EVALUATION QUALITY ASSURANCE SYSTEM



Office of Evaluation

Measuring Results, Sharing Lessons

TERMS OF REFERENCE

ECUADOR

AN EVALUATION OF WFP'S COUNTRY STRATEGIC PLAN (2017 – 2021)

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1. Background

1. These Terms of Reference (TOR) have been prepared by the WFP Office of Evaluation (OEV) based upon an initial document review and consultation with stakeholders. Their purpose is to provide key information to stakeholders about the evaluation, to guide the evaluation team and specify expectations during the various phases of the evaluation. The TOR are structured as follows: section 1 provides information on the context; section 2 presents the rationale, objectives, stakeholders and main users of the evaluation; section 3 presents the WFP portfolio and defines the scope of the evaluation; section 4 identifies the evaluation approach and methodology; section 5 indicates how the evaluation will be organized. The annexes provide additional information.

1.1. INTRODUCTION

2. Country Strategic Plan Evaluations (CSPEs) encompass the entirety of WFP activities during a specific period. Their purpose is twofold: 1) to provide evaluation evidence and learning on WFP's performance for country-level strategic decisions, specifically for developing the next Country Strategic Plan (CSP) and 2) to provide accountability for results to WFP stakeholders. These evaluations are mandatory for all CSPs and are carried out in line with the WFP Policy on CSPs and WFP's Evaluation Policy.

1.2. CONTEXT

General Overview

- 3. The Republic of Ecuador in South America borders Colombia to the north and Peru to the east and south. Ecuador has a land area of 256,370 square kilometres divided into four geographic regions: the Highlands, the Pacific coast, the Amazon and the Galapagos Islands Archipelago. Guayaquil is the most important city in terms of trade and production, yet its capital is Quito. Administratively, the country is subdivided in 24 provinces. The majority of the population speaks the country's official language, Spanish, though 13 native languages are also recognized, including Quechua and Shuar.
- 4. The President of Ecuador, Lenin Moreno, took office in 2017. The first round of new presidential elections will take place on 7 February 2021 and the second round, if necessary, in April 2021. The new government will be appointed in May 2021.
- 5. Ecuador has a steadily increasing population size of around 17,613,233 million people, out of which 50.4% are female¹. Around 36.2 %² of people live in rural areas. Life expectancy at birth is of 76.9 with a maternal mortality ratio of 640 in 2017³. In 2010, disability prevalence stood at 6.6%. The total fertility rate in 2017 stood at 2.4 per woman ⁴, while the country ranks second in the region for its teenage pregnancy rate (71.1)⁵. Around 27.7% of the population are children from 0-14 years while 7.3% is above 65 years^{6.} In terms of ethnic groups, 71.9% of the Ecuadorian population is mestizo (mixed Amerindian and white) 7.4% Montubio, 6.1% white, 7.2% Afroecuadorian and 7% Indigenous⁷.
- 6. Ecuador is one of the Latin American countries most heavily affected by the Covid-19 pandemic, counting by 20 January 2021 an overall number of 250,828 confirmed cases and 14,322 deaths.⁸ Commerce, industry, tourism, transport and health sectors have been most heavily affected. Despite the rapid pace of increasing COVID 19 cases, officially the national health emergency has come to an end on 13 September, and the

¹ Censo de Población y Vivienda, INEC, 2010

² Human Development Report, UNDP, 2019

³ The State of World's Children, UNICEF, 2019

⁴ UNFPA World Population dashboard

⁵ WHO website

⁶ World Bank website

⁷Censo de Población y Vivienda, INEC, 2010.

⁸ WHO website - COVID-19

government has introduced a new plan called "I Take Care of Myself" (*Yo Me Cuido* in Spanish language), that eliminates restrictions that had been established.

Macroeconomic and Poverty Indicators

- 7. Ecuador is an upper middle-income country, ranking 85 of 189 countries in the Human Development Index⁹. The economy of Ecuador is largely driven by the export of oil (33.46% out of total exports), banana (13.93%), crustaceans (10.59%), and other agricultural products.¹⁰ The services sector accounts for 51.9% of the GDP, followed by industry and construction (32.3%), manufacturing (14%) and agriculture, forestry and fishing (9%)¹¹. With about 1.4 million of Ecuadorans living abroad¹², remittances accounted for close to 3%¹³ of the GDP in 2019.
- 8. In the rural areas, 69.4% the employed population works in the informal sector, compared to the 34.2% in urban settings¹⁴. In terms of economic growth, the country experienced an unprecedented period of prosperity between 2004 and 2014, however after a 2016 earthquake, the appreciation of the US dollar and a decrease in prices of oil (the country's main export revenue), the percentage of the (mostly rural) population in severe multidimensional poverty increased with 3 percent between 2016 and 2019¹⁵.
- 9. Latest figures demonstrate that inequality is intensifying in Ecuador, going from an already high national Gini coefficient of 0.459 to 0.473, respectively, between 2017 and 2019¹⁶. The incidence of poverty is highest among migrants and indigenous populations¹⁷.
- 10. The Covid-19 pandemic is further exacerbating the country's economic challenges. The country's GDP decreased by 8.8% in the third trimester of 2020, rising from the previous three months (-12.4%)¹⁸. The unemployment rate increased from 4.9% to 8.6% between December 2019 and September 2020. INEC estimated a that in 2019 25% of the population lived in poverty and 8.9% in extreme poverty.

Disasters

11. Ecuador is highly vulnerable to disasters associated with natural events: of the 47 major disasters recorded between 2000 and 2019, over 55 % were caused by hydrometeorological phenomena –droughts, floods and 31.9% by geophysical events such as earthquakes, volcanic eruptions and dry landslides.

⁹ <u>UNDP Human Development Indicators</u>

¹⁰ The Atlas of Economic Complexity

¹¹ World Bank website

¹² Evolucion del flujo de remesas 2019, BCE Ecuador, 2019

¹³ World Bank website

¹⁴ Encuesta Nacional de Empleo, Desempleo y Subempleo (ENEMDU) - diciembre 2019, INEC, 2020

¹⁵ Human Development Report, UNDP, 2019

¹⁶ Encuesta Nacional de Empleo, Desempleo y Subempleo (ENEMDU) - diciembre 2019, INEC, 2020

¹⁷ According to the Economic Commission for Latin America and the Caribbean (ECLAC), in 2016, extreme poverty among indigenous people was more than twice as high as in non indigenous populations.

¹⁸ Estadísticas Macroeconómicas Presentación Coyuntural, BCE, 2021

931,227 1000000 900000 800000 700000 600000 423,466 500000 357,933 400000 289.122 300000 204,383 200000 100,637 119,307 32,905 32,300 28,732 100000 13,440 3,750 3,409 2000 2001 2002 2003 2006 2008 2009 2010 2011 2012 2013 2014 2015 2016 2019 ■ Drought **■** Epidemic Earthquake Flood ■ Landslide ■ Mass movement (dry) ■ Volcanic activity ■ Wildfire

Figure 1: Major disasters and number of people affected in Ecuador between 2000-2019

Source: International Disaster Database, extracted on 09/11/2020

Refugees and Migrants

- 12. Ecuador continues witnessing one of the world's major migration crisis. Political and economic turmoil in Colombia and Venezuela have caused people to emigrate to surrounding countries like Ecuador.
- 13. According to United Nations High Commissioner for Refugees (UNHCR), as of July 2020, the total number of recognized refugees in Ecuador stood at 69,897, mainly Colombians¹⁹. Moreover, at the end of 2019, 25,025 asylum claims were still pending²⁰. However according to a World Bank study²¹, over half of refugees and migrants in the country have not acquired a legal residence status.
- 14. Since 2016, 2.2 million Venezuelans have entered Ecuador, of whom almost 363.023²² are estimated to stay. In addition, between 2017²³ and 2019, the Ministry of Foreign Affairs and Human Mobility has certified 14,640 Ecuadorian emigrants returning from abroad, of which more than 50% were between 41 and 60 years old²⁴.
- 15. Refugees and migrants suffer vulnerabilities in terms of food security, employment, education, social inclusion and access to social services; and are disproportionally affected by the consequences of the COVID 2019 pandemic. According to the World Bank, with rising unemployment, in more than 70 percent of surveyed refugee/migrant households at least one adult is reducing his or her number of meals per day as a coping mechanism. Ecuador and the international community have been intensifying their provision of assistance to address basic needs of these population groups. Figure 2 indicates areas of prioritized assistance.

¹⁹ Ecuador Factsheet September 2020, UNHCR, 2020

²⁰ Ibid.

²¹ Challenges and Opportunities of Venezuelan Migration in Ecuador, World Bank, 2020

²² La situación actual de los migrantes y refugiados de Venezuela, UNHCR, 2020

²³ In 2019, Ecuador emigrants abroad were 1,183,685. <u>International migrant stock 2019</u>, UNDESA, 2019

²⁴ <u>Agenda Nacional para la Igualidad de Movilidad Humana 2017-2021</u>, Consejo Nacional para la Igualidad de Movilidad Humana, 2017

Figure 2: Example of assistance provision to Venezuelans in human mobility in Ecuador

46K	25k	95k	12k	36k	6,480	2,545	3,149	1,508	1,912	21K	82K
Protection	Health	Food Security	Education	NEI	WASH	Shelter	Accommodation	Cohesion	Livelihoods	CBT	Communication

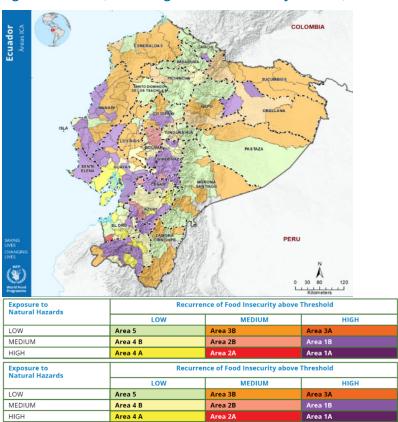


Source: R4V platform, Operational Presence GTRM in December 2020, visited on 02/02/2020

Food and Nutrition Security

16. In the Global Hunger Index, Ecuador ranks 51st out of the 107 countries, with a moderate hunger score of 11.0. According to the Government of Ecuador, an estimated 2.3 million Ecuadorans will become food insecure after the COVID-19 pandemic^{25.}

Figure 3: Ecuador, WFP Integrated Context Analysis areas, 2018²⁶



Source: WFP GeoNode, extracted on 09/11/2020

²⁵ Socio-Economic Assessment COVID 19 PDNA Ecuador, multisectoral publication under the Presidency of the Republic of Ecuador, 2020

²⁶ The map contains information about the final categorization resulting from the Integrated Context Analysis (ICA) performed in Ecuador in 2018, showing the areas of convergence of high levels of poverty incidence - used as a proxy for food insecurity - and major propensity to natural shocks (floods, landslides and droughts).

- 17. Figure 3 displays the 2018 national Integrated Context Analysis (ICA) for Ecuador. The ICA reveals that areas of high food insecurity (mostly located in the southern half of the country's western latitudes) are where exposure to natural hazards has been classified at medium level.
- 18. Ecuador is the country with the second highest chronic malnutrition rate in Latin America, causing almost 340,000 children to die between 1950-2014²⁷. Statistics published by the National Health and Nutrition Survey in 2018²⁸ (Figure 4) indicate a worsening trend: national stunting levels for children under 2 increased from 24.8 to 27.2 percent between 2014 and 2018.

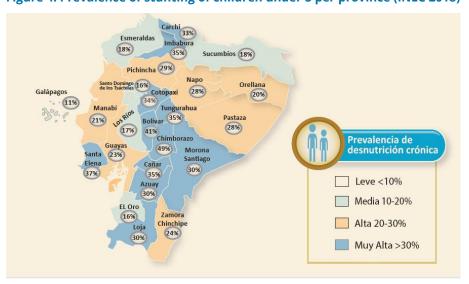


Figure 4: Prevalence of stunting of children under 5 per province (INEC 2018)

Source: Ministry of Public Health of Ecuador and INEC, 2014

- 19. Malnutrition including micronutrient deficiencies is not related to lacking food availability in Ecuador²⁹. Instead, the recently published study "Cerrando las Brechas de Nutrientes"³⁰ states that chronic malnutrition in Ecuador presents a strong correlation with food access (purchasing power) and utilization.
- 20. Aenemia prevalence is elevated and particularly high among pregnant and lactating adolescents (18.82 %)³¹. Furthermore, increased levels of aenemia in the poorest quintiles and among indigenous populations reflect societal inequity, as indicated in Figure 5.

 $^{^{27}}$ Panorama de la Seguridad Alimentaria y Nutricional en América Latina y el Caribe, OPS-OMS/WFP/FAO/UNICEF, 2018

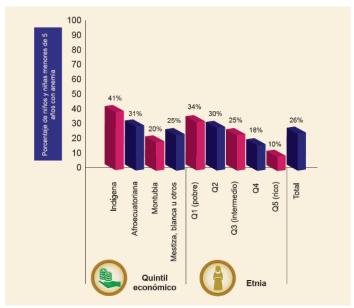
²⁸ Encuesta Nacional de Salud y Nutrición (ENSANUT), INEC, 2018

²⁹ "Panorama de la Seguridad Alimentaria y Nutricional en América Latina y el Caribe" (2018), mentions that in Ecuador the production of fruits and vegetables is three times as high as the minimally needed,

³⁰ Cerrando las Brechas de Nutrientes, WFP and the Government of Ecuador, 2020

³¹ WHO, 2016

Figure 5: Prevalence of anaemia among girls and boys under 5 by economic quintile and ethnic group



Source: Ministry of Public Health of Ecuador and INEC, 2014

21. In addition to the challenges in relation to undernutrition, over two thirds of the population are overweight or obese³². This double burden of malnutrition costs the country 4.3 percent of its GDP, which represents USD 4.3 billion³³.

Agriculture

- 22. Agriculture remains the main source of livelihoods in Ecuador, employing 29.7 percent of the economically active population. In Ecuador in 2019, 5.11 million hectares were used for agriculture (permanent, temporary, cultivated and natural pastures) and 7.19 million were not arable. In 2019, some 1,543,334 hectares of permanent crops (e.g. sugar cane, bananas and African palms)³⁴were planted.
- 23. Family farming in Ecuador is largely subsistence farming. It accounts for an estimated 84.5% percent of total Agricultural Production Units but utilizes only 20% percent of the country's agricultural land. Since 2014, the percentage of female employed in agriculture has increased from 20% to 26% in 2020³⁵ and 61% of rural women work in agriculture and livelihoods³⁶. Agriculture only contributed 8% percent to the country's GDP in 2019³⁷.

