Joint Operational Framework

Improving Coordinated and Integrated Multi-Sector Cholera Preparedness and Response within Humanitarian Crises

A joint collaboration between the Global Health Cluster & Global WASH Cluster







CONTENTS

Acronyms	3
Why is a Joint Operational Framework Necessary?	4
Humanitarian Response, the Cholera Joint Operational Framework and Supporting National Efforts	
5 Critical Lessons & Recommendations for an Effective and Efficient Cholera Response	7
Integrated Responses	8
Benefits of Integration	8
The Joint Operational Framework (JOF)	9
Aim of the Joint Operational Framework	9
How to Apply the Joint Operational Framework	10
Structure and Content of the Joint Operational Framework	10
How to Read the Joint Operational Framework	12
Tools	13
The 3 Elements of the Joint Operational Framework (JOF)	14
Where to go for Help?	18
Feedback	18

Acknowledgements

The Health and WASH Clusters gratefully acknowledges funding and in-kind support for this project from the United States Agency for International Development's Office of Foreign Disaster Assistance (USAID/OFDA). We would like to thank Jean McCluskey for field visits and data analysis, facilitating the expert review and combining the information collected for the development of this Joint Operational Framework (JOF) for Effective AWD/Cholera Preparedness and Response. We would also like to thank the Health and WASH country, regional and global colleagues and partners that have contributed by providing expertise through interviews, surveys and peer review.

Acronyms

AAR After Action Review
AWD Acute Watery Diarrhoea
CTC Cholera Treatment Centre
CTU Cholera Treatment Unit
EOC Emergency Operations Centre

EWARN Early Warning and Response Network

GOARN Global Outbreak Alert and Response Network

GTFCC Global Task Force for Cholera Control

HC Humanitarian Coordinator
HCT Humanitarian Country Team
IASC Inter-Agency Standing Committee
IMS Incident Management System
IPC Infection Prevention Control
JOF Joint Operational Framework

NCP National Cholera Plan

OCHA United Nations Office of the Coordination of Humanitarian Affairs

ORP Oral Rehydration Point
P&R Preparedness and Response
SOP Standard Operating Procedures

ToRs Terms of Reference

UNHCR United Nations High Commissioner for Refugees

WASH Water Sanitation Hygiene WHO World Health Organisations

Why is a Joint Operational Framework Necessary?

- 1. Cholera outbreaks remain a major public health threat during complex humanitarian crises and in the aftermath of major natural disasters (where cholera is already active). Many of the most severe outbreaks of the last decade have largely occurred within protracted and complex humanitarian crises.
- 2. The Global Task Force on Cholera Control (GTFCC) strategy for cholera elimination focuses on 47 countries affected by cholera. Of these, 43% (20) have an ongoing (internal) humanitarian crisis and appeal¹ representing at least 45% of both estimated number of cases and deaths². An additional 36% (17) of these targeted countries have a refugee programme supported by the United Nations High Commissioner for Refugees (UNHCR)³ representing an additional 48% of estimated cholera cases and deaths⁴. Countries in humanitarian crisis therefore represent both a significant proportion of the number of countries targeted, as well as of the global cholera burden.
- 3. Coordinated under the IASC's humanitarian architecture and cluster approach, humanitarian actors (particularly health and WASH), often play a significant role in supporting national public health capacity to assess, plan, coordinate and implement preparedness and response (P&R) measures for cholera. Evidence shows, the humanitarian community often turn to the Health and WASH Clusters/Sectors⁵ to support the coordination and implementation of cholera preparedness and response action⁶. In some contexts, where hotspot mapping⁷ 8 or government-led National Cholera Plans (NCPs) more broadly have been carried out, the humanitarian community has contributed to cholera prevention activities.
- 4. There was wide recognition that the coordinated intersectoral response needed strengthening, to more efficiently and effectively respond to, contain and potentially prevent, cholera outbreaks in humanitarian crises. At the request of partners and staff, the Global Health and WASH Clusters undertook a joint project to develop strategies, in the form of a **Joint Operational Framework (JOF)**, to improve the coordinated and integrated P&R to cholera in countries in humanitarian crisis:
 - The 1st phase of this project provided a global analysis of the gaps and barriers to an effective integrated and
 coordinated response to cholera in humanitarian settings, resulting in demand for a new operating model a Joint
 Operational Framework to support cholera P&R.
 - Several evaluations⁹ and the project's 1st phase results identified a lack of clarity of leadership and accountability, unclear roles and responsibilities and the multiplicity of coordination mechanisms was leading to confusion, duplication, gaps, delays and response decision-making made far from cholera outbreaks.
 - Many P&R plans in humanitarian contexts have been mainly multi-sectoral in name only, with often siloed Health
 and WASH responses. Whilst responses to cholera require integrated (multi-sector and multi-disciplinary)¹⁰
 analysis and response, most cholera coordination mechanisms do not facilitate working across pillars/sectors to
 analyse and address issues to reduce morbidity and mortality. The lack of integrated field-response teams results

¹ Source: Financial Tracking Service, OCHA <u>www.fts.unocha.org</u>

² Latest data available from 'Data on estimated country specific cholera cases and deaths from the Updated Global Burden of Cholera in Endemic Countries', Ali et al 2015 (also source data for the GTFCC Ending Cholera, Road Map to 2030). Updated analysis of data on cholera burden is planned for 2020/2021

³ Source: Global Appeal 2018-19, UNHCR http://reporting.unhcr.org/publications#tab-global appeal. Where countries have both an ongoing humanitarian crisis/appeal and refugee programme, they were only counted once in those with an ongoing humanitarian crisis/appeal.

⁴ ditto

⁵ In the rest of the document, the term 'cluster' will be used to indicate clusters or sectors

⁶ Whose Responsibility? Improving the Coordinated and Integrated Response to Cholera Outbreaks within Humanitarian Crises, 2018

⁷ Cholera 'hotspots' are specific and relatively small areas where the cholera burden is most concentrated and that play a central role in the spread of cholera (source: Ending Cholera; A Global Road Map to 2030, Global Task Force for Cholera Control (GTFCC), 2017

⁸ Some Hotspot Mapping reports can be found at https://plateformecholera.info/index.php/regional-roadmap/step-1-cholera-hotspots and https://plateformecholera.info/index.php/cholera-in-wca/cholera-in-wca/cholera-hotspots

⁹ Evaluation of the Unicef Level 3 Response to the Cholera Epidemic in Yemen, 2018 and Cholera in Yemen: A Case Study of Epidemic Preparedness and Response. John Hopkins, 2018

¹⁰ Multi-disciplinary in this context describes the need not only for the involvement of different sectors, but also for the involvement of the complementary disciplines from within sectors eg a multi-sector, multi-disciplinary (Integrated) Outbreak Investigation Team may include a Team Lead (not necessarily from the Health Sector), a clinician, an epidemiologist, a laboratory/microbiologist (who may be described as all from the Health Sector), a WASH/environmental health specialist, social mobilisation/risk communication specialist (who may be described as from the WASH Sector- although risk communication specialists may be described as from the health sector)

in more **ineffective** and **inefficient** (time/resources) responses. The importance of involving a broader range of sectors to support P&R is largely not recognised.

