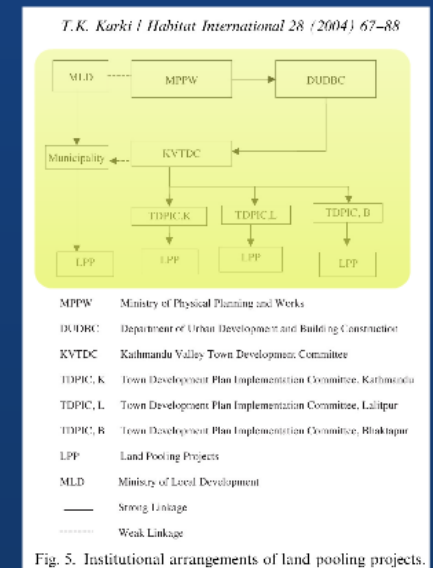


Image Credit: T.K. Karki

Institutional Arrangements - Nepal

Land Assembly (LA) in Philippines: Research Project Background

Developed with the support of the **Earthquakes and Megacities Initiative (EMI)** in the Philippines as Master's Thesis

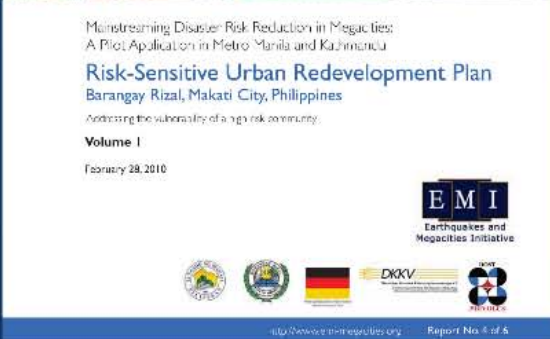


Image Credit: EMI



Image Credit: EMI, J. Futrell

Project input for the Risk-Sensitive Urban Redevelopment Plan (RSURP) for **Barangay Rizal, Makati City, Philippines**

Purpose of **applying LA concept locally** to facilitate earthquake-resistant housing

*** RSURP supported by the German Federal Foreign Affairs Office through the German Committee for Disaster Reduction (Deutsches Komitee Katastrophenvorsorge - DKKV) and Philippine Institute of Volcanology and Seismology (PHILVOCS)



Site Visit in Barangay Rizal
 Image Credit: J. Futrell

Purpose: Reduce earthquake risk by relocating people living on the fault line

Strategy: Redevelop larger land parcels formed through LA in areas with lower earthquake risk