Climate Change and Vulnerability

- 24. Ecuador is highly vulnerable to the impacts of climate change, due to its geographical location and exposure to 'El Niño' and 'la Niña' and the natural fragility of its ecosystems that are highly susceptible to small changes in temperature and water availability. In addition, ongoing environmental degradation such as the over-exploitation of forests, crops planted on lands with high erosion rates and over grazing in high altitude areas compound the negative effects of climate change.
- 25. Local communities, in particular of Indigenous and Afro-Ecuadorian populations, are directly impacted by reductions in water flows or ongoing floods; decreased crop yields and increased fragility of ecosystems.

26. Education

³² Encuesta Nacional de Salud y Nutrición (ENSANUT), INEC, 2018

³³ The cost of the double burden of malnutrition, WFP, 2017

³⁴ Encuesta de Superficie y Producción Agropecuaria Continua (ESPAC) 2019, INEC, 2020

³⁵ World Bank website

³⁶ IFAD website

³⁷ Ministerio de Agricultura y Garndeneria website,

- 27. According to United Nations Educational, Scientific and Cultural Organization (UNESCO), over 99 percent of adult men and women in Ecuador are literate^{38.} Literacy rates demonstrate gender parity.
- 28. Net primary school enrolment stands at 90.9 percent^{39,} decreasing slightly for secondary schools, where a lower percentage of 84.7 net enrolment was registered. UNESCO states that 99.6 percent of primary school children in 2017 continued into secondary level education⁴⁰.
- 29. Generally speaking, participation in education⁴¹ presents a bias in favour of female participation as male largely outweigh female absences and drop outs⁴².

Gender

- 30. Ecuador has been slower than average Latin America countries to improve its the Gender Inequality Index 43 and in 2018, it ranks only 90 out of 162 countries.
- 31. In terms of participation in politics, of 24 national political organizations, only 2 are presided by women. By the end of December 2019, the country counted only 8 female mayors out of a total 221.
- 32. According to 2019 data from the National Institute of Statistics and Census⁴⁴, around 65 percent of women in Ecuador have experienced Gender Based Violence during their life. Ecuador has the highest rate of teenage pregnancy and in 2017, 18.8% of total births comes from women between 15-19 years old⁴⁵.
- 33. The Voluntary National Review 2020⁴⁶ that analyzed progress towards SDG 5 (Gender Equality) registered progress in reducing the gender gap in educational access; in terms of the wage gap between men and women; and noted advanced institutionalization of the Law for the Prevention and Eradication of Gender Violence against Women.

National Policies and the SDGs

- 34. In 2017, the Government launched the 2017 2021 National Plan of Good Living: An Entire Life (Plan Nacional del Buen Vivir "Toda Una Vida"), its national development plan (NDP), that explicitly states it alignment to the global 2030 agenda. Its commitment to work on the implementation and fulfillment of the 17 Strategic Development Goals was officialized by Ecuador's legislative power.
- 35. To assess progress towards the country's national development targets, both in 2018 and 2020, Ecuador undertook Voluntary National Reviews (VNR). The 2020 VNR acknowledges that the country has directly aligned each SDG with the objectives, policies and NDP goals, in which as a next step it will have to integrate risk management in face of COVID 19. With regards to SDG2, vast progress was acknowledged, yet to further advance Ecuador will need to improve agricultural production using new methods; make more efforts to empower farmers; and connect communities (through schemes of co-responsibility) and state and non-state actors to further reduce malnutrition.
- 36. The National Plan of Good Living (NPBV) constitutes the umbrella framework of social protection in the country. A dedicated Social Protection Service provides support to vulnerable groups (mainly: female heads

³⁸ UNESCO website

³⁹ World Bank website

⁴⁰ The effective transition rate from primary to lower secondary general education' in 2017 stood at 99.2 for boys and at 100 percent for girls. <u>UNESCO website</u>

⁴¹ Out of school adolescents; and net and gross enrolment by school level.

⁴² 29,650 male adolescents were out of school in 2018, as compared to 15,074 female adolescents, <u>UNESCO</u> <u>website</u>

⁴³ The Gender Inequality Index is a composite measure reflecting inequality in achievement between women and men in three dimensions: reproductive health, empowerment and the labour market.

⁴⁴ Encuesta Nacional de Violencia de Genero contra las Mujeres 2019, INEC, 2020

⁴⁵ Registro Estadístico de Nacidos Vivos y Defunciones 2017, INEC, 2018

⁴⁶ Voluntary National Review, Government of Ecuador, 2020

- of households with children/teenagers; elderly; and disabled people⁴⁷) living below the poverty line, by means of a monthly cash transfer.
- 37. NDP proposes to reduce prevalence of stunting for children under 2 from 24,8 to 14,8 percent; and for children under 5 from 23,9 to 13,2 percent. The pertaining 2018-2025 'Intersectoral Food and Nutrition Plan (PIANE)' proposes eight intersectoral intervention strategies which aim to ensure integrated nutritional assistance at all levels; enhanced food sovereignty and food security; and in particular aspire to foster healthier lifestyles. In addition, the national strategy "Mision Ternura" proposes child-oriented interventions which among others include mother and child care, promotion of breastfeeding and adequate nutrition.
- 38. The Law on School Feeding was approved in April 2020.
- 39. A National Council for Gender Equality seeks to offer solutions against inequities that affect the human rights of women and LGBTI. In 2018, two laws were enacted: firstly, the Law to Prevent and Eradicate Violence Against Women and secondly the Law for Gender Equality. Special attention is paid to address gender inequalities in refugee and migrant populations.
- 40. The Risk Management Secretariat leads the National Decentralized Risk Management System. In 2017, a supranational strategy was approved by Ecuador and three other Andean countries (Bolivia, Colombia and Peru) ⁴⁸ to facilitate cooperation in terms of risk management and disaster response. A year later, in 2018, the Ecuadorian government approved a National Disaster Response Plan, providing an umbrella framework for sectorial response plans. In 2018 and 2019, the Ministry of Education launched two sectorial plans supporting risk management in the educational system.

International Development Assistance

41. Official Development Assistance (ODA) revenues had been declining gradually for several years but increased steeply after President Moreno took office in 2017 to reach an amount of about USD 400 million in 2018 (see figure 6), equivalent to 0.4 percent of the GDP. The ODA allocation by sector has varied, with high percentages going to support for the transport, energy and communication sectors, and only an average (between 2015-18) of 11.3 percent to social services (education and health). On average 7.5 percent during the same years was allocated to emergency response activities.

⁴⁷ Noteworthy to mention is that Ecuador approved a Law for disabled people in 2012. This law is currently under review.

⁴⁸ Estrategia Andina para la Gestion de Riesgos en Desastres (EAGRD), Comunidad Andina (CAN), 2017

100% 500 80% 400 60% 300 40% 200 20% 100 0% 0 2015 2016 2017 2018 ■ Multisector / Cross Cutting / Trade Policies / Tourism Transport and Storage Energy and Communications ■ Water Supply and Sanitation Education Emergency Response Other(s) Government and Civil Society Health and Population Policies/Reproductive Health Agriculture, Forestry, Fishing Reconstruction Relief and Rehabilitation
Total All Sectors in USD million Banking, Business and Other Services

Figure 6: ODA Disbursements to Ecuador over the main sectors (2015-2018)⁴⁹

Source: OECD website, data extracted on [27/10/2020]

42. The top five donors providing ODA to Ecuador between 2015-2018 were EU institutions, France, Germany and Korea; followed by the United States (Figure 7).

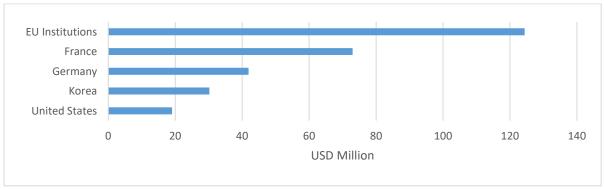


Figure 7: Top five donors of ODA for Ecuador, 2017-2018, USD million⁵⁰

Source: OECD website, data extracted on [27/10/2020]

43. Major emergencies in recent years included the 2016 earthquake, the Venezuelan migrant crisis as well as the COVID-19 pandemic. Resources mobilized for those emergencies fell short to fill requirements. For the Venezuelan migrant crisis in 2019 about 45% of the requested budget was received, whilst an even lower shared was mobilized for the other emergencies (figure 8 indicates further details). Main humanitarian donors have comprised United States (78.2%), Japan (7.5%), European Commission (6.4%). In 2020, WFP received 43.5% of total humanitarian funding to Ecuador, followed by UNHCR (26.7%) and IOM (8.5%).

 $^{^{49}}$ The graph considers Gross ODA. As of 12/11/2020, preliminary figures of 2019 are available for Gross ODA Disbursements, but not for Net ODA

⁵⁰ Ibid.

USD Millions ■ Humanitarian Aid ■ Net ODA

Figure 8: International Assistance to Ecuador (2015-2020)⁵¹

Source: OECD-DAC, UN OCHA – FTS, data extracted on 27/10/2020

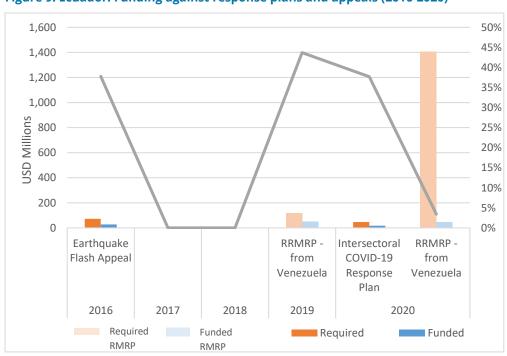


Figure 9: Ecuador: Funding against response plans and appeals (2016-2020)⁵²

Source: OCHA website, data extracted on [27/10/2020]

⁵¹ No Net ODA data available for 2019 nor 2020

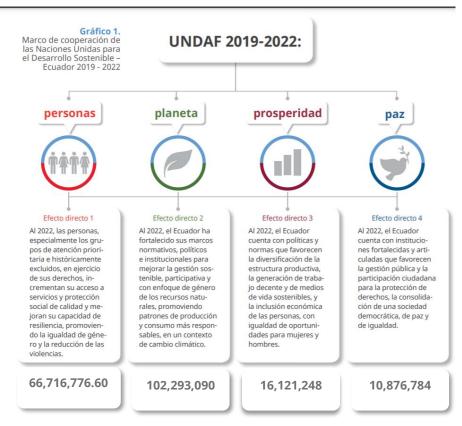
⁵² RRMRP: Regional Refugees and Migrants Response Plan for Venezuelans refugees and migrants

44. Starting the COVID-19 crisis, a UN Humanitarian Country Team was set-up and presented a Inter-sectoral COVID-19 Response Plan in April 2020 with a budget of USD 46.4 million. To date⁵³, about USD 17.5 million of this budgets have been funded (38 percent). In 2020, Ecuador received 85.5% of humanitarian aid outside of the Intersectoral COVID-19 Response Plan 2020.

United Nations Development Assistance Framework

- 45. During the period of CSP design and implementation, two subsequent United Nations Development Cooperation Frameworks (UNSDCF, former UNDAF) leveraged the capacity and resources of the United Nations to support the Government's priorities. The first one covered the period 2015–2018 and prioritized five results related to the national development plan, the National Plan of Good Living (NPBV): i) rule of law and participation; ii) reduced inequality, cohesion, inclusion and quality of life; iii) eradication of discrimination and gender-based violence, and enhanced gender equality; iv) environmental sustainability, resilience and risk management; and v) sustainable and equitable economic development.
- 46. Following a review in 2017, the second UNSDCF⁵⁴ for the period 2019 to 2022 was developed, aligning explicitly with three pillars of the NDP 2017-2021 "Toda una Vida": Pillar 1, "Rights for All throughout Life"; Pillar 2, "Economy Servicing Society" and Pillar 3, " More Society, Better State". The total budget is slightly above USD 199 million, out of which over half has been allocated for activities under the Planet dimension. WFP's expected contribution of a total of over USD 25 million amounts to 13 percent of the total budget of the UNSDCF.

Figure 10: UNDSCF Framework Ecuador and related budget (2019-2022)



Source: Marco de Cooperación para el Desarrollo Sostenible ONU - Ecuador 2019-2022

Data on funding of COVID-19 requirements were extracted f https://data.uninfo.org/Home/FundingTracker on 20 January 2021

⁵⁴ Marco de Cooperación para el Desarrollo Sostenible ONU – Ecuador, UN Ecuador, 2019

2. Reasons for the Evaluation

2.1. RATIONALE

47. CSPEs have been introduced by WFP's Policy on CSPs in 2016, for the objective to: "(...) assess progress and results against intended CSP outcomes and objectives, including towards gender equity and other crosscutting corporate results; and to identify lessons for the design of subsequent country-level support". The evaluation is an opportunity for the CO to benefit from an independent assessment of its portfolio of operations. The timing will enable the CO to use the CSPE evidence on past and current performance in the design of the CO's new Country Strategic Plan (CSP) – scheduled for Executive Board consideration in November 2022.

2.2. OBJECTIVES

48. Evaluations serve the dual objectives of accountability and learning. As such, this evaluation will: 1) provide evaluation evidence and learning on WFP's performance for country-level strategic decisions, specifically for developing WFP's future engagement in Ecuador and 2) provide accountability for results to WFP stakeholders.

2.3. STAKEHOLDERS AND USERS OF THE EVALUATION

- 49. The Evaluation will seek the views of, and be useful to, a broad range of WFP's internal and external stakeholders. It will present an opportunity for national, regional and corporate learning. The key standard stakeholders of a CSPE are the WFP's country office, regional bureau of Panama (RBP) and headquarters technical divisions, followed by the Executive Board (EB) and WFP Office of Evaluation (OEV) for synthesis and feeding into other evaluations. A matrix of stakeholders with their respective interests and roles in the CSPE has been included in Annex 4.
- 50. Key stakeholders at country level include beneficiaries, national government, civil society institutions as well as relevant international development actors present in the country, including the UN system, International Financial Institutions and key donors.