- The lack of a single integrated approach to planning, preparedness, response and reporting has meant that
 those contributing to different parts of the response are not always seen as part of the same 'team'. Those
 sectors working on cholera treatment and those working on cholera control responses do not always understand
 the rationale for the responses and decisions that each other take, and therefore less likely to share data and
 provide the necessary support.
- A lack of sharing of real-time cholera data, analysis and decision-making reduces the effectiveness of response
 through delays and a lack of ability to target actions. There is additionally a limited awareness of the evidencebase for targeted responses for cholera across planners and responders.
- Cholera preparedness is often implemented late or starts with the onset of the first cholera cases of an epidemic, which is then impossible to catch up on. Making multi-sector agreements of how responders work together in the middle of a response is particularly challenging if not completed during preparedness. Cholera preparedness rarely makes an appearance in humanitarian contingency planning, Humanitarian Response Plans (HRPs), nor into their budgets.
- 5. This new Joint Operational Framework (JOF) is therefore grounded in the breadth and depth of consultation with those working in cholera response in across different humanitarian contexts. It also promotes a set of key tasks in the critical areas of leadership, coordination, and integrated response, to increase the efficiency and effectiveness of cholera P&R efforts.

Humanitarian Response, the Cholera Joint Operational Framework and Supporting National Cholera Efforts

- 6. The principal objective of international humanitarian action, and the purpose of coordination, is to meet the needs of affected people by means that are reliable, effective, inclusive, and respect humanitarian principles. IASC clusters or sector coordination are activated where coordination capacity is overwhelmed or constrained. At other times (no activation of clusters/sectors), international partners may also reinforce government coordination capacity as needed¹¹. In the same way, humanitarian response partners support where gaps or constraints exist in capacity.
- 7. The overall leadership of cholera prevention, preparedness and response, rests with government authorities. However, humanitarian actors (particularly Health and WASH), coordinated under the IASC's humanitarian architecture and cluster approach¹², often play a significant role in supporting national public health capacity to address cholera.
- 8. There is a range of humanitarian actions, activities and responsibilities of clusters that, when activated, support national responses to humanitarian response, as described in the summary table below of cluster functions¹³. Given clusters already work in coordination with governments, government and the humanitarian community often turn to the Health and WASH clusters/sectors to support the coordination, planning and implementation of cholera P&R¹⁴, along with the capacities of WHO and Unicef. Table 1 sets out the core functions of clusters which are as relevant to core cholera P&R actions as they are to humanitarian response.
- 9. The role and level of engagement of clusters and humanitarian partners in cholera P&R depend on the gaps and areas to be strengthened, adapting according to national capacity. P&R plans are also an integral part of NCPs. The presence of an international humanitarian response with its own coordination architecture,

¹¹ Cluster Coordination Reference Module, IASC, 2015

¹² Or UNHCR in refugee contexts

¹³ IASC Cluster Coordination Reference Module 2015 https://www.who.int/health-cluster/about/cluster-system/cluster-coordination-reference-module-2015.pdf

¹⁴ In the rest of the document, the term 'cluster' will be used to indicate clusters or sectors

means that it is critical to ensure a clear and smooth interface with whatever cholera IMS/taskforce/emergency operations centre is agreed by government, and set out in its NCP.

Cluster Core Functions	Description of Cluster Core Functions	
Coordinate Service Delivery	Coordination and prioritisation of response delivery	
	Eliminate gaps and duplication	
Strategic Decision-Making	• Informing country-level humanitarian strategic decision-making through	
	advising the HC and the Humanitarian Country Team (HCT)	
	 Needs assessment, gap analysis, problem-solving for strategic priorities 	
Planning	Sector planning and priority setting	
	 Determining funding requirements and resource mobilisation 	
	Agreeing on common standards and guidelines	
Monitoring & Evaluation	 Measuring, monitoring, reporting and evaluation of needs and results 	
Building National Capacity in	Operationalise emergency response preparedness in their sector and monitor	
Preparedness and Contingency	Contingency planning for anticipated risk events	
Planning		
Advocacy	Sector advocacy and key messages	
Accountability to Affected People	Support accountability to affected people by promoting and facilitating across	
	the sector, participation in decision-making, coordinated information sharing,	
	feedback/complaints mechanisms	
Data & Information Management	Data and information management that works to support all of these functions	

Table 1 - Cluster Core Functions (adapted from the Cluster Coordination Reference Module, IASC 2015)

The Joint Operational Framework and Situating it within Longer Term Efforts to Control Cholera

- 10. The strategy of the Global Taskforce for Cholera Control (GTFCC), 'Ending Cholera a Global Roadmap to 2030' was launched in 2017. As a result, a growing number of countries, including those with ongoing international humanitarian responses, are working to develop National Cholera Plans (NCPs) using the 3-axis strategy of the GTFCC:
 - Axis 1 Early detection and quick response to contain outbreaks
 - Axis 2 Prevention of cholera reoccurrence by targeting multi-sectoral interventions in cholera hotspots
 - Axis 3 A (global) effective mechanism of coordination for technical support, advocacy, resource mobilisation, and partnership at local and global levels (the GTFCC)
- 11. The **JOF focuses specifically on supporting Axis 1**. Efforts should be made to ensure that overall humanitarian support and action to combat cholera is integrated into one coordinated cholera programme (NCPs where they exist), and to support the government to fulfil its role whenever necessary and possible.
- 12. Where NCP plans are already developed, the guidance provided in the JOF (which draws upon years of experience and learning of practitioners in cholera response in humanitarian contexts), can be used to further inform and strengthen the operationalisation of 'early detection and quick response', to contain outbreaks by contributing to an effective, coordinated and integrated P&R.
- 13. Where opportunities exist for humanitarian action to contribute towards cholera prevention (Axis 2), these actions should be encouraged and aligned with and coordinated under the medium and longer-term efforts of a country's NCP.
- 14. The JOF works specifically on providing detailed guidance and tools to humanitarian sectors and organisations to support an integrated and coordinated multi-sector, multi-disciplinary, P&R. The JOF therefore *complements* other existing more technical guidance on cholera prevention, preparedness and control, such as that provided by the <u>Global Task Force for Cholera Control</u>, to provide the most effective support to the overall national response.