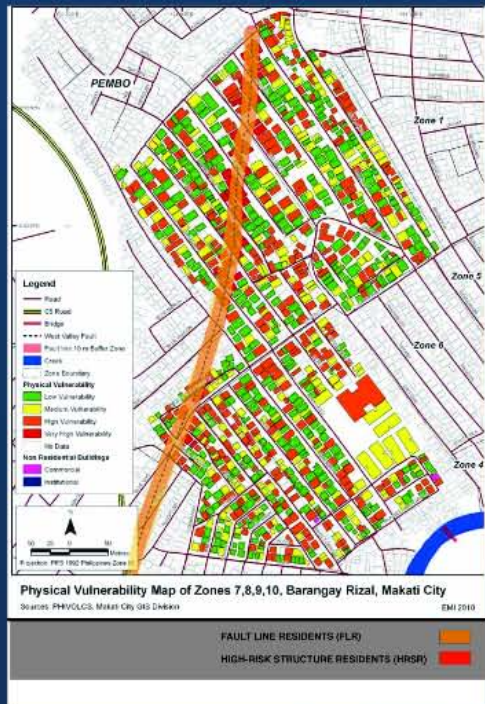


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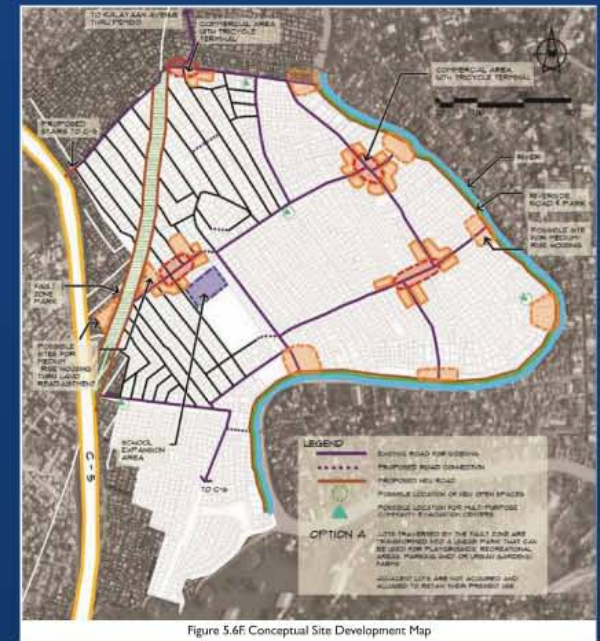


Image Credit: EMI

Method: Replace non-engineered, 1-2 storey housing inclusive of current residents and Fault Line Residents (FLR)

Processes: Land Assembly depends on 1) project self-finance and 2) stakeholder engagement

National Opportunities

- Reduced **legal barriers** (Urban Development and Housing Act of 1992)
- Government housing **loan scheme** (i.e. Pag-IBIG Fund)

Monthly Compensation	Percentage of Monthly Compensation	
	Employee Share	Employer Share
P1,500 and below	1%	2%
Over P1,500	2%	2%

Pag-IBIG Employer & Employee Contributions

Image Credit: Pagibig

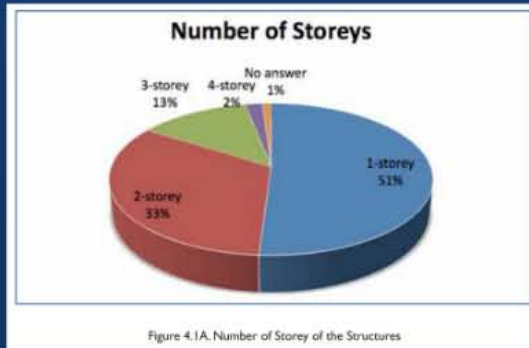


Figure 4.1A. Number of Storey of the Structures

Image Credit: EMI

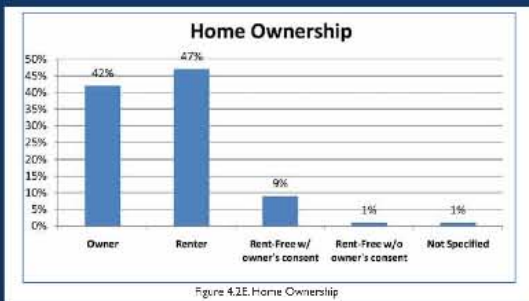


Figure 4.2E. Home Ownership

Image Credit: EMI

Local Opportunities

- Land **titles** to be awarded (2010 Free Patent Act on Residential Land)
- Local **stable income**
- 84% **1-2 storey** buildings
- Appreciating **land values** near commercial district

Status	Percentage
Employed	45%
Unemployed	55%
Total	100%

Employment Type	Percentage
Permanent	49%
Contractual	24%
Business (Self-Employed)	16%
Services (Self-Employed)	5%
Retiree	4%
Pensioner	1%
Total	100%

Employment	# Individuals	%
Office Staff/Employees/Clerks	396	25%
Government/City/Barangay Employee	239	10%
Driver	211	9%
Elementary Occupation	208	9%
Services & Sales	200	9%
Professionals	150	6%
OPW/Seaman/Caregiver	143	6%
Businessmen	117	5%
Teacher	115	5%
Call Center Agent	112	5%
Technician/Mechanic	109	5%
Manager/Supervisor	66	3%
Arts and Crafts	64	3%
Medical and Allied Fields	61	3%
Police/Military	37	2%
Total	2,428	100%

Image Credit: EMI

Employment Figures



Image Credit: J. Futrell High Risk Structure

Project Opportunities

Project Self-Finance

- Gain **maximization** vs. **cost minimization** factors
- Increases **replicability** by reducing subsidy
- Inputs - **land** and **finance** (i.e. €, loan)
- Outputs - apartment/commercial **units**
- Scenario - **60%** of participants contribute 100% **construction finance** (i.e. $24 \times \$6,000 = \$144,000$)