3. Subject of the Evaluation

3.1. WFP'S COUNTRY STRATEGIC PLAN IN ECUADOR

- 51. WFP has been present in Ecuador since 1964 and over the last five decades its support has focused on emergency and recovery activities as well as assistance to strengthen the government's capacity infood security and nutrition; refugees and migrants; school feeding; climate change; smallholder farmer support; and emergency preparedness and response.
- 52. WFP's Country Office in Ecuador was one of the twelve pilot offices that transitioned into the Integrated Road Map (IRM) framework in 2017. The Country Strategic Plan (CSP) 2017-2021 and its pertaining Country Plan Budget were approved by WFP's Executive Board in February 2017 to start implementation on April 1st of the same year.
- 53. The CSP was designed in coordination with national ministries and institutions and was also informed by several evaluations⁵⁵; and a "Strategic Review on Food Security and Nutrition in Ecuador", conducted by Ecuador's Latin American Faculty of Social Sciences (FLACSO) in 2016.

⁵⁵ Ecuador, PRRO 200275, Operación de Asistencia a Refugiados y Personas Afectadas por el Conflicto en Colombia: Evaluación Final (2011-2014), WFP, 2014; Impact Evaluation of Cash, Food Vouchers, and Food Transfers among Colombian Refugees and Poor Ecuadorians in Carchi and Sucumbíos, International Food Policy Research Institute, 2012; Strenghtening capacities in food security and nutrition in Latin America and

- 54. Lessons learned from external evaluations⁵⁶ highlighted the appropriateness of many of the approaches used in the past. They also pointed at the need to: enable coordination by aligning work at the local level with national priorities as well as by the strengthening of inter-sectoral participation; in collaboration with partners enhance relevance and sustainability of livelihood support activities; proceed with cash-based transfers as the assistance modality in northern border provinces affected by insecurity; and to continue activities for climate change adaptation based on participatory planning.
- 55. In line with the aforementioned evidence, the Country Strategic Plan document proposed a number of shifts in its approach.
 - With emergency response to Colombian refugees being likely to decrease, the CSP would focus on other food-insecure population groups;
 - > WFP to continue to facilitate purchases for school meals to support smallholder farmers while strengthening farmers' capacity and access to markets;
 - > WFP to explore additional funding and programming opportunities to enhance long-term resilience to climate change for food and income security;
 - > WFP to gradually shift its focus from the implementation of programmes to their hand-over to national systems.
- 56. Ecuador's CSP was designed to contribute primarily to 4 of the 8 Strategic Results of WFP's Strategic Plan 2017-2021, in support of the achievement of Strategic Development Goals 2 and 17. As displayed in Table 1, CSP activities were grouped to feed into 4 expected Strategic Outcomes (SOs), all aligned with the National Plan for Good Living. During the COVID-19 pandemic, in July 2020, Strategic Outcome 5 was added.

the Caribbean, WFP, 2016; Migration Pulse Assessment, WFP, 2019; Migration Pulse Remote Assessment, WFP, 2020.

⁵⁶ Ibid.

Table 1: Overview of Strategic Results, Strategic Outcomes and Activities in CSP Ecuador 2017-2021

Strategic Result	Strategic Outcome	Focus Area	Activity
Strategic Result 1: Everyone has access to food	Strategic Outcome 1: Refugees, displaced persons and vulnerable people in Ecuador are enabled to meet their basic food and nutrition requirements all year long	Crisis Response	ACTIVITY 1: Complement the Government's social protection strategy by providing CBTs to the most vulnerable populations and support in vulnerability analysis and knowledge management
Strategic Result 3:	Strategic Outcome 2: Smallholder farmers, especially women, in targeted	Root	ACTIVITY 3: Support and increase the participation of smallholder farmer organizations in national and local commercial mechanisms and institutional markets
Smallholder productivity and incomes	areas, durably increase their incomes and improve their productivity by 2021	Causes	ACTIVITY 4: Strengthen the capacity of farmer organizations. Technical assistance will focus on logistics for the supply of fresh and nutritious food, and include nutrition education and marketing training for smallholder farmer organizations
Strategic Result 4 – Sustainable Food Systems	Strategic Outcome 3: Food-insecure communities and individuals in areas that are highly vulnerable to climate change, and government institutions have strengthened capacity for	Resilience	ACTIVITY 5: Strengthen or develop emergency preparedness and response and early warning systems. WFP will work with the Government to strengthen information and early warning systems for emergency preparedness and response and climate change adaptation
	adaptation to climate change by 2021		ACTIVITY 6: Strengthen the implementation of adaptation and resilience measures
Strategic Result 5 -	Strategic Outcome 4: National institutions and programmes in Ecuador, including social protection programmes,	Root	ACTIVITY 7: Technical assistance, research and assessments to improve the implementation of programmes linked to food security and nutrition
strengthened capacities	othened are supported to reduce food insecurity		ACTIVITY 8: Knowledge management and sharing of best practices and studies, including through South–South cooperation
Strategic Result 8 - Enhance Global Partnership	Strategic Outcome 5: Humanitarian and development partners in Ecuador have access to reliable services throughout the crisis	Crisis Response	ACTIVITY 9: Provide technical assistance and services through the Logistics Sector to National Disaster Management Offices and other relevant partners to improve emergency logistics coordination and supply chain management

57. Activities and approaches for gender equality and empowerment of women and girls (GEEW) form part of each of the SOs. Overall, CSP activities combine modalities of direct assistance (under SO 1 and 2) and capacity strengthening/technical assistance (SO3 and 4). Recently added SO 5 focuses on logistics coordination and assistance. Whereas prior to the CSP capacity strengthening and technical assistance had been part of WFP's assistance already, the CSP places much higher emphasis on this modality than before.

Beneficiaries and transfers

58. Five budget revisions had been approved for the CSP by the end of 2020 to respond to higher than foreseen influxes of Venezuelan refugees and migrants and to reflect the major impact of the COVID-19 pandemic.

59. CO Ecuador plans to assist 1,537,662 direct beneficiaries⁵⁷ throughout the duration of the CSP (Table 2), reflecting 1,361,712 more beneficiaries than originally envisaged.

Table 2: Planned Beneficiaries CSP 2017-2021

	Boys, Men	Girls, Women	Total
SO 1	780,264	720,148	1,500,412
SO 2	17,507	19,743	37,250
Total	797,771	739,891	1,537,662

Source: CSP Budget Revision 2 and 5

60. The share of refugees and migrants among beneficiaries increased dramatically across the years, from 32 percent in 2017 to 96.5 percent in 2019 (Annex 8, table 3).

Budget and funding overview

61. The overall budget for Ecuador CSP between 2017 and 2021, including budget revisions, is of USD 148,265,281. The budget has been revised 3 times as shown in Table 3.

Table 3: Ecuador CSP 2017-2021: original CPB and budget revisions (in USD)

Strategic Outcome	Original	Budget Revision 2	Budget Revision 4	Budget Revision 5		Oout of Budget
	СРВ	Dec 2018	Dec 2019	July 2020	Original CBP	Latest Revision
Strategic Outcome 1	21,098,263	29,669,518	47,742,915	117,744,923	50.7%	79.4%
Strategic Outcome 2	3,497,721	3,497,721	3,497,721	3,497,721	8.4%	2.4%
Strategic Outcome 3	7,584,944	7,584,945 ⁵⁸	7,584,944 7,584,944		18.2%	5.1%
Strategic Outcome 4	2,953,990	2,953,990	2,953,990	2,953,990	7.1%	2.0%
Strategic Outcome 5	Non existent	Non existent	Non existent	1,546,748	0.0%	1.0%
Adjusted Direct Support Costs	3,741,580 4,378,608 5,059,885		5,059,885	5,848,678	9.0%	3.9%
Sub-Total	38,876,498	48,084,781	66,839,455	139,177,004	93.5%	93.9%
Indirect Support Costs 2,721,355 3,167,282		3,167,282	4,386,336	9,088,277	6.5%	6.1%
Total	41,597,853	51,252,064	71,225,791	148,265,281	1	1

Source: WFP CSP Ecuador 2017-2021 and budget revision 2, 3, 5.

62. As of November 2020, allocated contributions amounted to USD 106,598,364, corresponding to 71.9% of the Needs Based Plan. Some 71.8% of the total funds already allocated were donated by the USA, followed by UN -Climate Adaptation Fund (9.66%), Germany (5.43%) and Japan (3%) (Figure 11).

⁵⁷ As per WFP's beneficiary counting guidance applicable at CSP development stage

⁵⁸ Please note that no increase is recorded for Strategic Outcome 3 in the Budget Revision 2. The difference between Original CPB and Budget Revision 2 is likely due to the rounding off.

Rep. of Korea, 2.60%	Other(s), 4.79%		
Flexible	Funding, 3.11%		
Germany, 5.43%	UN and Other	Funds, 9.66%	USA, 71.40%

Figure 11: Ecuador CSP [2017-2021)'s top 5 donors as of 7/11/2020

Source: WFP Factory - CBP Resource Situation, extracted on 07/11/2020

63. Table 4 shows that donors earmarked 99.19% of the total confirmed contributions to be allocated at activity level.

Table 4: Ecuador CPB - Summary by donor allocation level to date

Donor Earmarking level	Confirmed Contributions (USD)	% of Total Contributions
Country Level	805,379.62	0.79%
Strategic Outcome Level	21,934.65	0.02%
Activity Level	101,583,792.82	99.19%
Total	102,411,107.09	100%

Source: IRM Analytics, CPB Grant Balances, extracted on 28/10/2020]

64. Towards the end of October 2020, about 80.35% of the confirmed resources had been allocated to crisis response activities, followed by 10.61 percent for resilience building, whilst only 3.43 percent had been confirmed to address root causes (Table 5).

Table 5: Ecuador CPB (2017-2021) Summary of allocated contribution by focus area

Focus Area	Confirmed Contributions (USD)	% of Total Contributions
Crisis Response	82,288,682.65	80.35%
Resilience Building	10,870,707.97	10.61%
Root Causes	3,513,367.41	3.43%
Not Assigned	5,738,349.06	5.60%
Total	102,411,107.09	100.00%

Source: IRM Analytics, CPB Grant Balances, extracted on 28/10/2020]

65. Most of the confirmed resources (86%) have been allocated to SO1 (Table 6) and in particular to Activity 1 as part of which CBTs are distributed to the most vulnerable populations and support in vulnerability analysis and knowledge management is provided.

Table 6: Cumulative Financial Overview (USD) as at 27/10/2020⁵⁹

Focus Area	Strategic Outcome	Needs Based Plan Needs		trategic Outcome (NBP) USD million (as at 27/10/2020) Resort Allocation (NBP) USD million (as at 27/10/2020) Resort Allocation (NBP) USD million (as at 27/10/2020)			
Crisis Response	SO1	117,744,923	88%	82,326,388	70%		
Root Causes	SO2	3,497,721	3%	2,499,447	71%		
Resilience Building	SO3	7,584,945	6%	10,870,753	143%		
Root Causes	SO4	2,953,990	2%	1,033,323	35%		
Crisis Response	SO5	1,546,748	1%	956,461	62%		
Not-SO Specific		0	0%	766,278			
Total Direct O	perational Cost	133,328,327	100%	98,452,650	74%		
Direct and Indi	rect Support Costs	14,936,954	11%	11,299,522			
Gran Total		148,265,281		108,587,338			

Source: IRM Analytics, ACR 1-A_Standard Country Report extracted on 02/02/2020]

66. As of 7 November 2020, the Country Office had 64 predominantly national (94%) employees, of which 43 are female and 21 male. The country office is based in Quito with 10 sub offices in San Lorenzo, Tulcan, Ibarra, Lago Agrio, Guataquil, Cuenca, Manta, Ambato, Santo Domingo and Quito (see Annex 1).

3.2. SCOPE OF THE EVALUATION

- 67. The evaluation will cover all of WFP's activities (including cross cutting results) for the period April 2016 (start of CSP design) until August 2021 (data collection phase). Within this timeframe, the evaluation will assess the quality of the CSP design process, e.g. by looking at the participation of stakeholders and at the consultation of evidence; analyse how this process impacted on for instance national ownership and strategic positioning. The evaluation will also study how the CSP builds on or departs from the previous activities and assess if the envisaged strategic shift has taken place and what are the consequences.
- 68. The unit of analysis is the Country Strategic Plan understood as the set of strategic outcomes, outputs, activities and inputs that were included in the CSP document approved by WFP's Executive Board, as well as the subsequent approved budget revisions.
- 69. In connection to this, the evaluation will focus on assessing WFP contributions to CSP strategic outcomes, establishing plausible causal relations between the outputs of WFP activities, the implementation process, the operational environment and the changes observed at the outcome level, including any unintended consequences, positive or negative. In so doing, it will also look at prior operations, to appreciate the relevance and effectiveness of the strategic shift conceived under the CSP. The evaluation will also analyse WFP's partnership strategy, including WFP's strategic positioning in complex, dynamic contexts, particularly as relates to relations with local and national governments and the international community.
- 70. The evaluation scope will include an assessment of how relevant and effective WFP was in responding to the Covid-19 crisis in the country. In doing so, it will also consider how adaptations of WFP interventions in response to the crisis have affected other interventions planned under the CSP.

⁵⁹ Actual Allocated Resources include Allocated Contributions and Advance on Allocation, i.e. budget advance from previous year.

4. Evaluation Questions, Approach and Methodology

4.1. EVALUATION QUESTIONS AND CRITERIA

71. The evaluation will address four main questions common to all WFP CSPEs. Within this framework, the evaluation team may further develop and tailor the sub questions as relevant and appropriate to the CSP and country context, including as relates to assessing the response to the COVID crisis.

EQ1	- To what extent is WFP's strategic position, role and specific contribution based on country priorities
and	people's needs as well as WFP's strengths?
1.1	To what extent is the CSP relevant to national policies, plans, strategies and goals, including achievement of the national Sustainable Development Goals?
1.2	To what extent did the CSP address the needs of the most vulnerable people in the country to ensure that no one is left behind?
1.3	To what extent has WFP's strategic positioning remained relevant throughout the implementation of the CSP considering changing context, national capacities and needs - in particular in response to the COVID-19 pandemic?
1.4	To what extent is the CSP coherent and aligned with the wider UN and include appropriate strategic partnerships based on the comparative advantage of WFP in the country?
EQ2 X?	- What is the extent and quality of WFP's specific contribution to CSP strategic outcomes in Country
2.1	To what extent did WFP deliver expected outputs and contribute to the expected CSP strategic outcomes?
2.2	To what extent did WFP contribute to achievement of cross-cutting aims (humanitarian principles, protection, accountability to affected populations, gender equality and other equity considerations)?
2.3	To what extent are the achievements of the CSP likely to be sustainable?
2.4	In humanitarian contexts, to what extent did the CSP facilitate more strategic linkages between humanitarian, development and, where appropriate, peace work?
EQ3:	To what extent has WFP's used its resources efficiently in contributing to CSP outputs and strategic
outc	omes?
3.1	To what extent were outputs delivered within the intended timeframe?
3.2	To what extent was coverage and targeting of interventions appropriate?
3.3	To what extent were WFP's activities cost-efficient in delivery of its assistance?
3.4	To what extent were alternative, more cost-effective measures considered?
	- What are the factors that explain WFP performance and the extent to which it has made the tegic shift expected by the CSP?
4.1	To what extent did WFP analyse or use existing evidence on the hunger challenges, the food security and nutrition issues in the country to develop the CSP
4.2	To what extent has WFP been able to mobilize adequate, predictable and flexible resources to finance the CSP?
4.3	To what extent did the CSP lead to partnerships and collaborations with other actors that positively influenced performance and results?
4.4	To what extent did the CSP provide greater flexibility in dynamic operational contexts and how did it affect results, in particular as regards adaptation and response to the COVID-19 and other unexpected crises and challenges?
4.5	What are the other factors that can explain WFP performance and the extent to which it has made the strategic shift expected by the CSP?