5 Critical Lessons & Recommendations for an Effective and Efficient Cholera Response

15. Five key elements emerged from the project's gap and barrier analysis¹⁵ that are critical to an effective integrated and coordinated cholera response, and are core to the overall Joint Operational Framework:

1.	Overall leadership and accountability rests with government who should be supported where possible
LEADERSHIP	• Recent evaluations have identified that:- clear humanitarian leadership roles in P&R, how leadership is held to
	account (and by whom) are critical to the foundation of an effective cholera response
	 Leadership and accountability for cholera P&R in the humanitarian community should:
	 be agreed at country level based on capacity and expertise of entities involved
	have clear ToRs and
	clear means to hold entities to account
2.	Multi-Sector Coordination
COORDINATION	Most cholera P&R plans do not operationalise an integrated, multi-sector, multi-disciplinary approach
	The science of the causes and how to control/treat cholera provide the evidence-base for an integrated,
	multi-sector, multi-disciplinary approach to combat cholera.
	Single coordination structure with clear interface with other mechanisms
	Multiple coordination structures/mechanisms are found in cholera responses causing confusion, duplication,
	delays and gaps in responses
	 Clarity of the interface between different coordination structures/mechanisms (eg cholera task forces,
	incident management system, emergency operations centres, humanitarian architecture - clusters/sector,
	inter-cluster, Humanitarian Country Teams), is critical to ensure a single coordination system for cholera
	and that all efforts and energy focus only on combating cholera
	Local decision-making and analysis
	Centralised coordination and decision-making slow access to data/analysis and ultimately, slows response
	 Coordination and data analysis to support decision-making needs to be devolved and available as local as
	possible to the area of intervention
3.	 Most cholera responders in humanitarian contexts reported that there is little preparedness carried out during
PREPAREDNESS	the inter-epidemic periods and little monitoring or accountability for this
PLANNING	The effectiveness and efficiency of a cholera response is related to the level of preparedness implemented
- LANGE	before an outbreak, that is often impossible to catch up on in a response
	Cholera P&R plans need to:
	Be one integrated multi-sector plan
	Have clear areas of integrated collaboration and roles and responsibilities
	Have a clear Preparedness Plan of Action with responsibilities, timeline, budget, and a Preparedness
	Scorecard for regular reporting on progress
4.	4Cs of Integration – Coherence, Convergence, Complementarity and Combined (see section below)
INTEGRATION	• Evidence has shown that cholera responses are often organised and carried out in silos, particularly by Health
INTEGRATION	and WASH, resulting in inefficient and less effective responses. Grey areas of responsibility result in gaps and
	duplication. Responses need to be more integrated across all 4 levels of integration, for example:
	Cholera Data, Integrated Simulations, Identification and Targeting of Hotspots
	o Coherent integration by ensuring clear responsibilities for grey areas eg WASH-IPC in Health Care Facilities,
F	Risk Communication/Community Engagement of communities, Water Quality monitoring
5.	The identification of cholera hotspots and their risk factors through the analysis of cholera data is the starting
DATA AND	point for prevention and preparedness planning
ANALYSIS	Many response plans and their subsequent implementation have not recognised the importance of real-time
DRIVEN	sharing of cholera case data and their analysis to inform <i>when</i> and <i>where</i> to <i>target</i> responses; <i>who</i> in the
	population to <i>target</i> and <i>how</i> best to intervene, resulting in slow, ineffective responses
	Responses need to be data and analysis-driven ensuring:
	 Good coordination and discussion between government and partners in the cholera preparedness
	phase can help ensure access to data and its analysis to meet the needs of all sectors
	 A clear mapping and agreement of who needs what data, why, for what analysis and when
	 Clarification what data needs to be collected, who will collect the data, what analysis will be
	performed, who will analyse, what products will be generated and in what timeframe
Table 2 -	5 Critical Lessons and Recommendations for an Effective and Efficient Cholera Response

Table 2 – 5 Critical Lessons and Recommendations for an Effective and Efficient Cholera Response

¹⁵ Whose Responsibility? Improving the Coordinated and Integrated Response to Cholera Outbreaks within Humanitarian Crises, 2018

Integrated Responses

- 16. Core to an effective cholera response, to the JOF, and NCPs, is the concept of multi-sectoral integration. There is a requirement for different levels of integration, depending on the type of action. For example, at its lower levels eg coherence agreeing roles and responsibilities to reduce duplication, and at higher levels eg combined integrated outbreak response teams made up of different disciplines from multiple sectors.
- 17. Integrated approaches are not always assisted by the way that humanitarian and public health responses are organised. Working in clusters or sectors means responses often become siloed, where integration can be limited to working towards the same goal, but on separate tracks, limiting the efficiency and effectiveness of responses. Multi-sectoral integration in the context of cholera demands we go further to combine efforts collaborate on specific responses.
- 18. Many of the most critical actions require the higher levels of integration and collaboration, as shown below in Table 3, the *4C's of Integration*.

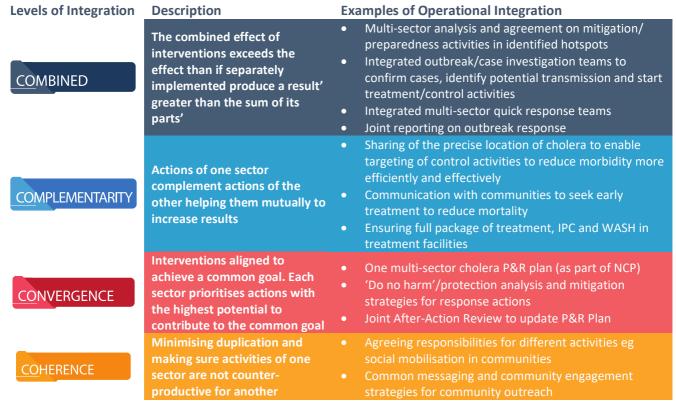


Table 3 - 4C's of Integration (adapted from Global Nutrition Cluster's 'Inter-Cluster Coordination - What is it' 2019)

Benefits of Integration

- 19. Ultimately, integrated cholera prevention, P&R gives **better public health outcomes** for people affected both by cholera and the ongoing humanitarian crisis.
 - No one sector provides an overall response to cholera. It is not only when we have a sum of these separate sector responses, but when we truly integrate these parts, that we get the best public health outcomes.
 - When working in an integrated way, responses are more 'complete' (fewer gaps) to meet the overall needs of those affected, and therefore more effective.
 - Integrated responses also mean reduced duplication of efforts, and limited available resources can be targeted at agreed priority actions.
 - Integration also means we have a better understanding of the importance of each sector's contribution.
 Integration means more of a sense of 'team' and less frustration; feeling less necessity to defend our own 'sector' interventions, and prioritising responses that provide the best outcomes for affected people.