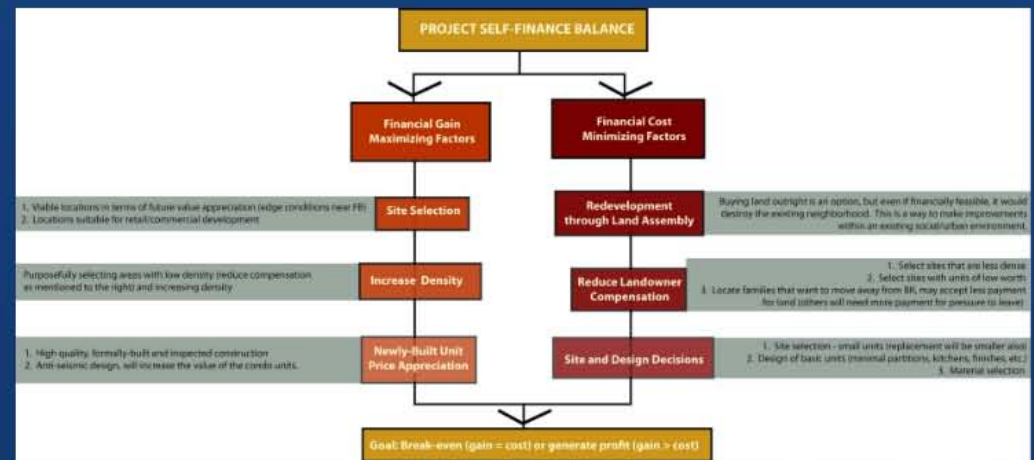


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FULL COST RECOVERY: SCENARIO B

Conclusion: Beginning on a site with a two-story building prohibits the 'absorption' of the cost of the Fault Line Resident Landowner (FLR-LO), therefore the FLR-LO is not included.

Construction Cost = \$18,000
Total for 5 units @ 60m2



Image Credit: J. Futrell

PROJECT MIX: INVESTMENT AND UNITS

Conclusion: To develop an attractive project mix, the Makati City Project Team will strike a balance between:

- 1) Direct Investors (Renter-to-Own and Commercial)
- 2) Land Provider (High Risk Structure Land Owner)
- 3) Free Rider (Fault Line Resident Landowner)

Construction Cost = \$144,000
Total for 40 units @ 60m2

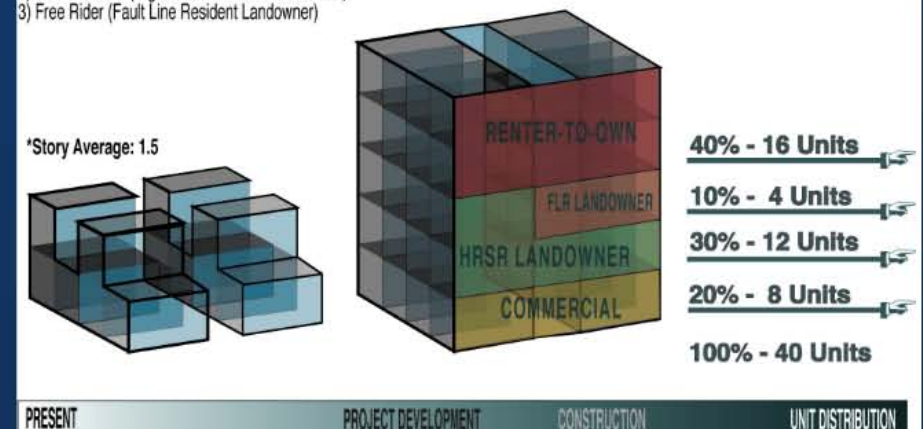


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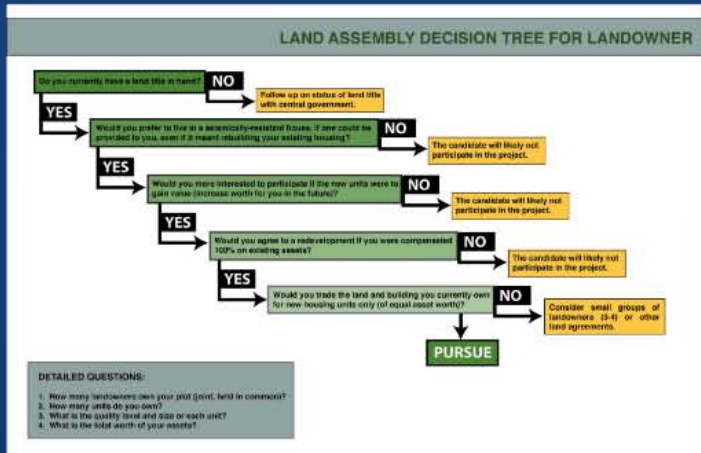