- 72. The evaluation will adopt standard UNEG and OECD/DAC evaluation criteria, namely: relevance, efficiency, effectiveness, coherence and sustainability as well as connectedness and coverage as applicable. Moreover, it will give attention to assessing adherence to humanitarian principles, protection issues and Accountability to Affected Populations (AAP) of WFP's response.
- 73. During the inception phase, the evaluation team in consultation with OEV will identify a limited number of key themes of interest, in particular for learning purposes. The assumptions identified should be spelled out in the inception report and translated into specific lines of inquiry under the relevant evaluation questions and sub-questions. Part of this should be informed by the identified needs for evidence set out in the 2019 WFP Evidence Summary Ecuador in Annex 14.

4.2 EVALUATION APPROACH AND METHODOLOGY

- 74. The Agenda 2030 mainstreams the notion of sustainable development as a harmonious system of relations between nature and human beings, in which individuals are part of an inclusive society with peace and prosperity for all. Against this backdrop, the economic, social and environmental dimensions of sustainable development cannot be addressed in isolation from one another. This calls for a systemic approach to development policies and programme design and implementation, as well as for a systemic perspective in analysing development change. WFP assumes the conceptual perspective of Agenda 2030 as the overarching framework of its Strategic Plan 2017 -2021, with a focus on supporting countries to end hunger (SDG 2).
- 75. In so doing, it places emphasis on strengthening the humanitarian development nexus, which implies applying a development lens in humanitarian response and complementing humanitarian action with strengthening national institutional capacity.
- 76. The achievement of any SDG national target and of WFP's strategic outcomes is acknowledged to be the result of the interaction among multiple variables. From this perspective and in the context of the SDGs, the attribution of net outcomes to any specific organization, including WFP, may be extremely challenging or sometimes impossible. By the same token, while attribution of results would not be appropriate at the outcome level, it should be pursued at the output and activity level, where WFP is meant to be in control of its own capacity to deliver.
- 77. To operationalize the above-mentioned systemic perspective, the CSPE will adopt a mixed methods approach; this should be intended as a methodological design in which data collection and analysis is informed by a feedback loop combining a deductive approach, which starts from predefined analytical categories, with an inductive approach that leaves space for unforeseen issues or lines of inquiry that had not been identified at the inception stage; this would eventually lead to capturing unintended outcomes of WFP operations, negative or positive. In line with this approach, data may be collected through a mix of primary and secondary sources with different techniques including: desk review, semi-structured or openended interviews, surveys, closed answers questionnaires, focus groups and direct observation. Topics of particular interest might be subject to more detailed analysis through the conduct of a case study or dedicated survey. Systematic data triangulation across different sources and methods should be carried out to validate findings and avoid bias in the evaluative judgement.
- 78. As the COVID-19 pandemic might require data collection to be conducted fully or partially remotely, various possible scenarios should be conceived as part of the technical and financial offers for this evaluation: a) a fully remote evaluation approach with inception and main mission conducted virtually and the learning workshop in country⁶⁰; b) a mixed approach, where the inception mission is conducted virtually but the main data collection mission and learning workshop would be in country; and c) a normal approach with inception and main missions; as well as the stakeholder workshop conducted in country.

⁶⁰ Under a fully remote approach, primary data collection will be done through remote interviews and focus groups and, eventually, through an electronic survey. The evaluation under this scenario would draw fully on all available secondary sources, including previous evaluations and reviews, relevant thematic studies and available monitoring data.

- 79. During the inception phase, the evaluation team will be expected to develop a detailed methodological design, in line with the approach proposed in this ToR. The design will be presented in the inception report and informed by a thorough evaluability assessment. The latter should be based on desk review of key programming, monitoring and reporting documents and on some scoping interviews with the programme managers.
- 80. A key annex to the inception report will be an evaluation matrix that operationalizes the unit of analysis of the evaluation into its different dimensions, operational components, lines of inquiry and indicators, where applicable, with corresponding data sources and collection techniques. In so doing, the evaluation matrix will constitute the analytical framework of the evaluation. The key themes of interest of the evaluation should be adequately covered by specific lines of inquiry under the relevant evaluation sub-questions. The methodology should aim at data disaggregation by sex, age, nationality or ethnicity or other characteristics as relevant to, and feasible in, specific contexts. Moreover, the selection of informants and site visits should ensure to the extent possible that all voices are heard. In this connection, it will be very important at the design stage to conduct a detailed and comprehensive stakeholder mapping and analysis to inform sampling techniques, either purposeful or statistical.
- 81. This evaluation will be carried out in a gender responsive manner. For gender to be successfully integrated into this evaluation it is essential to assess:
 - > the quality of the gender analysis that was undertaken before the CSP was designed.
 - > whether the results of the gender analysis were properly integrated into the CSP implementation.
- 82. The gender dimensions may vary, depending on the nature of the CSP outcomes and activities being evaluated. The CSPE team should apply OEV's Technical Note for Gender Integration in WFP Evaluations. The evaluation team is expected to use a method to assess the Gender Marker levels for the CO. The inception report should incorporate gender in the evaluation design and operation plan, including gender sensitive context analysis. Similarly, the final report should include gender-sensitive analysis, findings, results, factors, conclusions, and where appropriate, recommendations; and technical annex.
- 83. The evaluation will give attention to assessing adherence to humanitarian principles, protection issues and accountability for affected populations in relation to WFP's activities, as appropriate, and on differential effects on men, women, girls, boys and other relevant socio-economic groups.

4.3. EVALUABILITY ASSESSMENT

Evaluability is the extent to which an activity or a programme can be evaluated in a reliable and credible fashion. It necessitates that a policy, intervention or operation provides: (a) a clear description of the situation before or at its start that can be used as reference point to determine or measure change; (b) a clear statement of intended outcomes, i.e. the desired changes that should be observable once implementation is under way or completed; (c) a set of clearly defined and appropriate indicators with which to measure changes; and (d) a defined timeframe by which outcomes should be occurring

- 84. Several issues could have Implications for the conduct of the CSP evaluation. Common evaluability challenges may relate to:
 - relatively vague definitions of the expected outcomes, or outputs;
 - the validity and measurability of indicators;
 - the absence of baselines and or limited availability of monitoring data;
 - > the security situation of the country and its implications for the coverage of field visits during the main mission;
 - > the time frame covered by the evaluation. CSPE are meant to be final evaluations of a five-year programme cycle, conducted during the penultimate year of the cycle. This has implications for the completeness of results reporting and attainment of expected outcomes.
- 85. During the inception phase, the evaluation team will be expected to perform an in-depth evaluability assessment and critically assess data availability, quality and gaps to inform its choice of evaluation methods. This will include an analysis of the results framework and related indicators to validate the pre-assessment

made by OEV. At this stage the evaluability has been assessed in terms of the availability of good quality monitoring data; the availability of reliable national data; and the evaluability of other evidence:

Evaluability in relation to the availability of credible monitoring data

- 86. WFP's corporate monitoring database (Country Office Monitoring and Evaluation Tool (COMET)) displays four versions of the CSP's logical framework (logframe). Tables in Annex 5 allow for an appreciation on the differences between those versions. Apart from 3 indicators that were removed from the original logframe when moving to a second version (9 months after project start), all 30 original indicators remained applicable throughout CSP duration. Adding to those original indicators, in updated logframe versions 7 new outcome indicators, 1 crosscutting indicator and 8 new output indicators were inserted.
- 87. For around two-third of the *outcome* indicators baseline and follow-up measurements were reported in the first ACR (2019). The number and share of outcome indicators for which follow-up values were reported decreased over time. Trend analysis at outcome performance level will only be possible for 6 indicators out of those 11 outcome indicators that were included in all versions of the logframe. Baseline and latest follow-up values are available for 6 outcome indicators across the three years.
- 88. The CO has reported on the majority (3) of the *cross-cutting* indicators for all 3 years (which also have baseline data), while the panorama looks less favourable in relation to *output* reporting. ACRs show that data were available for only around half of the output indicators in 2017 and 2018 and decreased further in 2019, when only about a third of the 20 output indicators was accounted for.
- 89. Worthwhile mentioning that the evaluation team will have to verify whether performance data from one year to the other relate to same/similar programme interventions and cohorts, before embarking on a trend analysis.

Evaluability in relation to the availability of national data

- 90. In 2019, the World Bank assessed Ecuador's national statistical capacity with a score of 67 out of 100, below the average of Latin American and Caribbean countries (71.1)⁶¹.
- 91. The last National Census in Ecuador has been conducted in 2010⁶², and relevant evidence on socio-economic and health indicators can be obtained from several National Surveys that the National Statistical Institute (INEC) has recently undertaken:
 - Encuesta Nacional de Salud y Nutricion 2018
 - Encuesta Nacional Multipropósito de Hogares seguimento al Plan Nacional del Desarrollo 2019
 - Encuesta Nacional sobre Relaciones Familiares y Violencia de Genero contra las Mujeres 2019
 - Encuesta Nacional de Empleo, Subempleo, Desempleo 2019
 - Encuesta Estructural Empresial 2020
 - Encuesta de Superficie y Produccion Agropecuaria Continua ESPAC 2020

Evaluability in terms of other available evidence

- 92. Ample evidence is available on WFP programming in Ecuador (see Annex 13, Bibliography). To that regard, in particular the afore mentioned PRRO 200701 Final Evaluation is of importance, as well as a Decentralized Evaluation and CSP Mid Term Review that were undertaken during the operationalization of the CSP:
 - Decentralized Evaluation "Food Assistance to Social Protection"
- 93. Shortly after the start of the operationalization of the CSP, this Decentralized Evaluation covering 2016 and 2017 was conducted to learn from prior operations (PRRO and Emergency Operation (EMOP)).
- 94. Main evaluation recommendations, intended to inform the implementation of the CSP, can be summarized as follows:

⁶¹ World Bank website

⁶² Census de Población y Vivienda 2010, INEC, 2010

- Migrants/refugees. Strengthen food assistance and inclusion of vulnerable mobile populations in social protection programmes by engagement through strategic partnerships with and among government entities; and with UN agencies. Provide CBT and related education to vulnerable migrants/refugees, based on assessments; and systematize lessons learned in relation to this beneficiary group.
- > Smallholder Farmers. Allow more (particularly female) smallholder holder farmer organizations to become part of WFP's support and enable stronger organizational capacities while facilitating the association of those organizations with local governments and sectorial institutions.
- > Gender. Reinforce co-responsibility men/women during trainings; use complementary activities to empower women; and formalize/strengthen partnerships to support the elimination of violence against women.
- > Capacity Strengthening. Consolidate shock responsive safety nets; and transfer tools and methodologies related to nutritional supplementation to local authorities.
- 95. Early 2020, an internal participatory Mid Term Review (MTR) of the CSP was undertaken. Examples taken from a larger list of MTR recommendations to management include:
 - In terms of SO1, the CO would need to align to the changing dynamics of migration in Ecuador; implement assistance looking at the characteristics of the target population; and replicate pilot programmes such as the one of El Salvador that connects private enterprises with beneficiaries;
 - To strengthen the positioning of WFP in support of the enabling environment, capacity strengthening activities need to align to needs of the Government and be embedded /linked to a project that had been elaborated with the Government;
 - The CO needs to build alliances with other UN agencies for joint evidence generation. WFP's evidence should also be linked to concrete projects;
 - The CO could create a nutrition unit to provide support to each of the programme areas;
 - The CO should downstream communication from Activity Managers to field offices.
- 96. The evaluation will need to analyze the extent to which the recommendations from evaluations and reviews have been implemented.
- 97. In addition, WFP's study 'Fill the Nutrient Gap'; case studies on the linkage of farmers to institutional markets; and various emergency food security assessments are examples of WFP coordinated research that provide directly relevant insights on the context and enabling environment in which the country office operates. Findings of a Case Study on Ecuador as part of WFP's strategic South-South and Triangular Collaboration evaluation should become available around data collection the stage of this CSPE evaluation. Evidence collected in various exercises commissioned by the CO (i.e. FORECCSA final evaluation; Post-evaluation of CLOSAN; Evaluation of the smallholder farmers and school meals interventions) provide additional inputs to the CSPE.
- 98. In terms of the country office's collaboration in the wider national context, 2 Voluntary National Review exercises can shed light on the progress towards SDG targets. Finally, in relation to COVID-19, a UN framework for the socio-economic response to the pandemic has been developed. Reporting on the implementation of actions has been limited so far⁶³.

4.4. ETHICAL CONSIDERATIONS

99. Evaluations must conform to WFP and UNEG ethical standards and norms. Accordingly, the evaluation firm is responsible for safeguarding and ensuring ethics at all stages of the evaluation cycle. This includes, but is

⁶³ At November 6th 2020, low level reporting (i.e. 25 – 50 percent of indicators) was noted for result areas "Health First" and "Social Cohesion"; and medium level (50-75 percent of indicators) for results areas "Protecting People", Economic Response" and "Macroeconomic Response". <u>UNINFO Data Portal – COVID-19 Data Portal</u>

- not limited to, ensuring informed consent, protecting privacy, confidentiality and anonymity of participants, ensuring cultural sensitivity, respecting the autonomy of participants, ensuring fair recruitment of participants (including women and socially excluded groups) and ensuring that the evaluation results do no harm to participants or their communities.
- 100. The team and EM will not have been involved in the design, implementation or monitoring of the WFP Ecuador CSP, nor have any other potential or perceived conflicts of interest. All members of the evaluation team will abide by the 2020 UNEG Ethical Guidelines and the 2014 Guidelines on Integrating Human Rights and Gender Equality in Evaluations. In addition to signing a pledge of ethical conduct in evaluation, the evaluation team will also commit to signing a confidentiality, Internet and Data Security Statement.