The Joint Operational Framework (JOF)

Aim of the Joint Operational Framework

- 20. The JOF is a 3-element framework designed for humanitarian actors, bringing together a set of tasks which, when implemented, can support a coordinated and integrated multi-sector response to cholera across the three phases:
 - Prevention (where possible according to the humanitarian context)
 - Preparedness
 - Response
- 21. The Joint Operational Framework (JOF) aims to guide those working on cholera P&R in humanitarian contexts, primarily Health and WASH Clusters, HCs/HCT (and OCHA), WHO and Unicef country offices, in facilitating and supporting government:
 - The right **Enabling Environment** to support a timely and effective response to cholera through effective **Leadership** and **Multi-Sector Coordination**
 - Operational tasks and tools to strengthen the integrated nature of cholera prevention, preparedness
 and response to support a more timely, efficient and effective cholera P&R, each utilising the five
 components of integrated Planning, Early Warning Early Action, Analysis, Response and Learning



Image 1 – Phase and Components of the Joint Operational Framework

- 22. The JOF outlines how humanitarian organisations can best organise and work together to provide the most effective support to a national response. The objectives of the JOF, in collaboration with and in support of national authorities and their NCPs, are therefore to:
 - 1. Support clarity in humanitarian leadership and accountability in cholera P&R
 - 2. Ensure one single cholera coordination that clearly demonstrates its interface with other related coordination mechanisms, particularly humanitarian response architecture
 - 3. Demonstrate the critical importance of an integrated, multi-sector and multi-disciplinary response, and what this looks like practically in the form of tasks in prevention, preparedness and response
 - 4. Provide a set of tools to enable the user to understand better what is proposed as key tasks, and a head start to carry out the task in their own country- context

How to Apply the Joint Operational Framework

- 23. The JOF is a chapeau document of tasks and tools based on a wealth of cholera response learning from field practitioners. This learning emphasises that clear leadership, multi-sector coordination and integrated Health and WASH collaboration at the operational level are essential to effective P&R.
- 24. The overall leadership of cholera prevention, preparedness and response and associated NCPs, rests with government. Humanitarian actors can play an important part in supporting national public health capacity to address cholera. However, important to note is that, tasks in the framework are thus for the humanitarian community to 'support' or 'contribute to', rather than a direct responsibility for. For the purposes of brevity, these terms are not repeated for each task.
- 25. Where gaps are identified, Health and WASH clusters/sectors, and other humanitarian actors, **should take the opportunity to work with and support government authorities** and other key stakeholders to ensure these gaps are filled, and support the filling of those gaps as necessary.
- 26. The extent of the implementation of tasks will depend on a country-level analysis of the context and preparedness work already identified and completed. The framework content presents guidance based on learning and experience, and are not guidelines. Therefore, each task can be prefaced with 'As needed'. In the interests of brevity, we have not repeated this for each task.
- 27. The framework tasks can be used as a **checklist of actions** in phases of preparedness or response, to ensure key components of coordination are in place, and integrated responses approaches are mainstreamed. Tools available for tasks are indicated where the **task is underlined** within the framework.

Structure and Content of the Joint Operational Framework

- 28. The JOF is composed of three elements with the same sub-structure one each for:
 - 1. Prevention 2. Preparedness 3. Response (see image below).
- 29. For each of the Prevention, Preparedness and Response elements, there are the same seven sets of tasks set on two levels. The first level (Leadership and Multi-Sector Coordination) sets out tasks that provide an **enabling environment**, in which the second level of five **operational components**, can function effectively.

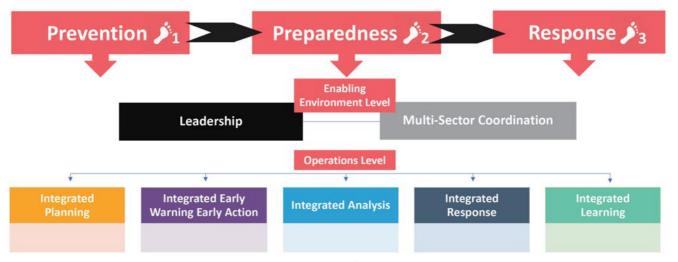


Image 2 – Structure and Components of the Joint Operational Framework

30. Table 4 describes the seven components that make up the Enabling Environment and Operational Levels:

Enabling Environment Level			
Leadership	Leadership is at the heart of the framework. Leadership sets the tone for collaborative efforts and resources from which prevention, preparedness and response start, and ensures there is only one cholera plan and 'team'. It ensures sufficient support from central levels and facilitates and encourages decentralised decision-making and response.		
Multi-Sector Coordination	Supports a single integrated (multi-sector/multi-disciplinary) coordination and clarifies its interface with related bodies and architecture eg National Cholera Taskforce, IMS, EOCs, humanitarian (clusters, inter-cluster, HCT). Ensures transversal collaboration between components of cholera coordination and their multi-sector composition. Monitors key P&R indicators (Scorecards) and thresholds of capacity and supports outreach for additional capacity internally/externally. Ensures timely sharing of information with leadership and multi-sector stakeholders.		

Operational Level		
Integrated Planning	Planning provides a substantial contribution to timely, effective P&R to cholera. It facilitates an integrated (multi-sector, multi-disciplinary) collaboration between all stakeholders. It supports the development of collaborative cholera P&R strategies and activities, mapping of capacity, roles and responsibilities, timelines for action and associated budgets. It supports collaborative decision-making, identifying/filling gaps and reducing duplication.	
Integrated Early Warning and Early Action	Includes a continuous review of the warning signs of an outbreak that is set-up to be sensitive to pick out changes; procedures for the activation of multi-sector alert system; integrated outbreak investigation teams to validate alerts, confirm cholera, identify potential risk factors for transmission, and critically, instigate early action.	
Integrated Analysis	The analysis component investigates data and the social analysis of the parameters of cholera cases (who, what, where, when), to understand the 'why' of the outbreak, to facilitate the development of informed and targeted treatment and control strategies.	
Integrated Response	Using information produced in the analysis component, response includes implementing and monitoring integrated response activities to prevent, control and treat cholera, continually adapting strategies using the ongoing analysis of cholera data.	
Integrated Learning	The learning component integrates evaluation throughout the three phases of prevention, preparedness and response, to ensure that each phase is informed by learning, examining how cholera prevention, P&R was led, coordinated, planned, acted upon early, analysed and responded-to. Lessons are often gathered, but learning only occurs when we integrate and operationalise lessons into improving the way that something is executed next time.	