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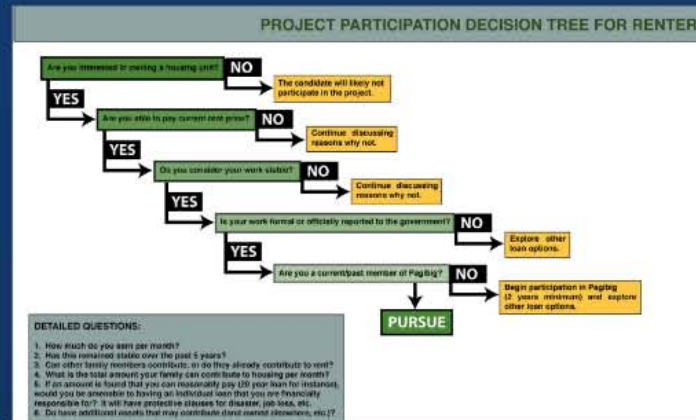


Image Credit: J. Futrell

- Project is dependant on stakeholder interest, engagement and trust
- Interests include family safety, asset improvement and value appreciation

Stakeholder Engagement

Stakeholder Groups

- Local government and related institutions (i.e. housing agency, banks)
- Community members (i.e. land owners, renters)

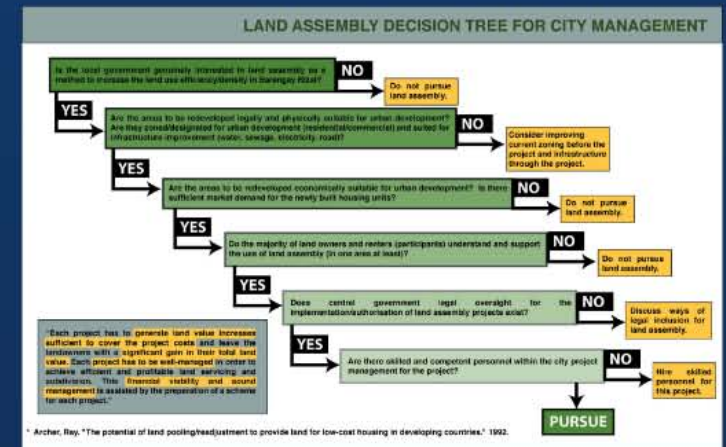


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Pilot Project Mapping

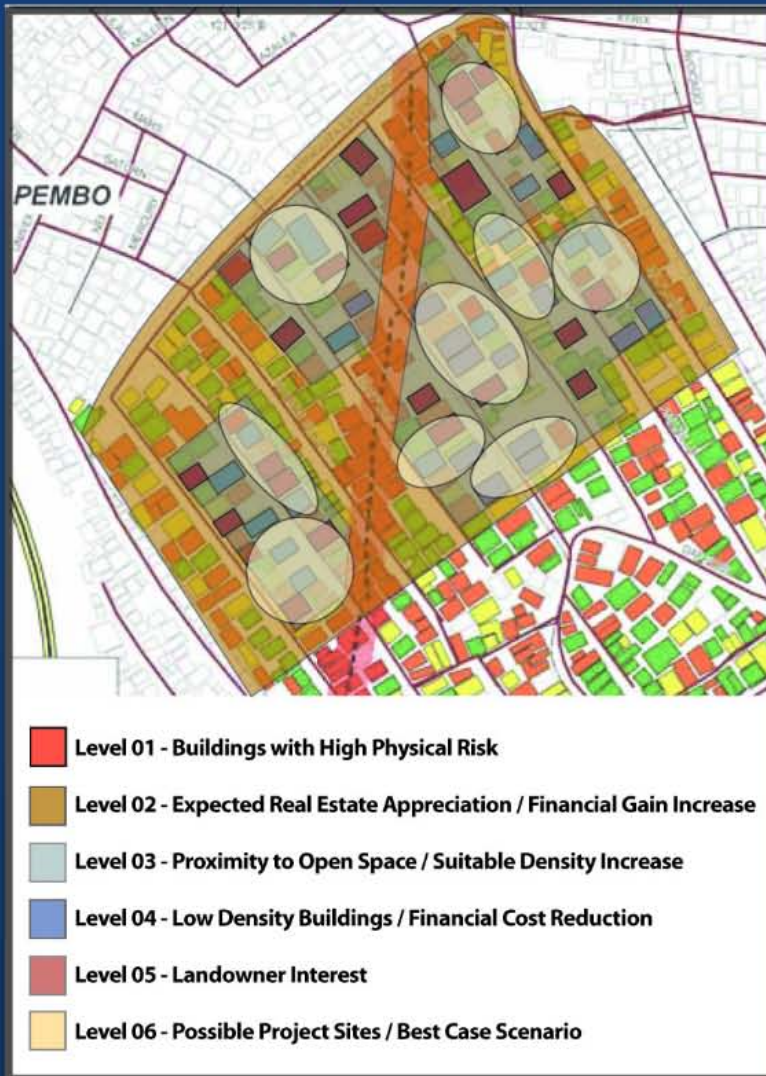


Image Credit: EMI, J. Futrell

- Mapping “enabling factors” to pinpoint pilot project site
- Areas of overlap illustrate “best case scenario”
- Project transparency (i.e. clear graphics and data)
- Clarity for stakeholders (i.e. site selection, project cost)

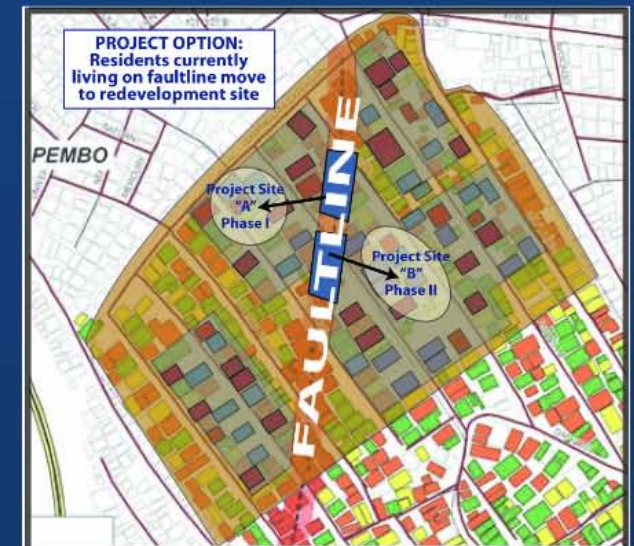


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PROJECT PARAMETERS AND FRAMEWORK EXAMPLE

BUILDING	1	2	3
Original Number of Stories	1	2	3
Project Number of Stories	3	4	5
Building Footprint	180 m ²	360 m ²	540 m ²
UNIT DESIGN			
Construction Method	Reinforced Concrete	Reinforced Masonry	Steel Frame
Unit Size	40 m ²	50 m ²	60 m ²
Number of Interior Partitions	0	1	2
Finishes	None	Flooring	Cabinets (Kitchen/bath)
LAND			
Average plot size	50 m ²	60 m ²	70 m ²
Number of joined plots	4	8	12
DISASTER ISSUES			
Lateral Resistance (Anti-seismic) System	Moment-Resisting Frame	Shear Walls	Braced Frame
Flood Design	Elevated living units and building equipment	Pile/Column Foundation	Flood-Resistant Materials
Disaster Insurance	Included with project	Available for purchase	

PROJECT "A" FRAMEWORK

* Additional parameters will be added as defined by city management.

Image Credit: J. Futrell

Benefits of Land Assembly

- Reduces **project cost** (i.e. land acquisition, construction, developer profit)
- Maximizes land as both **natural resource and financial asset**
- Keeps **community in-place**



Image Credit: J. Futrell

- Generates **larger building footprints** to increase effectiveness of anti-seismic design
- Improves housing for **"upper-lower"** income groups, not often served through **social or developer-driven housing**



Image Credit: J. Futrell



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Thank you for your time and attention!



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Questions? Requests for related documents? Feel free to email me at janaefutrell@gmail.com!