4.5. QUALITY ASSURANCE

- 101.WFP's evaluation quality assurance system sets out processes with steps for quality assurance and templates for evaluation products based on quality checklists. The quality assurance will be systematically applied during this evaluation and relevant documents will be provided to the evaluation team. This quality assurance process does not interfere with the views or independence of the evaluation team but ensures that the report provides credible evidence and analysis in a clear and convincing way and draws its conclusions on that basis. The evaluation team will be required to ensure the quality of data (reliability, consistency and accuracy) throughout the data collection, synthesis, analysis and reporting phases.
- 102.OEV expects that all deliverables from the evaluation team are subject to a thorough quality assurance review by the evaluation company in line with WFP's evaluation quality assurance system prior to submission of the deliverables to OEV.
- 103.All final evaluation reports will be subjected to a post hoc quality assessment by an independent entity through a process that is managed by OEV. The overall Post-hoc Quality Assessment (PHQA) results will be published on WFP website alongside the final evaluation report.

5. Organization of the Evaluation

5.1. PHASES AND DELIVERABLES

104. The evaluation is structured in five phases summarized in figure 11 below. The evaluation team will be involved in phases 2 to 5 of the CSPE. Annex 3 presents a more detailed timeline. The CO and RBP have been consulted on the timeframe to ensure good alignment with the CO planning and decision-making so that the evidence generated by the CSPE can be used effectively.

Figure 11: Summary timeline – key evaluation milestones

							- 2	2021							202	22	
Main Phases	Tasks and Deliverables	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Nov
	Final TOR	27 Jan															
1 D	Evaluation Team and/or firm selection & contract		12 Feb														
1.Preparatory	Document review		12 Feb -	- 3 Mar													
	Summary TOR	27 Jan															
	Briefing at HQ												•				
2. Inception	Inception Mission			3 M	lar – 10 I	May											
	Inception report																
3. Evaluation, including	Evaluation mission, data collection and exit							10 Iul	l – 9 Aug								
fieldwork	debriefing							19 Ju	i – 9 Aug								
	Report Drafting								24 Aug	- 30 sept							
	Review Process								•			1 Oct 202	21- 24 jan 20	22			
4. Reporting	Learning Workshop												3 - 4 Dec				
	Final evaluation report													24-Jan-22			
	Summary Evaluation Report													L	28-Feb-22		
5. Dissemination	Management Response Executive Board		•													Mar	→ Nov
J. Disseriiiiatioii	Presentation Wider dissemination															ivial	INOV

5.2. EVALUATION TEAM COMPOSITION

105.The CSPE will be conducted by a gender balanced team of 4 evaluators (including a researcher), composed of at least 2 International and ideally 2 national consultants with relevant expertise. The selected evaluation firm is responsible for proposing a mix of evaluators with multi-lingual language skills (Spanish and English) who can effectively cover the areas of evaluation. The team leader should have excellent synthesis and report writing skills in Spanish. The evaluation team will have strong methodological competencies in designing feasible data capture and analysis, synthesis and reporting skills. In addition, the team members should have experience in humanitarian and development contexts and knowledge of the WFP food and technical assistance modalities. Table 7 provides a summary of areas of expertise required to the evaluation team.

Table 7: Summary of areas of expertise required

Areas of CSPE	Expertise							
Team Leadership	 Team leadership, coordination, planning and management including the strong problem-solving skills Solid understanding of key players within and outside the UN System; experience of evaluating country programmes of multilateral organizations 							
	• Experience in the analysis of capacity strengthening at institutional and community level							
	• Strong analytical, synthesis, report writing, and presentation skills and ability to deliver on time							
	• Specialization in one of the following areas: food assistance, refugee operations; emergency preparedness and response, gender analysis; capacity strengthening							
	Understanding of crosscutting areas such as gender; accountability to affected populations; disability and inclusion; and environment. Polyvant knowledge and experience in Equator or similar country.							
	Relevant knowledge and experience in Ecuador or similar country ettings, including fluency in Spanish and English.							
Refugee and Migrants	In-depth understanding of the refugee and migrant crisis in Ecuador, Colombia and/or Venezuela;							
	• Experience with unconditional and conditional nutrition sensitive assistance provision to refugee, migrants and host communities; by government agencies and cooperating entities;							
	Strong knowledge in relation to peace building strategies in a migration/refugee context;							
	• Strong familiarity with the humanitarian, development and peace nexus discourse;							
	• Experience with interagency collaboration in a migration/refugee context.							
	• Understanding of the implications of gender inequality in the context of refugee and migrant crises.							
Government capacity	Strong technical expertise in national and local capacity strengthening							
strengthening and	and technical assistance, in particular in relation to food security and nutrition.							
technical support; Social protection;	Knowledge on shock responsive safety nets.Understanding of gender, accountability to affected populations;							
South- South	disability and inclusion; and environmental considerations in public policy and							
triangular	programming							
cooperation								

Areas of CSPE	Expertise			
Resilience, Food	Strong technical expertise in resilience, food security, climate change			
Security and	adaptation, sustainable agricultural practices.			
Agriculture,	Proven track record of evaluation of food assistance activities in the			
7.8	context of development and humanitarian interventions and through a variety			
	of activities in similar country context.			
Assistance to	Strong knowledge of assistance schemes to male and female			
smallholder farmers	smallholder farmers, access to both commercial and institutional markets; and			
3inamiolaer farmers	value chain.			
Emergency	Strong technical expertise in evaluating emergency and preparedness			
preparedness and	frameworks, disaster relief activities, logistics, supply chain management;			
• •				
response	procurement			
Cash Based Transfers	Knowledge in relation to the management, by both governments and			
	cooperating agencies, of Cash-Based Transfer (CBT) modalities; in			
	humanitarian and development contexts; and understanding of the GEEW			
	dimension of CBT.			
Research Assistance	Relevant understanding of evaluation and research and knowledge of			
	food assistance, ability to provide qualitative and quantitative research support			
	to evaluation teams, analyse and assess M&E data, data cleaning and analysis;			
	writing and presentation skills, proofreading, and note taking. Familiarity with			
	WFP data would be an asset.			
Note	All activities and modalities will have to be assessed for their efficiency			
	and effectiveness and their approach to gender. For activities where there is			
	emphasis on humanitarian actions the extent to which humanitarian principles,			
	protection and access are being applied in line with WFP corporate policies will			
	be assessed.			

5.3. ROLES AND RESPONSIBILITIES

- 106. This evaluation is managed by WFP's Office of Evaluation (OEV). Jacqueline Flentge has been appointed as Evaluation Manager (EM). The EM has not worked on issues associated with the subject of evaluation. She is responsible for drafting the TOR; contracting the evaluation team; managing the budget; setting up the review group; organizing the team briefing and the stakeholders learning in-country workshop; supporting the preparation of the field mission; drafting Summary Evaluation Report; conducting the 1st level quality assurance of the evaluation products and soliciting WFP stakeholders' feedback on draft products. The EM will be the main interlocutor between the team, represented by the team leader, and WFP counterparts to ensure a smooth implementation process. Sergio Lenci, Senior Evaluation Officer, will provide second level quality assurance. Andrea Cook, Director of Evaluation, will approve the final evaluation products and present the CSPE to the WFP Executive Board for consideration in November 2022.
- 107.An internal reference group composed of selected WFP stakeholders at CO, RBP and HQ levels will be expected to comment on draft evaluation reports, provide feedback during evaluation briefings; be available for interviews with the evaluation team. The CO will facilitate the evaluation team's contacts with stakeholders in Ecuador; provide logistic support during the fieldwork and organize an in-country stakeholder learning workshop. Luis Fernandez has been nominated the WFP CO focal point and will assist in communicating with the EM and CSPE team, and to set up meetings and coordinate field visits. To ensure the independence of the evaluation, WFP staff will not be part of the evaluation team or participate in meetings where their presence could bias the responses of the stakeholders.

5.4. SECURITY CONSIDERATIONS

108.As an 'independent supplier' of evaluation services to WFP, the contracted firm will be responsible for ensuring the security of the evaluation team, and adequate arrangements for evacuation for medical or

insecurity reasons. However, to avoid any security incidents, the Evaluation Manager will ensure that the WFP CO registers the team members with the Security Officer on arrival in country and arranges a security briefing for them to gain an understanding of the security situation on the ground. The evaluation team must observe applicable United Nations Department of Safety and Security rules including taking security training (BSAFE & SSAFE) and attending in-country briefings.

5.5. COMMUNICATION

It is important that Evaluation Reports are accessible to a wide audience, as foreseen in the Evaluation Policy, to ensure the credibility of WFP – through transparent reporting – and the usefulness of evaluations.

109.All evaluation products will be produced in Spanish. As part of the international standards for evaluation, WFP requires that all evaluations are made publicly available. Should translators be required for fieldwork, the evaluation firm will make arrangements and include the cost in the budget proposal [to be adjusted in case OEV envisages to recruit the evaluation team as consultants]. A communication plan (see Annex 9) will be refined by the EM in consultation with the evaluation team during the inception phase. The summary evaluation report along with the management response to the evaluation recommendations will be presented to the WFP Executive Board in November 2022. The final evaluation report will be posted on the public WFP website and OEV will ensure dissemination of lessons through the annual evaluation report.

5.6. BUDGET

110. The evaluation will be financed through the CSP budget.

Annexes

Annex 1: Ecuador Map with WFP Offices in 2020



Source: WFP, June 2020

Annex 2: Ecuador Fact Sheet

	Parameter/(source)	ter/(source) 2016 2020 Data source		Link		
	General					
1	Human Development Index (1)	0.739 (2015)	0.758 (2018)	UNDP Human Development Report 2015 & 2019	http://www.hdr.undp.org/ en/data	
2	Asylum-seekers (pending cases) (5)	24,542	25,025 (2019)	UNHCR	https://www.unhcr.org/ref ugee- statistics/download/?url=z d8P	
3	Refugees (incl. refugee-like situations) (5)	102,848	104,560 (2019)	UNHCR	https://www.unhcr.org/ref ugee- statistics/download/?url=z d8P	
4	Returned refugees (5)	-	0 (2018)	UNHCR	https://www.unhcr.org/ref ugee- statistics/download/?url=z d8P	
5	Internally displaced persons (IDPs)	-	0 (2018)	UNHCR	https://www.unhcr.org/ref ugee- statistics/download/?url=z d8P	
6	Returned IDPs (5)	-	0 (2018)	UNHCR	https://www.unhcr.org/ref ugee- statistics/download/?url=z d8P	
			Demography			
7	Population total (millions) (2)	16,491,115	17,373,662 (2019)	World Bank	https://data.worldbank.org /country/ecuador?view=ch art	
8	Population, female (% of total population) (2)	49.9	49.9 (2019)	World Bank	https://data.worldbank.org /country/ecuador?view=ch art	
9	% of urban population (1)	63.5	63.8 (2018)	UNDP Human Development Report 2015 & 2019	http://www.hdr.undp.org/ en/data	
10	Total population by age (1-4) (millions) (6)	2008-2017 333,325	n.a	UNSD	https://unstats.un.org/uns d/demographic- social/products/dyb/#statis tics	
11	Total population by age (5-9) (millions) (6)	2008-2017 1,337,525	n.a	UNSD	https://unstats.un.org/uns d/demographic- social/products/dyb/#statis tics	
12	Total population by age (10-14) (millions) (6)	2008-2017 1,688,923	n.a	UNSD	https://unstats.un.org/uns d/demographic- social/products/dyb/#statis tics	
13	Total Fertility rate, per women (10)	2010-2015 2.4	2017 2.4	UNFPA	https://www.unfpa.org/dat a/world-population/EC	

14	Adolescent birth rate (births per 1,000 women ages 15-19)	71.1 (2017)	n.a.	WHO	https://apps.who.int/gho/d ata/view.xgswcah.31-data	
	Economy					
15	GDP per capita (current USD) (2)	6,060	6,183 (2019)	World Bank	https://data.worldbank.org /country/ecuador?view=ch art	
16	Income inequality: Gini Coefficient (1)	45.4 (2015)	44.7 (2017)	UNDP Human Development Report 2015 & 2019	http://www.hdr.undp.org/ en/data	
17	Foreign direct investment net inflows (% of GDP) (2)	0.76	0.87 (2019)	World Bank	https://data.worldbank.org /country/ecuador?view=ch art	
18	Net official development assistance received (% of GNI) (4)	0.2	0.4 (2018)	OECD/DAC	https://public.tableau.com /views/OECDDACAidatagla ncebyrecipient new/Recipi ents?:embed=y&:display_c ount=yes&:showTabs=y&:t oolbar=no?&:showVizHom e=no	
19	SDG 17: Volume of remittances as a proportion of total GDP (percent) (9)	2.6	2.8 (2018)	SDG Country Profile	https://country- profiles.unstatshub.org/	
20	Agriculture, forestry, and fishing, value added (% of GDP) (2)	9.51	8.99 (2019)	World Bank	https://data.worldbank.org /country/ecuador?view=ch art	
			Poverty			
21	Population near multidimensional poverty (%) (1)	8.4	7.5 (2019)	UNDP Human Development Report 2016 & 2019	http://www.hdr.undp.org/ en/data	
22	Population in severe multidimensional poverty (%) (1)	0.5	0.8 (2019)	UNDP Human Development Report 2016 & 2019	http://www.hdr.undp.org/ en/data	
			Health			
23	Maternal Mortality ratio (%) (lifetime risk of maternal death: 1 in:) (3)	580 (2015)	640 (2017)	UNICEF SOW 2015 and 2019	https://www.unicef.org/sowc/	
24	Healthy life expectancy at birth (2)	76.30	76.8 (2018)	World Bank	https://data.worldbank.org /country/ecuador?view=ch art	
25	Prevalence of HIV, total (% of population ages 15-49) (2)	0.4	0.4 (2019)	World Bank	https://data.worldbank.org /country/ecuador?view=ch art	
26	Current health expenditure (% of GDP) (2)	8.29	8.25 (2017)	World Bank	https://data.worldbank.org /country/ecuador?view=ch art	
			Gender			
27	Gender Inequality Index (1)	88	90 (2018)	UNDP Human Development Report 2016 & 2019	http://www.hdr.undp.org/ en/data	
28	Proportion of seats held by women in national parliaments (%) (2)	41.60	39.40	World Bank	https://data.worldbank.org /country/ecuador?view=ch art	