Table 4 – Description of the Components of the Enabling Environment and Operational Levels

How to Read the Joint Operational Framework

- 31. Recognising that humanitarian users of the framework may engage with the framework in different phases Prevention, Preparedness and Response each component is written so that it is independent of the others. This may lead to some tasks to look like they are repeated eg in Preparedness, 'Contribute to multi-sector cholera epidemiological data analysis needs' and then in the Response phase 'Support production and dissemination of required cholera epidemiological analysis'.
- 32. The framework is presented as a set of recommended tasks to be completed if not already done. The three elements of the framework, Prevention, Preparedness, Response, are included at the end of the section and are available on the Global Health Cluster webpage¹⁵ or Global WASH Cluster webpage¹⁷.
- 33. As there is a lot of information in each, different ways of viewing the three elements of the overall framework are included below:
 - If viewing the framework from your computer:
 - The three elements at the end of the section give you an idea of how each component looks. Then **zoom-in** on parts of the framework to be able to read the tasks
 - Alternatively, open the thumbnails below of each element to view. Each open as an A3 PDF
 - If wanting to view on paper:
 - click on the thumbnails, which open an A3 PDFs which can then be printed easily
 - If you want an editable form of each of the elements:
 - go the <u>Global Health Cluster webpage</u> or <u>Global WASH Cluster webpage</u>. **CTRL+click on the** images to open/save as PDF and print.







Image 3 - Print Thumbnails of the three elements of the Joint Operational Framework

34. Some may find it useful to view the tasks by one of the 7 headings in each of the framework (Leadership, Multi-Sector Coordination, Planning, Early Warning Early Action, Analysis, Response, Learning), these tasks can be viewed in the table of the thumbnail below and are also available on the Global Health Cluster webpage or Global WASH Cluster webpage. CTRL+click on the images to open/save as PDF and print.

¹⁶ https://www.who.int/health-cluster/about/work/inter-cluster-collaboration/health-wash/en/

¹⁷ http://washcluster.net/cholera-joint-operational-framework

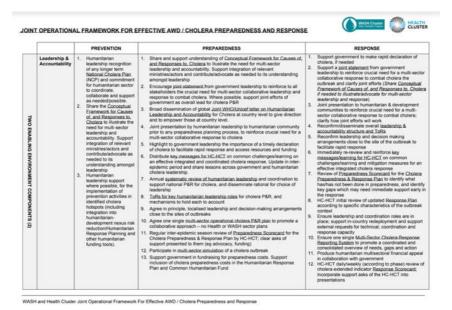


Image 4 – Print Thumbnail for the Joint Operational Framework, Organised by the 7 Components

Tools

- 35. Essential to the JOF are the tools that enable the user a head-start in carrying out the task in their own country-specific context, and to better understand what is being proposed in the task.
- 36. Where there is a tool for a listed task in the framework, the task description is <u>underlined</u>. Click on the <u>underlined</u> words, and you will be routed to the tool, if it is available. Where there is more than one set of words underlined in one task, this indicates a second separate but related tool.
- 37. At the time of writing, few tools have been linked to the framework. For a list of updated tools, please visit the Global Health Cluster webpage or Global WASH Cluster webpage for the JOF.
- 38. A list of all the tools organised by (i) the Enabling Environment (Leadership, Multi-Sector Coordination) and (ii) the Operational components (Planning, Early Warning Early Action, Analysis, Response, Learning), can be found by clicking on the **thumbnail** below, opening an A3 PDF, or download an editable version from the <u>Global Health Cluster webpage</u> or <u>Global WASH Cluster webpage</u> for the JOF. *CTRL+click on the images to open/save as PDF and print.*

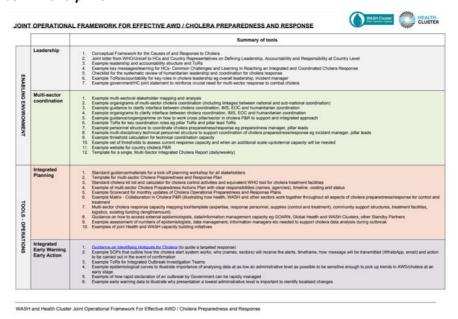


Image 5 - Print Thumbnail for the Tools of Joint Operational Framework

The 3 Elements of the Joint Operational Framework (JOF)

Prevention

Improving Integrated & Coordinated Cholera Preparedness & Response to Cholera within Humanitarian Crises - Joint Operational Framework

Prevention Multi-Sector Coordination Leadership Humanitarian leadership recognition of any longer term <u>National Cholera Plan (</u>NCP) and commitment for humanitarian sector to coordinate, collaborate and support as needed/possible. 1. Share as needed, the Conceptual Framework for Causes of, and Responses to, Cholera to illustrate the need and support for multi-sector coordination in Share the $\underline{\text{Conceptual Framework for Causes of, and Responses to, Cholera}}$ to illustrate the need for multi-sector leadership and accountability. Support integration of relevant ministries/actors and contribute/advocate as needed to its understanding amongst leadership 2. Support the coordination of any longer term multi-sector National Cholera Plan 3. Support where possible government coordination with neighboring countries on monitoring trends of AWD/cholera cases, analysis of risk factors, hotspot mapping 3. Humanitarian leadership support where possible, for the implementation of prevention activities in identified cholera hotspots (including integration into humanitarian-development nexus risk (as needed), and ensure information is shared reduction/Humanitarian Response Planning and other humanitarian funding tools). Integrated Early **Integrated Response Integrated Analysis Integrated Learning Warning Early Action** 1. Contribute to overall National Chol-1. Review timeliness and effectiveness 1. Support cholera hotspot mapping data and their location are integrated era Plan planning (NCP) where one is to and analysis of risk factors, if not actions in identified priority cholera of prevention activities in hotspot areas into cholera hotspot analysis (usually hotspots, where possible through changes in epidemiological be/already developed, and ensure the already done trends data in hotspot areas; provide recommendations for follow-on cholera updated annually) integration of any humanitarian cholera prevention activities 2. Use identified hotspots and risk 2. Monitor hotspots and trends of AWD preventative activities factor analysis to orient any possible and cholera in neighbouring countries integrated prevention activities 2. Review if response activities during the epidemic period could have been more effective in reducing the severity of the outbreak

Preparedness

Improving Integrated & Coordinated Cholera Preparedness & Response to Cholera within Humanitarian Crises - Joint Operational Framework