29	Labour force participation rate, total (% of total population ages 15+) (modelled ILO estimate) (2)	55.70	55.30	World Bank	https://data.worldbank.org /country/ecuador?view=ch art
30	Employment in agriculture, female (% of female employment) (modelled ILO estimate) (2)	23.80	26.40	World Bank	https://data.worldbank.org /country/ecuador?view=ch art
			Nutrition		
31	Prevalence of moderate or severe food insecurity in the total population (%) (7)	23.3 (2014 - 2016)	23.3 (2016 - 2019)	The State of Food Security and Nutrition report 2017 and 2020	http://www.fao.org/public ations/sofi/en/
32	Prevalence of aenemia in women in reproductive age (%) (8)	18.82 (2016)	na	WHO	https://apps.who.int/gho/data/view.xgswcah.31-data
33	Weight-for-height (Wasting - moderate and severe), prevalence for < 5 (%) (3)	2 (2011-2016)	2013–2018: 2	UNICEF SOW 2015 and 2019	https://www.unicef.org/sowc/
34	Height-for-age (Stunting - moderate and severe), prevalence for < 5 (%) (3)	25 (2011-2016)	2013–2018: 24	UNICEF SOW 2015 and 2019	https://www.unicef.org/sowc/
35	Weight-for-age (Overweight - moderate and severe), prevalence for < 5 (%) (3)	8 (2011-2016)	2013–2018: 8	UNICEF SOW 2015 and 2019	https://www.unicef.org/sowc/
36	Mortality rate, under-5 (per 1,000 live births) (2)	14.8	14 (2019)	World Bank	https://data.worldbank.org /country/ecuador?view=ch art
			Education		
37	Adult literacy rate (% ages 15 and older) (1)	94.3	not reported	UNDP Human Development Report 2016 & 2019	http://www.hdr.undp.org/ en/data
38	Population with at least secondary education (% ages 25 and older) (1)	52.2	51.9 (2018)	UNDP Human Development Report 2016 & 2019	http://www.hdr.undp.org/ en/data
39	Current education expenditure, total (% of total expenditure in public institutions) (2)	n.a.	n.a	World Bank	https://data.worldbank.org /country/ecuador?view=ch art
40	School enrolment, primary (% gross) (2)	104.9	103.2 (2018)	World Bank	https://data.worldbank.org /country/ecuador?view=ch art
41	Gender parity index, secondary education (2)		1.03 (2009-2019)	UNFPA	https://www.unfpa.org/dat a/world-population/EC

Source: (1) UNDP Human Development Report – 2016 and 2019; (2) World Bank. WDI; (3) UNICEF SOW; (4) OECD/DAC: (5) UNHCR; (6) UN stats; (7) The State of Food Security and Nutrition report - 2019; (8) WHO; (9) SDG Country Profile; (10) UNFPA

Annex 3: Timeline

Ph	ase 1 – Preparation		
	Draft TOR cleared by DoE/DDoE and circulated for	DoE/DDoE	9 December 2020
	comments to CO and to LTA firms	DOLIDOL	9 December 2020
	Comments on draft TOR received	CO	6 January 2021
	Proposal Deadline based on the Draft TOR	LTA	15 January 2021
	LTA Proposal Review	EM	27 January 2021
	Final revised TOR sent to WFP Stakeholders	EM	27 January 2021
	Contracting evaluation team/firm	EM	12 February 2021
Ph	ase 2 - Inception		
	Team preparation, literature review prior to HQ briefing	Team	12 February-2 March 2021
	HQ & RB Inception Briefing	EM & Team	3-5 March 2021
	Inception Briefings	EM + TL	8 -12 March 2021
	Submit draft Inception Report (IR)	TL	6 April 2021
	OEV quality assurance and feedback	EM	15 April 2021
	Submit revised IR	TL	23 April 2021
	IR Review and Clearance	EM	5 May 2021
	IR Clearance	DoE/DDoE	10 May 2021
	EM circulates final IR to WFP key Stakeholders for their information + post a copy on intranet.	EM	31 May 2021
Ph	ase 3 - Data Collection, including Fieldwork ⁶⁴		
	In country / Remote Data Collection	Team	19 July – 9 August 2021
	Exit Debrief (ppt)	TL	9 August 2021
	Preliminary Findings Debrief	Team	23 August 2021
Ph	ase 4 - Reporting		
D r	Submit high quality draft ER to OEV (after the company's quality check)	TL	30 September 2021
a f t 0	OEV quality feedback sent to TL	EM	14 October 2021
D	Submit revised draft ER to OEV	TL	22 October 2021
r a			
f t 1	OEV quality check	EM	4 November 2021
f t	OEV quality check Seek clearance prior to circulating the ER to IRG	EM DoE/DDoE	4 November 2021 11 November 2021
f t			

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 $^{^{64}}$ Minimum 6 weeks should pass between the submission of the Inception report and the starting of the Data collection phase.

	Consolidate WFP comments and share with Team	EM	25 November 2021
	Submit revised draft ER to OEV based on WFP's comments, with team's responses on the matrix of	ET	14 December 2021
	comments.	LI	14 December 2021
D	Review D2	EM	21 December 2021
r			
a f	Submit final draft ER to OEV	TL	0.1
t	Subitiit iiilai drait ER to OEV	I LL	8 January 2022
2			
D	Review D3	EM	18 January 2022
r			
a f	Seek final approval by DoE/DDoE	DoE/DDoE	24 January 2022
t	Seek illial approval by BOE/BBOE	DOLIDOL	24 January 2022
3			
	Draft Summary Evaluation Report	EM	4 February 2022
S E R	Seek DoE/DDoE clearance to send SER	DoE/DDoE	25 February 2022
	OEV circulates SER to WFPs Executive Management for information upon clearance from OEV's Director	DoE/DDoE	1 March 2022
	Phase 5 - Executive Board (EB) and follow-up		
	Submit SER/recommendations to CPP for management		March 2022
	response + SER to EB Secretariat for editing and translation	EM	
	Tail end actions, OEV websites posting, EB Round Table Etc.	EM	April-October 2022
	Presentation of Summary Evaluation Report to the EB	DoE/DDoE	October/November 2022
	Presentation of management response to the EB	D/CPP	November 2022

Note: CPP= Corporate Planning and Performance; DOE= Director of Evaluation; EM=Evaluation manager; OEV=Office of Evaluation; TL=Team Leader.

Annex 4: Preliminary Stakeholder Analysis

	Interest in the evaluation	Participation in the evaluation	Who
Internal (WFP) stakeholders			
Country Office	Primary stakeholder and responsible for country level planning and implementation of the current CSP, it has a direct stake in the evaluation and will be a primary user of its results in the development and implementation of the next CSP.	CO staff will be involved in planning, briefing, feedback sessions, as key informants will be interviewed during the data collection phase, and they will have an opportunity to review and comment on the draft ER, and management response to the CSPE.	Senior Management, Head of Programme and Programme Officers, Supply Chain Officers, Partnership Officers, M&E/VAM Officers and other(s)
WFP Senior Management and Regional Bureau	WFP Senior Management and the Regional Bureau in Panama (RBP) have an interest in learning from the evaluation results because of the strategic and technical importance of Ecuador in the WFP corporate and regional plans and strategies.	RBP staff will be key informants and interviewed during the inception and data collection phase. They will provide comments on the Evaluation Report and will participate in the debriefing at the end of the data collection phase. RBP staff will have the opportunity to comment on SER and management responses to the CSPE.	Senior RB Management, Head of Programme; Programme and Policy Advisors, Supply Chain Advisor, Partnership Advisor, Regional Monitoring Advisor, Regional VAM advisor, and other(s)
WFP Divisions	WFP technical units such as programme and policy, livelihood and resilience, capacity strengthening, nutrition, gender, vulnerability analysis, performance monitoring and reporting, gender, safety nets and social protection, partnerships, supply chain, and governance have an	The CSPE will seek information on WFP approaches, standards and success criteria from these units linked to main themes of the evaluation (extensively involved in initial virtual briefings with the evaluation team) with interest in improved reporting on results. They	Evaluation focal points in HQ Divisions of programme and policy, livelihood and resilience, capacity strengthening, nutrition, gender, vulnerability analysis, performance monitoring and reporting, gender, safety nets and social protection, partnerships, supply chain

		T	
	interest in lessons relevant to their mandates.	will have an opportunity to review and comment on the draft ER, and management response to the CSPE.	
WFP Executive Board	Accountability role, but also an interest in potential wider lessons from Ecuador's evolving contexts and about WFP roles, strategy and performance.	Presentation of the evaluation results at the November 2021 session to inform Board members about the performance and results of WFP activities in Ecuador.	EB Members
External Stakeholders			
Affected population / Beneficiary Groups Refugees, immigrants, returnees, host populations and vulnerable poor households. Out of these, varieties in gender, type of human mobility and age groups are of interest.	As the ultimate recipients of food/ cash and other types of assistance, such as capacity development, beneficiaries have a stake in determining whether WFP's assistance is relevant, appropriate and effective.	They will be interviewed and consulted during the data collection phase as feasible. Special arrangements may have to be made to meet children.	Pregnant and lactating women, households with children under 2; households composed of unaccompanied minors under 18, senior citizens, persons with disabilities, people with severe illnesses or HIV, single-headed households, and households headed by individuals with low levels of education; natural disaster affected households, smallholder farmers, students, members of parent teacher associations.
UN Country Team and other International Organizations Resident Agencies: UN Office of Resident Coordinator, UNDP, UNHCR, UNFPA, UN Women, UN OCHA, IOM, UNICEF, PAHO and WHO, FAO, UNESCO, UNIDO. Non-Resident Agencies: IFAD, ILO, UNHabitat, UNV, UNOPS, UNODC, UNEP, UNAIDS. Other UN relevant: UNOSSC, UNDSS, Other(s): Word Bank, International Cooperation Gender Working Group (MEGECI), Inter-American	UN agencies and other partners in Ecuador have a stake in this evaluation in terms of partnerships, performance, future strategic orientation, as well as issues pertaining to UN coordination . UN Resident Coordinator and agencies have an interest in ensuring that WFP activities are effective and aligned with their programmes. This includes the various coordination mechanisms such as for protection, food security, nutrition etc.	The evaluation team will seek key informant interviews with the UN and other partner agencies involved in nutrition and national capacity development. The CO will keep UN partners, other international organizations informed of the evaluation's progress	Senior Management, UN Resident Coordinator, UN Agencies' Representatives

Development Bank (IADB),	The CSPE can be used as an input to		
Humanitarian Assistance Working	improve collaboration, co-ordination and		
Group and other working groups	increase synergies within the UN system		
related to RMRP; Economic	and its partners.		
Commission for Latin America and			
Caribbean (ECLAC).			
Donors: United States Agency for			Senior Management
International Development Office			
for Food for Peace. Canadian			
International Development Agency			
(CIDA), German Agency for			
International Cooperation (GIZ),	WFP activities are supported by several		
Japan International Cooperation	donors who have an interest in knowing		
Agency (JICA), Korea International	whether their funds have been spent	Involvement in interviews, feedback	
Operations Agency (KOICA), United	efficiently and if WFP's work is effective in	sessions, report dissemination.	
Nations Adaptation Fund,	alleviating food insecurity of the most		
Denmark, European Civil	vulnerable.		
Protection and Humanitarian Aid			
Operations (ECHO), YUM!Brands;			
Mc Knight Foundation, United			
States Office of Foreign Disaster			
Assistance (OFDA), UPS Foundation			
National government: Ministry of			Political and Technical Staff
Social Development, Ministry of			
Economic and Social Inclusion (in	In Ecuador the evaluation is expected to	They will be interviewed and	
particular its Social Protection	enhance collaboration and synergies	consulted during the inception	
Service), Ministry of Public Health,	among national institutions and WFP,	mission and the data collection	
Ministry of Agriculture and	clarifying mandates and roles, and	phase, at central and field level.	
Livestock, Ministry of Education,	accelerating progress towards	Interviews will cover policy and	
Ministry of Environment, National	replication, hand-over and sustainability.	technical issues and they will be	
Service for Risk Management and	replication, hand-over and sustainability.	involved in the feedback sessions.	
Emergencies (SNDGRE) and			
National Risk Management			

Secretariat (SNGR), Ministry of Foreign Affairs and Human	
I FOREIGN ATTAINS AND HUMAN I	
Mobility, Vice Ministry of Human	
Mobility, Food Nutritional Gap	
working group, Parliamentary	
Front Against Hunger, Inter-	
Institutional Committee for the	
Evaluation of the Strategy for	
Accelerated Reduction of Chronic	
Child Malnutrition, National	
Statistical Institute (INEC), the	
National Institute for Agricultural	
Research (INIAP),	
Regional and local government They will be interviewed and	
institutions: Provincial and Local The evaluation is expected to help consulted during the inception Political and technical Staff; teach	orc
Government where the CO has enhance and improve collaboration with mission and the fieldwork; as well as health clinic staff, community out	
sub-offices or project sites; WFP, especially in areas of joint they will be involved in the feedback services	Cacii
Association of Ecuadorian implementation. sessions. Interviews will cover policy	
Municipalities. and technical issues.	
Cooperating partners and TBD during the inception mission	
(other) NGOs: Hebrew Immigrant	
Aid Society, Catholic relief Services,	
Oxfam, World Vision Ecuador, Plan	
International, Adventists WED/a concreting partners in	
Development and Relief Agency, implementing CSR activities Interviews with CP staff and NGOs	
Jesuit Refugee Service, the implementing CSP activities	
Women's Federation of	
Sucumbíos, Inter-American	
Institute for Cooperation on	
Agriculture (IICA).	
Private partners and civil WED partners in the comparaid and	
society: the media, agribusiness, WFP partners in the commercial and Interviews with focal points	
retail and other sectors. including);	

and the Union of Indigenous			
Communities in San Pablo del			
Lago.			
Academia, including the Latin			TBD during the inception mission
American Faculty of Social Science,			
Ecuadorian Institute for			
Agricultural Research, Pontifical	WED partners to support government	Intensions with a focal point in	
Catholica University of Ecuador in	WFP partners to support government initiatives such as research	Interviews with a focal point in academic organizations	
Esmeraldas Campus, International	illitiatives sucii as researcii	academic organizations	
University of Ecuador,			
International Food Policy Research			
Institute.			