Prevention



Preparedness



Response

Leadership

- 1. Share and support understanding of <u>Conceptual Framework for Causes of, and Responses to, Cholera</u> to illustrate the need for multi-sector leadership and accountability. Support integration of relevant ministries/actors and contribute/advocate as needed to its understanding amongst leadership
- Encourage joint statement from government leadership to reinforce to all stakeholders the crucial need for multi-sector collaborative leadership and response to combat cholera. Where possible, support joint efforts of government as overall lead for cholera P&R
- Broad dissemination of global <u>Joint WHO/Unicef letter on Humanitarian Leadership and Accountability</u> for Cholera at country level to give direction and to empower those at country level.
- 4. Joint presentation by humanitarian leadership to humanitarian community prior to any preparedness planning process, to reinforce crucial need for a multi-sector collaborative response to cholera
- Highlight to government leadership the importance of a timely declaration of cholera to facilitate rapid response and access resources and funding
- Distribute <u>key messages for HC-HCT</u> on common challenges/learning on an effective integrated and coordinated cholera response. Update in inter-epidemic period and share lessons across government and humanitarian cholera leadership.
- Annual <u>systematic review of humanitarian leadership</u> and coordination to support national P&R for cholera, and disseminate rational for choice of leadership
- 8. ToRs for key humanitarian leadership roles for cholera P&R, and mechanisms to hold each to account
- Agree in principle, localised leadership and decision-making arrangements close to the sites of outbreaks
- 10. Agree one single <u>multi-sector operational cholera P&R plan</u> to promote a collaborative approach no Health or WASH sector plans
- 11. Regular inter-epidemic season review of <u>Preparedness Scorecard</u> for the Cholera Preparedness & Response Plan by HC-HCT; clear asks of support presented to them (eg advocacy, funding)
- 12. Participate in multi-sector simulation of a cholera outbreak
- 13. Support government in fundraising for preparedness costs. Support inclusion of cholera preparedness costs in the Humanitarian Response Plan and Common Humanitarian Fund

Multi-Sector Coordination

- 1. Share Conceptual Framework for Causes of, and Responses to, Cholera to illustrate the need for multi-sector coordination
- Multi-sector stakeholder mapping/analysis of interests and responsibilities for cholera P&R ((government, non-government) to understand linkages, overlaps, gaps and potential areas of conflict, to facilitate effective coordination
- Develop and disseminate <u>organigrams</u> and <u>guidance</u> to illustrate cholera coordination architecture and its interface with other structures (including humanitarian) eg IMS pillars, EOCs, sector/cluster coordination, HC-HCT
- Annotate cholera coordination to highlight multi-sector composition of cholera coordination pillars and how they work transversally to address morbidity/mortality
- 5. Develop cholera coordination personnel structure to support P&R
- 6. Identify <u>multi-sector technical personnel structure</u> to support coordination of P&R eg surveillance, epidemiological and data management capacity
- Develop/disseminate <u>ToRs for key coordination roles in cholera P&R</u> eg preparedness manager/incident manager, IMS Pillar ToRs, Pillar leads ToRs
- Determine <u>thresholds for technical/coordination roles</u> needed in different scales of outbreak, to determine when to request additional internal/external coordination canacity
- 9. Determine https://enables.com/cholera-case/geographical-spread-thresholds for response capacity, to determine when to request additional internal/external response capacity
- Regular multi-sector coordination mechanism (meetings) to share data and review trends in early warning data, particularly in hotspot areas
- 11. Regular updates of <u>Preparedness Scorecard</u> for HC-HCT, humanitarian cholera leadership, inter-sector/cluster coordination, cholera response partners
- 12. <u>Dedicated country website</u> for all documents/resources of cholera P&R
- 13. Agree format for one Multi-Sector Cholera Reporting System
- 14. Test/implement coordination with neighboring countries to monitor trends of AWD and cholera cases

Integrated Planning

- 1.Carry out a <u>Kick-Off Multi-Sector Plan-</u> <u>ning Workshop</u> bringing all stakeholders
- 2.Develop one <u>Multi-Sector Operational Preparedness & Response Plan for control</u> and treatment; ensure detailed roles and responsibilities, timeline for completion budget. Work with leadership to integrate into government and humanitarian fundraising eg HRP
- 3. Develop a matrix of multi-sector collaboration for all cholera response (morbidity (control)/mortality (treatment) activities. agreeing roles/responsibilities
- 4.Use identified cholera <u>hotspots</u> and risk factor analysis to orient any possible integrated outbreak mitigation and preparedness activities
- 5.Develop a <u>Preparedness Scorecard</u> to monitor progress of the preparedness action plan and provide monthly updates of achievement of preparedness actions to HC-HCT, inter-sector/cluster and response naturers
- 6.Carry out a capacity mapping of integrated cholera response control and treatment (expertise, supplies, response, HR etc)
- 7. Assess HR response capacity in-country (across all humanitarian sectors/clusters, government, WHO) for P&R. Eg cholera case management, cholera control (risk communication, WASH, social science analysis capacity); SOPs to add additional capacity, identifying and carrying out briefing/training of standby capacity prior to epidemic season
- 8.SOPs to access external (to country) capacity eg <u>GOARN, Global Health and</u> WASH Clusters
- 9. Joint Health and WASH capacity building initiatives to foster common understanding of cholera prevention and response and each other's needs and develop a sense of multi-sector 'team'
- 10.Pre-qualify response partners where Common Humanitarian Funds will be used

Integrated Early Warning Early Action

- 1. Support <u>cholera hotspot</u> mapping and analysis of risk factors, if not already done
- 2. SOPs in place for multi-sector alerts/Early Warning and Early Action system. Ensure agreement on when early action can start (ie potentially before confirmation)
- 3.Simulate alerts in the Early Warning Early Response Network (EWARN) or similar, to test its multi-sector operationalisation/ speed with all stakeholders. Ensure system incorporates alerts raised by non-health
- 4. Agree ToRs for Integrated Outbreak Investigation Teams for rapid investigation of unusual epidemiological events, validation of alert, outbreak confirmation, examination of risk factors and recommend/start immediate control measures
- 5. Agree potential members of Integrated Outbreak Investigation Teams. Support training of teams and deploy as needed to alert
- 6.Produce regular (weekly) analysis of early warning data AWD data in hotspots and share across agreed multi-sector alert group (Ensure <u>early warning data</u> <u>presented at lowest administrative level</u> to be <u>sensitive</u> enough to pick-up trends outbreak may start in one commune and consolidated AWD figures may mask local peaks)
- 7. Work with government on preparing a timely declaration of cholera to facilitate rapid response (and access resources and funding)
- 8.Ensure multi-sector control and treatment response stocks in place
- 9.Prepare <u>initial risk communication</u> <u>strategies</u> (incl. private sector partners) and packages ready-to use in case of alert, adapted to communities specificities (dialects, social norms etc.); to be shared and used by different sector community outreach teams. Ensure translation in preparedness phase.