Annex 5: Evaluability Assessment

Table 1: CSP Ecuador 2017-2021 Logframe analysis

Logframe ve	rsion	Outcome indicators	Cross-cutting indicators	Output indicators
v 1.0 17/02/2017	Total nr. of indicators	14	4	12
2.0	New indicators	3	0	0
v 2.0 28/11/2017	Discontinued indicators	3	0	0
20/11/2017	Total nr. of indicators	14	4	12
2.0	New indicators	3	1	8
v 3.0 25/04/2019	Discontinued indicators	0	0	0
23/04/2019	Total nr. of indicators	17	5	20
0.1	New indicators	1	0	3
v 3.1 06/05/2020	Discontinued indicators	0	0	0
00/03/2020	Total nr. of indicators	18	5	23
	er of indicators that were oss all logframe versions	11	4	12

Source: COMET report CM-L010 (accessed 12.10.2020)

Table 2: Analysis of results reporting in Ecuador Annual Country Reports [2017-2019]

		ACR 2017	ACR 2018	ACR 2019
	Outcome indicators ⁶⁵			
	Total number of indicators in applicable logframe	14	14	17
Baselines	Nr. of indicators with any baselines reported	10	10	13
Daseillies	Total nr. of baselines reported	114	102	113
Year-end	Nr. of indicators with any year-end targets reported	10	6	7
targets	Total nr. of year-end targets reported	114	64	72
CSP-end	Nr. of indicators with any CSP-end targets reported	10	10	0
targets	Total nr. of CSP-end targets reported	114	64	0
Following	Nr. of indicators with any follow-up values reported	10	6	8
Follow-up	Total nr. of follow-up values reported	114	102	72
	Cross-cutting indicators			
	Total number of indicators in applicable logframe	4	4	5
Baselines	Nr. of indicators with any baselines reported	3	3	3
Daseillies	Total nr. of baselines reported	9	9	9
Year-end	Nr. of indicators with any year-end targets reported	3	3	3
targets	Total nr. of year-end targets reported	9	9	9
CSP-end	Nr. of indicators with any CSP-end targets reported	3	3	3
targets	Total nr. of CSP-end targets reported	9	9	9
Follow-up	Nr. of indicators with any follow-up values reported	3	3	3
rollow-up	Total nr. of follow-up values reported	9	9	9
	Output indicators			
	Total number of indicators in applicable logframe	12	12	20
Targets	Nr. of indicators with any targets reported	6	7	7
Targets	Total nr. of targets reported	9	9	8
Actual	Nr. of indicators with any actual values reported	6	7	7
values	Total nr. of actual values reported	9	8	8

65 51 The table displays that the number of baseline/target/follow-up figures are exceeding the number of indicators. This is explained by disaggregated target-setting or reporting for indicators..

Source: COMET report CM-L010 (accessed 12.10.2020), ACR Ecuador [2017-2019]

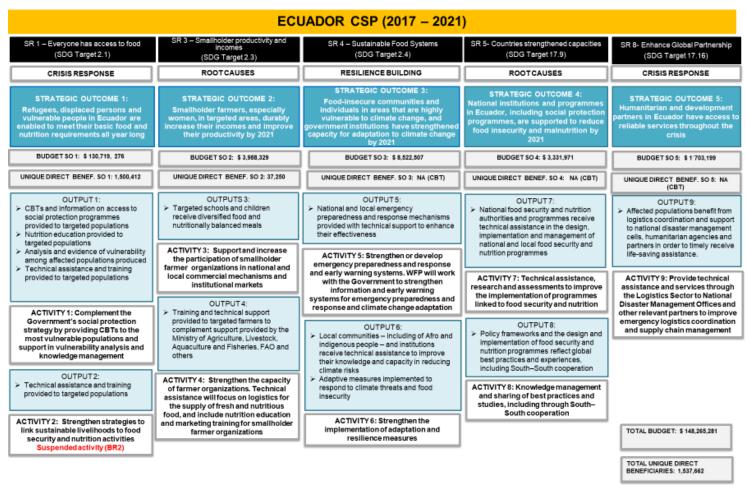
Annex 6: WFP Ecuador presence in years pre-CSP

-		2016	2017	2018	2019	2020				
	Natural	EQ Pedernales, Manabi	El Niño Costiero			COVID-19 pandemic				
		Estrategia Nacional de Cambio Climático 2012-2025	-							
		Plan Nacional de Buen Vivir 2013-2017								
			Plan Nacional de Buen Vivir 2	2017-2021-Toda una Vida	•					
	Policies, Strategies and		La Ley Orgánica de Movilidad Humana							
	National Development		IVIOVIIIUdu Hullidild	Plan Intersectorial de Alim		1 018-2025				
Ecuador	Plans			Tidii iireerseeteriai de 7iiiri	Plan Específico de Gestión d					
relevant events					·	Ley Orgánica De Apoyo Humanitario Para Combatir La Crisis Sanitaria Derivada Del Covid-19				
		Ecuador Earthquake Flash Appeal 2016			Refugee and Migrant Respo from Venezuela (RMRP	nse Plan 2020 for Refugees and Migrants				
	Humanitarian Response Plans and Appeals					COVID-19 Global Humanitarian Response Plan & Ecuador Intersectoral COVID-19 Response Plan 2020				
	UNDAF	UNDAF 2015-2018		UNDAF 2019-2022						
	TF 200436 (Jan 12 – Dec 16)	Support to local government capacity in food security and dietary diversity <i>Required: 4,230,545.97 USD Funded: 2.8 M / 68%</i>								
	PRRO 200701 (Jan 15 – Dec 17)	a. Relief b. Recovery Required: 19,332,242 USD Funded.								
	IR-PREP 200915 (Feb 16 – Apr 16)	Capacity Building assessment, logistic and preparedness activities El Nino Requested: 208,251 USD Funded: 208,251 / 100%								
WFP interventions	SO 200972 (Apr 16-July 16	Logistics Augmentation and Coordination in response to EQ <i>Requested: 756,408 USD Funded:</i> 642,000 USD / 84.9%								
	EMOP 200665 (Apr 16 – Dec 16)	Emergency food assistance response to EQ Required: 16.787.015 USD Funded: 5,725,192 USD / 34.1%								
	TRCA 200357 (Nov 11 – May 18)	Adaptation Fund: Enhancing Resilience to Adverse Eff Funded: 6.7 M / 91%	ects of Climate Change Req	uested: 7,449,468 USD						
	CSP 2017-2021					ded: 106.598,363.77 / 71.90%				
Outputs at Country Office	Cash distributed (USD)	Cash: 8,475,468 USD Vouchers: 2,029,953 USD	Cash: 3,206,913.97 Vouchers: 900,000 USD	Cash: 645,808 USD Vouchers: 5,292,981 USD	Cash: 403,151 USD Vouchers: 17,373,959 USD	CBT: 20,553,294.95 USD				
Level	Actual beneficiaries (number)	M: 139,327 T: 279,598 F: 140,271	M: 17,969 F: 18,186 T: 36,155	M: 59,451 F: 61,878 T: 121,329	M: 137,848 F: 175,335 T: 313,183	M: 391,391 F: 490,148 T: 881,539				

Source: WFP SPA Plus, Country Briefs, ACR 2017, 2018, 2019 data compiled on [08/11/2020]

Annex 7: Line of Sight

CSP Ecuador 2017-2022. Line of Sight



Source: WFP SPA website, CSP Budget Revision 05

Annex 8: Key information on beneficiaries and transfers:

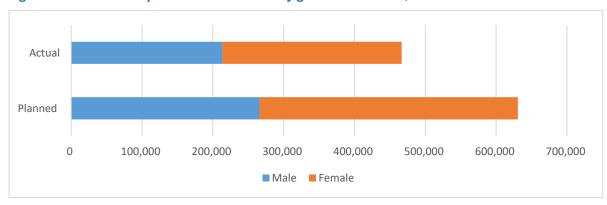
Table 1: Actual beneficiaries versus planned [2017-2020] by year, strategic outcome, activity category and gender

			201	7					2018			
SO	Planned		Acti	Actual Actual/Planned		'Planned	Planned		Actual		Actual/Planned	
	F	M	F	M	F	M	F	M	F	M	F	M
SO1	14,800	14,220	7,225	7,052	48.8%	49.6%	103,322	55,636	70,040	37,715	67.8%	67.8%
SO2	7,650	7,350	8,698	9,053	113.7%	123.2%	6,248	6,003	6,380	7,195	102.1%	119.9%
CSP Total	22,450	21,570	15,923	16,105	70.9%	74.7%	109,570	61,639	76,420	44,910	69.7%	72.9%

		2019							2020			
so	SO Planned		Act	ual	Actual	/Planned	Plan	ned	Actual		Actual/Planned	
	F	M	F	M	F	M	F	M	F	M	F	M
SO1	228,662	176,767	170,341	132,217	74.5%	74.8%	858,747	921,426	435,337	391,391	50.7%	42.5%
SO2	4,700	5,300	4,994	5,631	106.3%	106.2%						
CSP Total	233,362	182,067	175,335	137,848	75.1%	75.7%	858,747	921,426	435,337	391,391	50.7%	42.5%

Source: COMET report CM-R020 and CM-007 data extracted on [17/11/2020]

Figure 1: Actual versus planned beneficiaries by gender in Ecuador, 2017-2019⁶⁶



Source: COMET report CM-R001b, data extracted on [07/11/2020]

⁶⁶ The graph includes only CSP operations

Table 2: Annual distributions, by strategic outcome (2017-2019) (USD)

SO	2017			2018			2019		
30	Planned CBT	Actual CBT	Actual/Planned CBT	Planned CBT	Actual CBT	Actual/Planned CBT	Planned CBT	Actual CBT	Actual/Planned CBT
SO 1	3,206,913.97	1,313,531.22	40.96%	5,856,213.55	5,292,981.09	90.38%	19,627,302.29	17,527,759.58	89.30%
SO 2	900,000.00	647,915.68	71.99%	735,000.00	645,808.18	87.87%	600,000.00	249,350.65	41.56%
Gran Total	4,106,913.97	1,961,446.90	47.76%	6,591,213.55	5,938,789.27	90.10%	20,227,302.29	17,777,110.23	87.89%

Source: COMET report CM-R007 2017, 2018 and 2019, data extracted on [13/11/2020]

Table 3: Actual beneficiaries by residence status and year

Residence Status	beneficiaries	%	Number of beneficiaries	%	Number of beneficiaries Year {2019}	%
	{2017}	2017	{2018}	2018		2019
Resident	21,526	67.2%	26,853	22.1%	11,091	3.5%
Refugees	10,502	32.8%	94,477	77.9%	302,092	96.5%

Source: COMET report CM-R001b, data extracted on [07/11/2020], data for 2019 extracted from ACR

Annex 9: Communication & Knowledge Management Plan

Phase Evaluation stage	What Communication product	Which Target audience	How & Where Channels	Who Creator lead	Who Creator support	When Publication draft	When Publication deadline
Preparation	Comms in TOR	• Evaluation Team	• Email	EM / CM		November 2020	
Preparation	Summary TOR and TOR	WFP Technical Staff/Programmers/Practitioners WFP country/regional office/local stakeholders	Email WFPgo; WFP.org	EM		December 2020	December 2020
Inception	Inception report	 WFP Technical Staff//Programmers Practitioners WFP country/regional office/local stakeholders 	Email WFPgo	EM		April 2021	May 2021
Reporting	Exit debrief	CO staff & stakeholders	PPT, meeting support	EM/ET			August 2021
Reporting	Stakeholder workshop	WFP Technical Staff//Programmers Practitioners WFP country/regional office/local stakeholders	Workshop, meeting Piggyback on any CSP formulation workshop	EM/ET	СМ		December 2021
Dissemination	Summary evaluation report	 WFP EB/Governance/Management WFP country/regional office/local stakeholders WFP Technical Staff//Programmers Practitioners Donors/Countries Partners/Civil society /Peers/Networks 	Executive Board website (for SERs and MRs)	EM/EB	СМ	January 2022	February 2022
Dissemination	Evaluation report	WFP EB/Governance/Management WFP country/regional office/local stakeholders	Email Web and social media, KM channels	EM	CM	December 2021	January 2022

		WFP Technical Staff/Programmers/Practitioners Donors/Countries Partners/Civil society /Peers/Networks	(WFP.org, WFPgo, Twitter)Evaluation Network platforms (UNEG, ALNAP)Newsflash				
Dissemination	Management response	WFP EB/Governance/ Management WFP country/regional office/local stakeholders WFP Technical Staff/Programmers /Practitioners Donors/Countries Partners/Civil society /Peers/Networks	Web (WFP.org, WFPgo) KM channels	ЕВ	EM	March 2022	June 2022
Dissemination	ED Memorandum	ED/WFP management	• Email	EM	DE	June 2022	June 2022
Dissemination	Talking Points/Key messages	WFP EB/Governance/ Management WFP Technical Staff/Programmers /Practitioners Donors/Countries	Presentation	EM	СМ	October 2022	November 2022
Dissemination	PowerPoint presentation	 WFP EB/Governance/Management WFP Technical Staff/Programmers /Practitioners Donors/Countries 	Presentation	EM	CM	October 2022	November 2022
Dissemination	Report communication	 Evaluation management Group (EMG) Division Directors, Country Offices and evaluation specific stakeholders 	• Email	EM	DE	March 2022	March 2022
Dissemination	Newsflash	 WFP EB/Governance/ Management WFP country/regional office/local stakeholders WFP Technical Staff/Programmers /Practitioners Donors/Countries Partners/Civil society /Peers/Networks 	• Email	СМ	EM	November 2022	November 2022

Dissemination	Business cards	Evaluation community	• Cards	CM		November	November
		Partners/Civil society /Peers/Networks				2022	2022
Dissemination	Brief	WFP EB/Governance/ Management	Web and social media,	EM	CM	November	December
		WFP country/regional office/local	KM channels			2022	2022
		stakeholders	(WFP.org, WFPgo,				
		WFP Technical Staff/Programmers	Twitter)				
		/Practitioners	• Evaluation Networks				
		Donors/Countries	(UNEG, ALNAP,				
		Partners/Civil society /Peers/Networks	EvalForward)				

Annex 10: Template for evaluation matrix

Dimensions of Analysis	Lines of Inquiry	Indicators	Data Sources	Data Collection Techniques	Data Analysis
Evaluation Question needs as well as WFP		egic Position, role, and specific contribu	ution based on country prior	ties and people's	
1.1 To what extent is Development Goals?	the CSP relevant to national p	olicies, plans, strategies, and goals, incl	uding achievement of the na	tional Sustainable	
		•			
		•	•		
		•	•		
1.2 To what extent did	the CSP address the needs of the	e most vulnerable people in the country to	o ensure that no one is left bel	nind	
1.3 To what extent ha	9 ,	nained relevant throughout the impleme	entation of the CSP in light of	changing context,	
1.4 To what extent is t advantage of WFP in th		h the wider UN and include appropriate s	trategic partnerships based o	n the comparative	
Evaluation Question	2: What is the extent and quali	ty of WFP's specific contribution to CSP	strategic outcomes in the c	ountry?	