Integrated Analysis

- 1.Agree <u>Multi-Sector Data and Epidemiological Needs Overview</u> for all stakeholders purpose of the requirement, who collects, who does analysis, when it is needed, how will it be shared and by whom; produce a timeline of production of analysis products
- 2. Agreement for timely sharing of cholera case and location data, to enable targeted rapid control and treatment responses. Consider <u>dedicated focal</u> <u>points in treatment facilities to geographically many cases.</u>
- 3. <u>System/tools</u> in place to auto-generate agreed multi-sector epidemiological analysis products
- 4.Carry out joint (Health, WASH) cholera epidemiological training to understand the multi-sector data and analysis needed to facilitate an effective response in treatment and control activities. Make available e-learning where not possible
- 5.Geo-localise digitally all proposed cholera treatment facilities (CTCs, CTUs, ORPs) and cholera hotspots to assess pre-positioned treatment coverage/ identify gaps; disseminate across multi-sector responders
- 6.Agree Multi-Sector Extended Morbidity and Mortality Indicators for Cholera Control and Treatment Responses in communities and treatment facilities to act as the Response Scorecard
- 7. Map type, quantity, geographic location and focal points of the different (humanitarian/development) community outreach structures that could be drawn upon to support community engagement strategies in cholera prevention/control/treatment identify overlaps and gaps
- 8.Identify capacity to carry out <u>social</u> research to capture community perceptions of the response and propose corrective actions

Integrated Response

- Implement integrated outbreak mitigation and preparedness activities around treatment and control in key cholera hotsports.
- Disseminate <u>updated evidence for</u> targeted (household/community) cholera control activities and their <u>SOPs</u>
- 3. Disseminate myth-busting field note on cholera responses to improve multi-sector understanding of effective responses and reduce less effective approaches
- 4. Agree/disseminate ToRs for integrated and single sector quick response teams and how they fit into the overall response structure: (i) Integrated Community Cholera Control Teams cholera eg Household Disinfection Teams, Water & Hygiene responses (ii) Integrated Field Cholera Technical Support Teams (iii) Integrated Response Quality Control & Monitoring
- 5. Identify members for integrated/ single sector quick response, technical and quality control teams
- 6. Carry out a '<u>Do No Harm' and protection analysis</u> for potential responses and identify mitigation measures. Identify populations difficult to access and agree how they would be supported eg military
- 7. Agree who, what where of responsibilities for cholera treatment case management, surveillance, mapping, IPC, WASH. Promote single organisation responsibility for all components. Consider partnerships between agencies only if necessary
- 8.Clarify responsibilities for WASH in communities and treatment facilities
- 9.Common training for the different (humanitarian/development) community outreach structures that could be drawn upon to support community engagement in cholera prevention/control/treatment. Ensure training (and its consistency) of these groups
- 10. Disseminate key <u>technical guidance</u> for cholera treatment and control; locate on the country cholera webpages

Integrated Learning

- 1.If not already done, carry out an <u>After Action Review</u> prior to the <u>Kick-Off Planning Workshop</u> for the cholera P&R plan
- 2.Disseminate a brief on key learning on cholera response from previous and other responses to cholera stakeholders to assist in cholera P&R planning
- 3. Develop <u>ToRs for an eventual</u>
 <u>Real-time Operational Review</u> of
 the response or light facilitated
 multi-sector learning with key
 stakeholders
- 4.Develop session plans for After Action Review. Identify external support as needed
- 5.If not already done, review if response activities during epidemic period could have been more effective in reducing the severity of the outbreak and make recommendations
- 6.If not already done, implement a review of the timeliness and effectiveness of mitigation or prevention activities on the cholera outbreak to incorporate into prevention. P&R plans
- 7.Carry out a cholera outbreak simulation to stress-test components of the cholera P&R plan; including leadership arrangements, multi-sector coordination, ToRs and SOPs in place. Request external support as needed. Integrate learning from simulation into Choler P&R Plan

Response

Improving Integrated & Coordinated Cholera Preparedness & Response to Cholera within Humanitarian Crises - Joint Operational Framework

Prevention



Preparedness



Response

Leadership

- 1. Support government to make rapid declaration of cholera, if needed
- Support a joint statement from government leadership to reinforce crucial need for a
 multi-sector collaborative response to combat cholera the outbreak and clarify joint
 efforts (Share <u>Conceptual Framework of Causes of, and Responses to, Cholera</u> if needed
 to illustrate/advocate for multi-sector leadership and response)
- Joint presentation to humanitarian & development communities to reinforce crucial need for a multi-sector collaborative response to combat cholera; clarify how joint efforts will work
- 4. Reconfirm/disseminate overall <u>leadership & accountability structure and ToRs</u>
- Reconfirm leadership and decision making arrangements close to the site of the outbreak to facilitate rapid response
- Immediately re-review and reinforce <u>key messages/learning for HC-HCT</u> on common challenges/learning and mitigation measures for an effective integrated cholera response
- Review of <u>Preparedness Scorecard</u> for the <u>Cholera Preparedness & Response Plan</u> to identify what has/has not been done in preparedness, and identify key gaps which may need immediate support early in the response
- HC-HCT initial review of updated <u>Response Plan</u> according to specific characteristics of the outbreak context
- Ensure leadership and coordination roles are in place; support in-country redeployment and support external requests for technical, coordination and response capacity
- 10. Ensure one single <u>Multi-Sector Cholera Response Reporting System</u> to promote a coordinated and consolidated overview of needs, gaps and action
- ${\bf 11.}\ Produce\ humanitarian\ multisectoral\ financial\ appeal\ in\ collaboration\ with\ government$
- 12. HC-HCT daily/weekly (according to phase) review of cholera extended indicator <u>Response Scorecard</u>; incorporate support asks of the HC-HCT into presentations