Dimensions of Analysis	Lines of Inquiry	Indicators	Data Sources	Data Collection Techniques	Data Analysis		
2.1 To what extent did	WFP deliver expected outputs an	d contribute to the expected CSP strategi	c outcomes?				
22.7	LWED and the desired to the second to the se						
	2.2 To what extent did WFP contribute to achievement of cross-cutting aims (humanitarian principles, protection, accountability to affected populations, gender and other equity considerations?						
populacions, genaci a	The other equity considerations.						
2.3 To what extent are	the achievements of the CSP like	ly to be sustained		•			
2.4 In humanitarian c appropriate) peace wo		CSP facilitate more strategic linkages be	tween humanitarian, develop	ment, and (where			
Evaluation Question	3: to what extent has WFP used	its resources efficiently in contributin	g to CSP outputs and strate	gic outcomes?			
	re outputs delivered within the int						
3.2 To what extent wa	s coverage and targeting of interv	entions appropriate?		_			
3.3 To what extent were WFP's activities cost-efficient in delivery of its assistance?							
3.4 To what extent we	re alternative, more cost-effective	measures considered?					
					·		

Dimensions of Analysis	Lines of Inquiry	Indicators	Data Sources	Data Collection Techniques	Data Analysis
Evaluation Question	4: What were the factors that	explain WFP performance and the ext	ent to which it has made th	e strategic shifts	
expected in the CSP?					
4.1 To what extent did develop the CSP?	l WFP analyze or use existing evic	dence on the hunger challenges, the food	I security and nutrition issues	, in the country to	
4.2 To what extents ha	l s WFP been able to mobilize adec	quate, predictable and flexible resources t	to finance the CSP?		
4.3 To what extent did	the CSP lead to partnerships and	collaborations with other actors that pos	l itively influenced performance	e and results?	
4.4 To what extent did	the CSP provide greater flexibility	in dynamic operational contexts and how	l v did it affect results?		
4.5 What are the other	factors that can explain WFP per	formance and the extent to which is has r	l made the strategic shift expect	ted by the CSP?	

Annex 11: Approved CSP document

https://www.wfp.org/operations/ec01-ecuador-country-strategic-plan-2017-2021

Annex 12: Composition and Terms of Reference for the CSPEs Internal Reference Group (IRG)

Membership:

The following members will be part of the Internal Reference Group for the Country Strategic Plan Evaluation Ecuador (2017-2021):

Ecuador Country Office	
Deputy Country Director	Karine Strebelle
Lead Strategic Outcome 2-5	Carmen Galarza
Lead Strategic Outcome 1	To be appointed
Head, VAM and M&E (focal point)	Luis Fernandez
Suboffice coordinator	Luis Romero
Procurement	Katherine Calle
Administration	Veronica Cuesta
Finance	Lilian Velasquez
Panama Regional Bureau	

To be determined during the Inception Phase of the evaluations

HQ

Technical Assistance and Country Capacity Strengthening Service (PRO-T) – Senior
Programme Officer

Maria Lukyanova

Keep in copy:

- Mario Touchette, Country Director
- Kyung Nan Park: Deputy Regional Director
- Michala Assankpon: Regional Evaluation Officer a.i.
- Ana Urgoiti: RBP Evaluation consultant

Terms of Reference - CSPE Internal Reference Group

1. Background

The Internal Reference Group (IRG) is an advisory group providing advice and feedback to the Evaluation Manager and the evaluation team at key moments during the evaluation process. It is established during the preparatory stage of the evaluation and is mandatory for all CSPEs.

2. Purpose and Guiding Principles of the IRG

The overall purpose of the IRG is to contribute to the credibility, utility and impartiality of the evaluation. For this purpose, its composition and role are guided by the following principles:

- *Transparency*: Keeping relevant stakeholders engaged and informed during key steps ensures transparency throughout the evaluation process.
- *Ownership* and *Use*: Stakeholders' participation enhances ownership of the evaluation process and products, which in turn may impact on its use.
- Accuracy: feedback from stakeholders at key steps of the preparatory, data collection and reporting phases contributes to accuracy of the facts and figures reported in the evaluation and of its analysis.

3. Roles

Members are expected to review and comment on evaluation deliverables and share relevant insights at key consultation points of the evaluation process.

The IRGs main role is as follows:

- Participate in face-to-face or virtual briefings to the evaluation team during the inception phase and/or evaluation phase.
- Suggest key references and data sources in their area of expertise.
- Participate in field debriefings (optional).
- Review and comment on the draft evaluation report and related annexes, with a particular focus on:

 a) factual errors and/or omissions that could invalidate the findings and change the conclusions;
 b) issues of political sensitivity that need to be refined in the way they are addressed or in the language used;
 c) recommendations.
- Participate in national learning workshops to validate findings and discuss recommendations.
- Provide guidance on suggested communications products to disseminate learning from the evaluation.

IRG members, particularly those nominated as country office evaluation focal points are responsible for gathering inputs to evaluation products from their colleagues.

4. Membership

The IRG is composed of selected WFP stakeholders from mainly country office and regional bureaus. IRG members should be carefully selected based on the types of activities being implemented at country level, the size of the country office and the staffing components at regional bureau level. Selected HQ staff may also be included in the IRG, depending on the CSPE context and the availability of expertise at RB level⁶⁷ (where no technical lead is in post at RB level, HQ technical staff should be invited to the IRG).

The table below provides an overview of IRG composition that allows for flexibility to adapt to specific country activities. The IRG should not exceed 15 active members.

⁶⁷ An example would be members from the Emergencies Operations Division where there is a level 2 or level 3 emergency response as a CSPE component. Or a HQ technical lead where there is an innovative programme being piloted.

Country Office	Regional Bureau	Head Quarters (optional as needed and relevant to country activities)
Evaluation focal point (nominated by CD) Head of Programme Deputy Country Director(s) Country Director (for smaller country offices)	 Core Members: Regional Supply Chain Officer Senior Regional Programme Advisor Regional Head of VAM Regional Emergency Preparedness & Response Unit Officer Regional Gender Adviser Regional Humanitarian Adviser (or Protection Adviser) Regional Monitoring Officer Other possible complementary members as relevant to country activities: Senior Regional Nutrition Adviser Regional School Feeding Officer Regional Partnerships Officer Regional Programme Officers (Cashbased transfers/social protection/resilience and livelihoods) Regional HR Officer Regional Risk Management Officer Keep in copy: REO and RDD 	Technical Assistance and Country Capacity Strengthening Service, OSZI School Based Programmes, SBP Protection and AAP, OSZP Emergencies and Transition Unit, OSZPH. Cash-based Transfers, CBT. Staff from Food Security, Logistics and Emergency Telecoms Global Clusters A broader group of senior stakeholders should be kept informed at key points in the evaluation process, in line with OEV Communication Protocol

Annex 13: Bibliography/E-Library

	Author	Date
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Encuesta Nacional de Violencia de Genero contra las Mujeres 2019	INEC	2020
Voluntary National Review	Gov. of Ecuador	2020
Ley Orgánica De Apoyo Humanitario Para Combatir La Crisis	Gov. of Ecuador	2020
Sanitaria Derivada Del Covid-19		
Informes de Situación e Infografías – COVID 19 – desde el 29	Gov. of Ecuador	2020
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Encuesta Estructural Empresial 2020	INEC	2020
Informe de Rendición de Cuentas 2019	Gov. of Ecuador	2019
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Encuesta Nacional Multipropósito de Hogares seguimento al	INEC	2019
Plan Nacional del Desarrollo 2019		
Encuesta Nacional de Salud y Nutrición (ENSANUT),	INEC	2018
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Plan Nacional de Respuesta Desastres	Gov. of Ecuador	2018
Ley Orgánica Integral Para La Prevención Y Erradicación De	Gov. of Ecuador	2018
La Violencia De Género Contra Las Mujeres		
Agenda Nacional para la Igualidad de Movilidad Humana 2017-2021	Gov. of Ecuador	2017
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Ley Organica de Movilidad Humana	Gov. of Ecuador	2017
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Evaluation Report (ER)Template revised 24 Sept 2020	WFP - OEV	2020
Inception Report (IR) template revised 24 Sept. 2020	WFP – OEV	2020

Quality Checklist for ER revised 24 Sept. 2020	WFP – OEV	2020
Quality Checklist for IR revised 24 Sept. 2020	WFP – OEV	2020
Quality Checklist for SER revised 24 Sept. 2020	WFP – OEV	2020
Quality Checklist for TOR revised 24 Sept 2020	WFP – OEV	2020
ToR Template revised 24 Sept 2020	WFP – OEV	2020
RA Guide for Evaluation Team	WFP-OEV	2020
5.1 Examples of other recently completed CSPE deliverables		
Timor Leste and Indonesia CSPE ER, DRC IR, Honduras IR, The	WFP – OEV	2020
Gambia IR		

Annex 14: Extract of the 2019 WFP Evidence Summary – Ecuador



Resiliencia

Realizar un estudio de la aplicabilidad del enfoque 3PA para dar una respuesta contextualizada y basada en el conocimiento de las comunidades, de la Iniciativa de Resiliencia Rural R4 que proporciona un enfoque integrado de gestión de riesgos para los agricultores, y del C-ADAPT para fomentar la capacidad de las comunidades para liderar su propio desarrollo y generar mecanismos para enfrentar el cambio climático

Protección Humanitaria y emergencia

En particular en contexto de emergencia, estudiar el efecto de las actividades del WFP sobre la protección de los beneficiarios y otros actores humanitarios. De igual manera, un estudio debería dedicarse a medir la eficiencia y el impacto sobre las operaciones (costo, retraso) de las mecanismos de protección establecidos, tales como los mecanismos de retroalimentación, las cláusulas en los FLAs, las actividades de seguimiento, los partenariados.

CBT (Resiliencia, Protección Social)

Evaluar la posibilidad de desarrollar 'transferencias de efecto multipropósito', las cuales permiten a las comunidades e individuos invertir en proyectos productivos comunitarios que a su vez resultan ser una forma de redes de seguridad. Desarrollar un estudio costo-beneficio de esta modalidad en comparación con la construcción de activos tradicionales.

Triple Nexo

Inclusión de la dimensión de paz como tema transversal en todas las actividades. Profundizar el triple nexo desarrollo-ayuda humanitaria-paz, por ejemplo, viendo cómo el diseño de las actividades de resiliencia puede impactar la situación de paz dentro y entre las comunidades, y cuáles son los riesgos vinculados con esas actividades. Otro ejemplo de estudio sería evaluar el desempeño del WFP en el manejo del equilibrio entre principios humanitarios y de acceso, analizados a la luz de las relaciones con los gobiernos y con los grupos opositores.

Source: Resumen de evaluaciones para la revision de medio termino del CSP, WFP, 2019

Annex 15: Acronyms

AAP	Accountability to Affected Population
ACR	Annual Country Report
BR	Budget Revision
CBT	Cash-based Transfer
CD	Country Director
CEPAL	Comision Economica Para America Latina y el Caribe
CEPAZ	Centro de Justicia y Paz / Centre for Justice and Peace
CO	Country Office
COMET	Country Office Monitoring and Evaluation Tool
СРВ	Country Plan Budget
CPP	Corporate Planning and Performance
CSP	Country Strategic Plan
CSPEs	Country Strategic Plan Evaluations
DAC	Development Assistance Committee
DDoE	Deputy Director of Evaluation
DoE	Director of Evaluation
EB	Executive Board
ECLAC	Economic Commission for Latin America and the Caribbean
ED	Executive Director
EMOD	Evaluation Manager
EMOP ER	Emergency Operation Evaluation Report
ET	Evaluation Team
FAO	Food and Agriculture Organization
FLACSO	Facultad Latino Americana de Ciencias Sociales
GDP	Gross Domestic Product
HQ	Headquarters
HRP	Humanitarian Response Plan
IADB	Inter-America Development Bank
ICA	Integrated Context Analysis
IFAD	International Fund for Agricultural Development
ILO	International Labour Organization
INEC	Instituto Nacional de Estadistica y Censos / National Institute of Statistics and Census
IOM	International Organization for Migration
IR	Inception Report
IRG	Internal Reference Group
IRM	Integrated Road Map
LGBTI	Lesbian, Gay, Bisexual, Transgender and Intersex
M&E	Monitoring and Evaluation
MTR	Mid-Term Review
NDP	National Development Plan
NGOs	Non-governmental organizations
NPBV	National Plan of Good Living
ODA	Official Development Assistance
OECD	Organization for Economic Co-operation and Development
OEV	Office of Evaluation
OPS	Organización Panamericana de la Salud

PHQA	Post-hoc Quality Assessment
PIANE	Intersectoral Food and Nutrition Plan
PRRO	Protracted Relief and Recovery Operation
QA	Quality Assurance
RBP	Regional Bureau Panama
RD	Regional Director
RRMRP	Regional Refugees and Migrants Response Plan for Venezuelans refugees and migrants
SDG	Sustainable Development Goal
SER	Summary Evaluation Report
SO	Strategic Outcome
SPR	Standard Project Report
TL	Team Leader
TN	Technical Note
TOR	Terms of Reference
UNDAF	United Nations Development Assistance Frameworks
UNDP	United Nations Development Programme
UNDSS	United Nations Department of Safety and Security
UNEG	United Nations Evaluation Group
UNEP	United Nations Environment Programme
UNESCO	United Nations Educational, Scientific and Cultural Organization
UNFPA	United Nations Population Fund
UNHCR	United Nations High Commissioner for Refugees
UNICEF	United Nations Children's Fund
UNIDO	United Nations Industrial Development Organization
UN-	United Nations Office for the Coordination of Humanitarian Affairs
OCHA	
UNODC	United Nations International Drug Control Program
UNOPS	United Nations Office for Project Services
UNOSSC	United Nations Office for South-South Cooperation
UNSDCF	United Nations Development Cooperation Framework
UNV	UN-Volunteers
VNR	Voluntary National Review
WEF	World Economic Forum
WHO	World Health Organization