Multi-Sector Coordination

- 1. If necessary, share <u>Conceptual Framework for Causes of, and Responses to, Cholera</u> to illustrate need for multi-sector coordination
- Re-confirm and disseminate humanitarian <u>cholera coordination organigram</u> and clarify with <u>guidance</u> the interface with humanitarian coordination architecture (clusters, intercluster, HC-HCT), EOC, IMS
- 3. Review, re-confirm and disseminate again <u>ToRs for key coordination roles</u> eg incident manager, pillar leads and pillar membership
- Where used, re-state and share how and when cholera coordination pillars will work transversally to address morbidity and mortality
- Regular check if thresholds for technical/coordination capacity have been exceeded eg surveillance, epidemiological and data management capacity; activate request if needed and inform HC-HCT eg internal - clusters, Partners, government; external - GOARN, Global Clusters, global partners
- Regular monitoring if <u>thresholds of response capacity will be exceeded</u>; activate internal/external requests if needed and inform HC-HCT of additional capacity request eg in-country and global partners in cholera response
- Regular updates (daily/weekly according to phase) of extended indicator <u>Response</u> <u>Scorecard</u> to cholera leadership structure, HC-HCT, inter-sector coordination, response partners; incorporate support asks of the HC-HCT into presentations
- Set-up daily/weekly (as needed) integrated response coordination platform meetings at all relevant levels based on agreed matrix of collaboration and ToRs
- 9. Activate dedicated website for cholera response and disseminate
- 10. Review and reconfirm format of one $\underline{\text{Multi-Sector Cholera Response Reporting System}}$
- 11. Produce daily/weekly (according to phase) one <u>Multi-Sector Cholera Report</u> to share with all partners involved in the response through the <u>dedicated cholera response website</u>
- 12. Coordinate and exchange data with neighboring countries to monitor trends of AWD and cholera cases

Integrated Planning

1. Update <u>Cholera Preparedness</u> and Response <u>Plan</u> immediately after the <u>After Action Review</u> (AAR). Ensure AAR recommendations have clear responsibilities, accountabilities and timeline and are integrated into the Cholera Preparedness and Response Plan

Integrated Early Warning Early Action

- 1. Activate <u>SOPs and multi-sector Alert system</u> in the event of an alert.
- 2. Deploy Integrated Outbreak Investigation Teams to verify the rumors/alerts, confirm cholera case, assess outbreak potential causes/risk factors and recommend/start agreed immediate control and treatment measures (potentially prior to confirmation)

Integrated Analysis

- 1. Produce cholera epidemiological analysis/products as identified in the <u>Multi-Sector Data and Epidemiological Needs</u>. <u>Overview</u> and disseminate as per the data sharing agreement; Use free and standardised software/epidemiological tools where available
- Produce a <u>real-time mapping of the location of cholera cases</u> complemented by age/sex epidemiological curves and share with those responsible for targeted control activities at local level; consider a dedicated person (linked to control activities) to do this at facility level, with ability to go to into the community to man
- 3. Produce and share multi-sectorally, a <u>colour-coded time-series mapping of cholera cases</u> to assist in the analysis and understanding potential modes of geographic transmission/spreading of cholera and (therefore) recommend control actions
- 4.Overlay on the colour-coded time-series mapping of cholera cases/ deaths, treatment facilities (CTCs, CTUs, ORPs), control activities (including deployment of rapid response teams and other control activities, to review ease of access to facilities and ability of responses to keep up with (and be ahead where possible) the ongoing epidemic; provide recommendations
- 5. Produce <u>localized epidemiological curves of cholera cases/</u>
 <u>deaths by including age/sex information, and mark on these the </u>
 <u>start/end dates of control/treatment activities</u> (including all rapid response teams) to assess the timeliness and potential impact of activities
- 6. If possible, run set ½-day integrated (Health, WASH) cholera epidemiological training to inform treatment and control strategies and support a common understanding of data needs/analysis
- 7. Produce (daily/weekly as needed) a running <u>Response Scorecard</u> on the agreed <u>extended set of integrated morbidity and mortality indicators</u> for both (i) treatment facilities and (ii) communities, and identify corrective actions
- 8. Where capacity is exceeded, activate identified internal and external technical and data analysis capacity eg internal clusters, Partners, government, external GOARN, Global Clusters, Standby Partners
- 9. Activate <u>social research action</u> to capture community perceptions of the response and propose immediate corrective actions

Integrated Response

- 1. Review of <u>Cholera Response Plan</u>; update as needed, confirm response strategies, roles and responsibilities in the response area; disseminate
- 2. Disseminate <u>key technical guidance</u> for cholera treatment and control, including <u>myth-busting field notes</u> on cholera response and <u>evidence for targeted cholera control activities</u>
- 3. Review and reconfirm who, what, where of integrated cholera treatment for treatment facilities case management, IPC, WASH. Promote single organisation responsibility for all components. Consider partnerships between agencies only if necessary
- 4. Disseminate ToRs and confirm membership of single ector and integrated response teams: (i) <u>Integrated Case Investigation</u> (iii) <u>Integrated Community control</u> responses to cholera eg Household Disinfection Teams, <u>Water & Hygiene responses</u> (iii) <u>Integrated Field Cholera Technical Support Teams</u> (iv) <u>Integrated Response Quality Control Monitoring Teams</u>
- 5. Update and disseminate <u>multi-sector mapping of</u> community outreach groups in outbreak areas and encourage their usage to support community engagement activities; quick refresher training on key message. Track location and usage of these groups by Risk Communication/Social Mobilisation
- 6. Ensure populations are identified who are difficult to access/support eg military and confirm plan of support
- 7. Review '<u>Do No Harm'</u> and <u>protection analysis</u> for responses and mitigation measures; active monitoring of protection issues of cholera response and ensure mitigation measures in place.
- 8. Continuous review of <u>Response Scorecard</u> and implement corrective actions using mapping and epidemiological analysis

Integrated Learning

- Disseminate a brief on <u>key</u> <u>learning on cholera responses</u> from previous and other responses to mitigate challenges
- 2.Consider the need for a real-time operational review of the response (early in the response) or implement a light multi-sector learning with key stakeholders
- 3. Immediately plan for an After Action Review towards the end of the outbreak response, requesting external support where needed; implement
- 4. At the end of the outbreak, implement a <u>review of the</u> timeliness and effectiveness of mitigation/preventative activities on the cholera outbreak; make recommendations
- 5. Review relative <u>effectiveness</u> of response activities in reducing severity of outbreak
- 6. Ensure all learning recommendations are incorporated into updated <u>Cholera P&R Plan</u>

Where to go for Help?

For any assistance related to the Cholera Joint Operational Framework around coordination and integrated approaches in humanitarian contexts, please contact the Global Health Cluster at healthcluster@who.int or the Global WASH Cluster at healthcluster@who.int or the Global WASH Cluster at healthcluster@who.int or the Global WASH Cluster at healthcluster@who.int or the Global WASH Cluster at healthcluster@gmail.com

For any assistance related to any other component of cholera prevention, preparedness and response, including technical queries, please contact the Global Task Force for Cholera Control on steecretariat@who.int or see their website at www.gtfcc.org or their resources page at www.gtfcc.org/resources.

Feedback

This framework was produced in a collaborative effort by the Global Health Cluster and the Global WASH Cluster. They are actively seeking feedback on the framework, which can be sent to the Global Health Cluster at healthcluster@who.int or the Global WASH Cluster at globalwashcluster@gmail.com.





